UNITED STATES COURT OF FEDERAL CLAIMS

IN RE: CLAIMS FOR VACCINE INJURIES RESULTING IN AUTISM SPECTRUM DISORDER, OR A SIMILAR NEURODEVELOPMENTAL DISORDER))))
FRED AND MYLINDA KING, PARENTS OF JORDAN KING, A MINOR, Petitioners, v. SECRETARY OF HEALTH AND HUMAN SERVICES, Respondent.)))) Docket No.: 03-584V))
GEORGE AND VICTORIA MEAD, PARENTS OF WILLIAM P. MEAD, A MINOR, Petitioners, V. SECRETARY OF HEALTH AND HUMAN SERVICES, Respondent.)))) Docket No.: 03-215V))

REVISED AND CORRECTED COPY

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- Date: May 22, 2008

HERITAGE REPORTING CORPORATION

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IN THE UNITED STATES COURT OF FEDERAL CLAIMS IN RE: CLAIMS FOR VACCINE) INJURIES RESULTING IN) AUTISM SPECTRUM DISORDER,) OR A SIMILAR) NEURODEVELOPMENTAL DISORDER -----FRED AND MYLINDA KING, PARENTS OF JORDAN KING, A MINOR, Petitioners, v. Docket No.: 03-584V) SECRETARY OF HEALTH AND HUMAN SERVICES, Respondent. _____ GEORGE AND VICTORIA MEAD, PARENTS OF WILLIAM P. MEAD, A MINOR, Petitioners,) v.) Docket No.: 03-215V SECRETARY OF HEALTH AND) HUMAN SERVICES,) Respondent.)

> Courtroom 402 National Courts Building 717 Madison Place NW Washington, D.C.

Thursday, May 22, 2008

The parties met, pursuant to notice of the Court, at 9:00 a.m.

BEFORE: DENISE VOWELL GEORGE L. HASTINGS, JR. PATRICIA E. CAMPBELL-SMITH Special Masters

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APPEARANCES:

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WITNESSES:	DIRECT	CROSS	REDIRECT	<u>RECROSS</u>	VOIR <u>DIRE</u>
For the Respondent	:				
Dean P. Jones	2692	2759			
Thomas L. Kemper	2792	2862	2899	2904	
	2847				
Patricia M. Rodier	2910				

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<u>E X H I B I T S</u>

PETITIONERS'

EXHIBITS:	IDENTIFIED	<u>RECEIVED</u>	DESCRIPTION
Petitioners' Trial Exhibit 6	2763		Hansen Paper (2006)
Petitioners' Trial Exhibit 7	2778		Carvalho Paper (2008)

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<u>E X H I B I T S</u>

RESPONDENT'S

EXHIBITS:	IDENTIFIED	RECEIVED	DESCRIPTION
Respondent's Trial Exhibit 9	2691		Dean P. Jones Slide Presentation
Respondent's Trial Exhibit 10	2792		Thomas L. Kemper Slide Presentation

1 <u>P R O C E E D I N G S</u> 2 (9:00 a.m.) SPECIAL MASTER VOWELL: 3 We're on the record in the omnibus autism 4 hearing in the Mead and King cases. 5 6 Thank you. At this time the MR. MATANOSKI: 7 government would Dr. Dean Jones, and Ms. Renzi will be 8 doing the examination. 9 SPECIAL MASTER VOWELL: All right. 10 What number are we up to? 11 SPECIAL MASTER HASTINGS: Number nine, I think. 12 13 SPECIAL MASTER VOWELL: It looks like we have another Respondent's exhibit, trial exhibit, and 14 15 we'll get that marked. MS. RENZI: I believe we'll have two. 16 (The document referred to was 17 18 identified as Respondent's 19 Trial Exhibit 9.) 20 SPECIAL MASTER VOWELL: Dr. Jones, if you would raise your right hand. 21 22 Whereupon, 23 DEAN P. JONES 24 having been duly sworn, was called as a witness and was examined and testified as follows: 25 Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2692 1 MS. RENZI: Good morning. 2 DIRECT EXAMINATION 3 BY MS. RENZI: Dr. Jones, could you please state your name 0 4 for the record? 5 Α Dean P. Jones. 6 Are you currently a professor of medicine at 7 0 8 Emory University? 9 Α Yes. Could you briefly describe your educational 10 Q 11 background and training starting with your BS? 12 As an undergraduate I was at the University А 13 of Illinois with a major in Chemistry and a second major in Biochemistry. I graduated in 1971. 14 From 15 there I went to the University of Oregon, the Health Sciences Center in Portland for a PhD in Medical 16 Biochemistry. I graduated from there in 1976. 17 18 0 After that? 19 I went to Cornell University as a post-Α 20 doctoral fellow in Nutritional Biochemistry. From there I went to the Karolinska Institute in Stockholm, 21 22 Sweden as a visiting scientist for almost two years. 23 Then came back to -- In that period of time I was in 24 Molecular Toxicology, that was my training area. Ι 25 went back to Oregon briefly before joining the faculty Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2693 1 at Emory University in 1979. 2 Q You moved to Emory in 1979? 3 Α That's right. You've been there ever since? 4 0 Α Yes. 5 When did you move from the Department of 6 0 7 Biochemistry to the Department of Medicine? 8 Α Approximately four and a half years ago. I In the past several was in Biochemistry for 24 years. 9 10 years I've been in the Department of Medicine. 11 Could you please describe a few of your Q recent honors and awards. 12 13 Α One of my former honors was receiving the Albert E. Levy Research Award from Emory University. 14 15 That's the most premier research award that the university gives. They only give one award, and 16 that's given at graduation, so that's really guite a 17 18 distinguished honor from the university. 19 More recently I have, about ten years ago I received a Nobel Fellowship to study in Stockholm 20 funded by the Nobel Committee. That was for research 21 22 in Molecular Toxicology. 23 Then more recently I have received the 24 Science and Humanity Award from the Oxygen Club of 25 California. That's an organization that is Heritage Reporting Corporation (202) 628-4888

1 principally Californian scientists, but it's really, 2 the president is now, it's an international group now. 3 Helmut Sies is the president. He's a German scientist. It's really quite a distinguished honor. 4 Dr. Jones' curriculum vitae has been filed 5 0 as Respondent's Exhibit T. 6 7 Do you currently serve as a peer reviewer 8 for any journals? I regularly review manuscripts for 9 Α Yes. 10 different journals ranging from Toxicology and 11 Nutrition to some of the premier international 12 journals such as Science and Nature, Nature Methods, 13 and so forth. Have you ever served on an NIH study 14 0 section? 15 I served for several years on two 16 Α Yes. 17 different Toxicology study sections. I was the chair 18 of one of those study sections, Alcohol and Toxicology 19 I study section. What were your duties? 20 0 21 Α As the chair of the study section your 22 responsibility is to really oversee the peer review 23 process for the grants that you're reviewing, that 24 you're assigned to review. The most important aspects 25 are to maintain a fair and objective review, to really Heritage Reporting Corporation (202) 628-4888

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1 follow the policies to make sure that the peer review
2 process is intact.

3 Q And could you please now describe some of 4 your current grants?

One of my major grants is on oxidative 5 Α stress mechanisms, looking at nuclear, cell nuclei and 6 7 the oxidative stress protective mechanisms in cell 8 nuclei and then in the cytoplasmic compartments of the I am actually on several different grants, but 9 cell. 10 probably the major one is the, I'm one of the 11 assistant program directors on what's termed the Clinical and Translational Sciences Award from NIH. 12 13 This is something in excess of a \$22 million award that is to support clinical and translational sciences 14 in, it's a consortium of Emory University, Morehouse 15 School of Medicine in Atlanta, and also Georgia 16 Institute of Technology. 17

18 0 Do you direct a lab at Emory? 19 Yes, I actually direct two laboratories. Α 20 One is the clinical biomarkers laboratory. That is the laboratory that is designed to provide oxidative 21 22 stress markers, cytokine measurements, inflammatory 23 markers, and really analytical services for 24 researchers throughout the university. Then I have my 25 own research laboratory that is focused on oxidative

DR. JONES, MD - DIRECT 2696 1 reop biochemistry. 2 Q How many people do you supervise in your labs? 3 Currently there are four people in the Α 4 clinical biomarkers laboratory and I have four 5 students that I direct as well. 6 In addition to your lab you also have 7 0 8 teaching duties at Emory? 9 I teach in the medical, in actually Α Yes. two of the different medical courses. 10 In nutritional 11 biochemistry mainly, and also in gastroenterology. I 12 teach in several of the pharmacology and toxicology 13 courses and also in the nutrition courses. Then as needed throughout the university I give lectures on 14 metabolism and the new field of metabolonics. 15 You've published more than 325 peer reviewed 16 0 articles, reviews and book chapters, is that correct? 17 18 Α Yes, that's correct.

19 Q And you've also published peer review
20 articles and of those peer reviewed articles many are
21 on your own research, is that correct?

A Yes.

22

Q How many of those peer reviewed articles arein the field of sulfur metabolism?

25 A I haven't really counted them, but probably Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2697 1 about two-thirds of them. 2 Of those, how many focus on oxidative 0 3 stress? Α Most of them would have aspects of oxidative 4 I would guess out of my total peer reviewed 5 stress. 6 papers I would have over 100 papers. A hundred original research articles that would address 7 8 oxidative stress. 9 You have lectured both nationally and Ο 10 internationally on the topic of oxidative stress, is 11 that correct? Yes. 12 Α 13 0 Could you describe some of the lectures that you've given? 14 15 Α In general over the past 20 years or so I've participated as an invited lecturer in five or more 16 17 national and international symposia. For instance, 18 during the last year, last fall I was an invited 19 speaker at a meeting in Jeju Island in Korea on redox 20 biochemistry. In January I was at a symposium that 21 was sponsored by the Japanese equivalent of our 22 National Institutes of Health, and also co-sponsored 23 by our National Institutes of Health, on biomarkers 24 of oxidative stress and health and disease. I've 25 recently been in Stockholm to give a lecture on Heritage Reporting Corporation

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DR. JONES, MD - DIRECT 2698 1 oxidative stress, a Society of Toxicology Meeting. Ι 2 gave two talks at that meeting in Seattle. In July I'll be in Berlin at an international free radical 3 research meeting. 4 0 Dr. Jones, other than your testimony today 5 have you ever testified in a legal matter as an expert 6 witness? 7 8 Α No, I have not. 9 You don't consider yourself to be an expert 0 10 in mercury toxicity, is that correct? 11 Α That's correct. 12 You don't diagnose or treat children with 0 13 autism, is that correct? That's correct. 14 Α But you do consider yourself to be an expert 15 0 in the field of sulfur metabolism and oxidative 16 17 stress? 18 Α Yes. 19 In addition to your expert report which wa Q 20 filed as Respondent's Exhibit S, you've also listened 21 to the testimony of Dr. Deth which was presented to 22 this Court on May 13th? 23 Α Yes, I have. 24 Q And you've also reviewed the slides he 25 presented with his testimony? Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2699 1 A Yes, I have. 2 Q I'd like to point you to page three of Dr.

3 Deths' report where he states that, "Sulfur metabolism 4 is the single most important system to examine for a 5 contribution of Thimerosal in autism."

6 What I'd like you to do is explain to us as 7 simply as possible what sulfur metabolism is.

8 A Sulfur is the fifth most abundant element in 9 biological systems. Pretty much all of life depends 10 upon sulfur.

11 We have the proteins which constitute about 12 20 percent of our body. The proteins all contain two 13 sulfur-containing amino acids and these are the sulfur amino acids methionine and cysteine. The function of 14 15 most of the proteins is actually dependent in one way or another upon those sulfur amino acids. So sulfur, 16 it's ubiquitous in living systems and really is more 17 18 or less an essential component of all aspects of life.

Q We've also heard some testimony presented to this Court that has discussed glutathione and its role in sulfur metabolism and in the detoxification of heavy metals. But glutathione does more than just detoxify heavy metals, is that correct?

A Yes. Glutathione has a very important role in metabolism. It is the major thiol in, major

1 sulfur-containing chemical. Now I didn't go through 2 the different forms of sulfur, and I can do that if 3 you'd like. The sulfur metabolism can get extremely 4 complicated.

What we're most interested in, I think, is 5 the thiol form, that's the reduced form of the sulfur. 6 That would constitute maybe one-third of the total 7 8 sulfur. The other forms probably are not so important for the discussions here. So I'm going to talk about 9 The major thiol form is, as far as a 10 the thiol form. 11 single chemical in the body is glutathione. It's the most abundant thiol. 12

Q What is the role of glutathione in the body? A Glutathione, this is actually a slide that I put in. This is from some of our research that's published which illustrates the point of the abundance of glutathione.

18 This image that you see is actually taken 19 with the MRI, the common instrument that's in almost all hospitals today for use for imaging of different 20 By changing the way the instrument is used 21 tissues. 22 it's possible to identify and actually measure 23 different chemicals in the body. So this is actually 24 a scan done of human brain that illustrates signals that are due to the different chemicals in the brain. 25

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You can with this method measure about 20 to 30 different chemicals. The one that I have labeled here glutathione, that is a signal from glutathione and it actually shows you how abundant the glutathione is in a living organism. We can actually see this non invasively with this scanning technique in the human brain.

Q That is Slide 2.

9 A Slide 2.

8

10 Q We'll move no to Slide 3 then.

11 A In Slide 3 I have listed the three major 12 detoxification functions of glutathione.

The one that has probably received the most attention over the past 50 years is the function of glutathione as an anti-carcinogen. The glutathione is used to react with, as really the counter to reactive chemicals that would otherwise cause mutations in the DNA and would thereby cause mutations causing cancer.

19 So about a little over 50 years ago it was 20 recognized that many different chemicals that we are exposed to, both the natural chemicals and manmade 21 22 chemicals, are activated in the body to reactive 23 chemicals, and that the most central way the body gets 24 rid of these is by reacting them with glutathione. 25 So probably, I think it would be safe to say Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2702 1 that glutathione is the most important anti-2 carcinogenic chemical that we have in our body. So 3 that's one of the areas and that's been studied very extensively over the past 50 years. 4 The second here is as an antioxidant. 5 This has also been known for about 50 years. It was 6 7 originally discovered in 1957 that the glutathione is 8 used for elimination of peroxides, in particular hydrogen peroxide or H2O2. 9 10 If I get too fast, you just have to slow me 11 down. The hydrogen peroxide is produced by the 12 13 body all the time. About one percent of all of the oxygen that we breathe, and it turns out that this is 14 an enormous amount, if you think about it, it's about 15 a pound a day of oxygen that we consume. One percent 16 of that is converted to hydrogen peroxide so our 17 18 bodies have this oxidant load that is constant, that 19 we constantly have. 20 That one percent that is converted to 21 hydrogen peroxide, a large portion of that is 22 eliminated by glutathione through this antioxidant

23 activity of the glutathione. So this is really a very 24 important and central mechanism, function of 25 glutathione.

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1 It does that more or less silently because 2 we have so much glutathione and the systems are so 3 efficient that it just handles it, but that is 4 ongoing.

5 The third here is the coenzymatic function 6 of glutathione. That is the glutathione is involved 7 in several other aspects of metabolism.

8 The only example I want to give is formaldehyde. One of the main ways that we get rid of 9 formaldehyde is through a catalytic reaction, a 10 11 mechanism for getting rid of that involves using 12 qlutathione as a coenzyme. In this reaction the 13 glutathione is not actually used up, it's just as a catalyst. So as long as you have glutathione it will 14 15 bind to the formaldehyde and make the body more efficient in getting rid of the formaldehyde. 16

I have some of these actually listed in the 17 18 next slide, Slide 4, which I don't put up for anything 19 to overwhelm you as far as this because it's far more than, I mean there are experts in each aspect of this 20 But what I wanted to illustrate by this was 21 slide. 22 that if you look at the first point on the anti-23 carcinogenic aspects of the previous slide, on the 24 right-hand side of the slide, all of those glutathione 25 S transferases are functioning in that type of

1 chemistry.

2 So the right hand side then functions in 3 that detoxification of electrofiles or reactive 4 chemicals that we have. Those are functioning largely 5 in protection against cancer, although they protect us 6 in many other ways as well.

7 On the left hand side are the antioxidant 8 functions. These would be the ones in the center box, 9 the glutathione peroxidase. Those systems function as 10 antioxidants. You see there are many of those 11 antioxidant systems that are there. In the bottom 12 center is a box of the enzymes that are functioning in 13 metabolism.

14 So this isn't a comprehensive list, but I 15 pulled this off of one of the public databases of the 16 human genome, and these are enzymes that are encoded 17 by the human genome.

18 The main point of the slide is that 19 glutathione has many functions and that these in a sense are competing functions, but the way the body 20 has, these mechanisms have evolved is to allow them to 21 22 work despite fluctuations in glutathione content. 23 Because as I will show later, there are natural 24 variations in glutathione content, and there are just a very large number of reactions. In order for those 25

1 to work they have to be, the system has to be designed 2 so that activation of one doesn't inactivate the 3 other. So these are all going on simultaneously and the glutathione, because of the very high abundance, 4 is able to support all of these different functions. 5 And glutathione is found in every cell in 6 0 7 the body? 8 Α Yes, that's right. We synthesize glutathione in every cell of the body. It's composed 9

of three amino acids. The amino acids are glutamate, 10 11 cysteine and qlycine. And the capacity to synthesize is really very high in cells. We can synthesize it. 12 13 But one of the interesting factors about glutathione is that if you look at different organ systems, the 14 15 data, people usually get hyperbolic about the importance of glutathione and want to say it's ten 16 millimolar. The reality is ten millimolar is what you 17 18 would see in a few tissues, liver and kidney for 19 instance. But many of the cells, for instance red 20 blood cells, may only have .2 millimolar. And in the small intestine if you're in a fasting state it may 21 22 only be .1 millimolar. So there's really about a 23 hundred-fold, up to a hundred-fold concentration range 24 in different organ systems.

25 I think that's important in terms of Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2706 1 thinking about the way the systems work. We have so 2 much that even at that lowest level we have an ample amount for carrying out all of this spectrum of 3 activities of the glutathione. 4 Dr. Deth's hypothesis is that Thimerosal-5 0 containing vaccines disrupt sulfur metabolism and that 6 these disruptions cause autism. 7 Is that your 8 understanding of Dr. Deth's hypothesis? 9 Yes, that is. Α 10 Q But when you analyzed his hypothesis you 11 asked three questions, is that correct? Α Yes. 12 13 0 I'd like to bring up Slide 5 and have you discuss it. 14 As I was looking at Dr. Deth's report I 15 А really felt that it needed to be broken into three 16 different questions because there are different 17 18 aspects of this, at least from my expertise on sulfur 19 metabolism and oxidated stress that really needed to 20 be considered. The first of these was the question of whether the Thimerosal at the doses that are 21 22 administered, whether those would have a significant 23 effect on the sulfur metabolism. 24 Based on your knowledge and research in the Q 25 area of sulfur metabolism and glutathione, do you have

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1 an opinion as to whether Thimerosal at the doses 2 administered in Thimerosal-containing vaccines can 3 significantly affect sulfur metabolism? I do have an opinion on that. I think that А 4 what the data show is that the doses of Thimerosal 5 will not affect in a significant way the sulfur 6 metabolism. 7 8 0 Why is that? Α If we look at, I have on Slide 6 a 9 comparison of the total body thiol content and also in 10 11 reference, the sulfur amino acid intake compared to 12 what is in the literature as the cumulative load of 13 Thimerosal that would be expected from sort of a worst case cumulative load of Thimerosal. 14 If you look at the top line here, total body 15 thiol, what I've tried to do here is express 16 17 everything in the same units. That's one of the big 18 difficulties in the literature is that there are many

24 So the total body thiol is really taken from 25 older literature where scientists took cadavers and Heritage Reporting Corporation (202) 628-4888

into common units here. So there are some

approximations, I rounded things off.

different units that are being given. So I put these

will be clear from the way I've described this what

But I think it

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21

22

23

I've done.

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extracted the cadavers and measured in different organ systems how much thiol is present. That number is about 20,000 micromolar per kilogram, or about 20 millimolar. But I wanted to get it micromolar just to have all common units here. So it's about 20,000.

6 If you look at the total body glutathione 7 one can estimate this in different ways also. I think 8 most people would say glutathione is approximately one 9 millimolar which would be a thousand micromole. Μv estimate is that it's a little bit less than that, but 10 11 I think in a general sense it's in that range of 800 micromolar to 1000 micromole, so it's pretty much 12 13 consistent throughout a very large amount of literature. 14

15 If we compare that then to what the 16 nutritional recommendations are for a zero to six 17 month old child, that would be equivalent to 500 18 micromoles per kilogram body weight.

What that's telling us is that on a daily basis, the recommendations, we take in a lot of sulfur. That sulfur is roughly equivalent to about half the total amount that's in glutathione in the body. But it's actually a much smaller fraction of the total thiol in the body. So we really have a huge total thiol content in the body. But the glutathione

1 is obviously an important component of that. 2 The thiols, the body thiols, also detoxify 0 and bind to heavy metals, is that correct? 3 That's correct. Yes. So all of the thiols Α 4 would be potential binding sites for any heavy metal. 5 That's in addition then to the glutathione 6 Ο which also does --7 8 Α Yes, that's right. So in addition to the number of the glutathione that's in the cell, you have 9 a much larger amount of total thiol that would be 10 11 binding sites for the heavy metal. That's the recommendation, the RDA would be 12 13 500. The question then is what do people actually Well, the RDA is set to more or less 14 take in. 15 quarantee that nobody has any deficiency, so that's already set at a value higher than what almost anyone 16 would need. And so that's set at 500. 17 So the 18 question then is how much do people actually take in? 19 I looked that up in the NHANES III, the 20 National Health Survey that's conducted by the Centers for Disease Control. They give the values from their 21 22 evaluation, their analysis of where they actually 23 measure this in different individuals. Their range of 24 values I've taken here from the first percentile up to 25 the 99th percentile is in the range of 250 to 500 Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2710 1 micromoles per kilogram body weight. 2 SPECIAL MASTER HASTINGS: I wanted to ask 3 about the RDA before you leave that topic. Is that, RDA stands for recommended daily allowance? 4 THE WITNESS: Recommended dietary allowance. 5 And it's on a daily basis. 6 Yes. 7 SPECIAL MASTER HASTINGS: Is that a maximum 8 or a minimum? I'm not following what that --The way they set this is they 9 THE WITNESS: 10 set that value to be one that they would expect 11 essentially nobody to have any extent of deficiency at that point. 12 13 SPECIAL MASTER HASTINGS: In other words if you get at least 500 you're not going to be deficient? 14 15 THE WITNESS: That's right. You're not going to be deficient. So in that sense it's more 16 than you would need. 17 18 What the number of 250 to 500, that's the 19 There might be some individuals in that range NHANES. in America who are getting at that lower end that may, 20 they may benefit from a little bit more, but there's 21 22 almost, I think you would conclude from this that 23 there's essentially no sulfur amino acid deficiency. 24 As long as the child is fed they're going to 25 be getting an adequate sulfur amino acid, judging from Heritage Reporting Corporation (202) 628-4888

2711 1 that NHANES survey data. That's the way I would 2 interpret that data at least. 3 BY MS. RENZI: Dr. Jones, what are the source of the sulfur 0 4 amino acids that we have in our diet? 5 The main sources for people who eat animal 6 А 7 products would be obviously through eating, if the 8 child is consuming milk, it's going to be milk. Animal products are very rich sources of sulfur amino 9 10 acids in general. It's really related to the animal 11 product intake. 12 Plant products in general have about half as 13 much to sometimes a bit less than half as much. Particularly rich sources would be, for instance soy 14 15 milk. The legumes are among the best plant sources for sulfur amino acids. Soy protein is one of the 16 proteins that's used in some of the milk, milk 17

18 substitutes, and that would be a rich source of sulfur amino acids as well. 19

20 Back to Slide 6. How did you calculate the Ο Thimerosal --21

For a reference here I took the cumulative 22 Α 23 dose of Thimerosal, I believe it was actually 180 was 24 in the article that I took that from. It's in my 25 report. I just rounded that up to make it easy for Heritage Reporting Corporation

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1 Then asked the question, that's actually comparison. 2 a dose that was given, that's a total body dose, 3 independent of kilograms. I just assumed that was given to a one kilogram child, so that would be a two 4 pound child. So this is really a very conservative 5 way to look at this. It's probably six-fold lower 6 7 than that if you had a six kilogram child, for 8 instance.

9 In any case, if you calculated that and 10 converted it to the same units, that would be 11 equivalent to one micromole per kilogram body weight. 12 So again, it's a very conservative way to look at 13 that. It may even be more reasonable to say .1 is 14 what the actual value would be. But I tried to be 15 conservative so there would be no question.

16 The comparison that you can see is that that 17 cumulative dose of Thimerosal, the estimation would be 18 that it's considerably less than what that daily 19 intake of sulfur amino acids would be, and certainly 20 very much lower than the body glutathione pool and two 21 thousand fold lower than the total body thiol.

Q You stated earlier that one of the roles of glutathione is to react to chemicals in the body. Do food items also contain the reactive materials that our bodies use glutathione to deactivate? And we'll

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DR. JONES, MD - DIRECT 2713 1 turn to Slide 7. 2 A Yes, if we can look at Slide 7.

A few years ago we conducted a study to ask 3 the question whether there were glutathione reactive 4 materials in the foods that we eat. So we measured 5 This was also in peer-reviewed literature. 6 this. 7 We measured the glutathione reactive 8 materials in foods. We looked at 142 different foods, common foods that are in the American diet. 9 I have just listed two of them here but it gives I think a 10 11 good reference comparison.

12 That is if we look at the amount of reactive 13 materials just in an eight ounce glass of two percent 14 cow's milk, that number is, from the original paper, 15 was 21,700 nanomoles in that eight ounce serving.

16 If you convert that down to the same units 17 in terms of micromoles and assume that a child would 18 consume four ounces of milk in a serving, that would 19 be equivalent to 10 micromoles. That would mean one 20 four ounce serving of two percent milk would contain 21 ten times, more than ten times as much of the reactive 22 material.

If you look at other common foods that a child might consume, for instance just bottled unsweetened apple juice, the measured value in that Heritage Reporting Corporation

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1	was 6600 nanomoles of glutathione reactive chemicals.
2	That would convert to four, if you took a four ounce
3	serving that would convert to four micromoles.
4	Again, that would be substantially above
5	that cumulative does of Thimerosal that would be
6	glutathione consuming or glutathione reactive
7	materials that would be in really a single serving.
8	Q So it takes more glutathione to react to a
9	four ounce glass of milk than it does to the
10	cumulative does in the Thimerosal-containing vaccines
11	that are normally administered over a six month
12	period?
13	A That's correct.
14	Q Dr. Jones, are there any natural variations
15	in glutathione levels?
16	A Yes. There are a number of different
17	variations. I have a slide here, also from published
18	peer reviewed literature, this is from my own lab, and
19	this illustrates in the yellow is the variation in
20	plasma cysteine over a 24 hour period.
21	So if you look on the X axis that is the
22	time of day, for this experiment what we did, these
23	are mean values for 62 individuals. We brought the
24	people into our clinical research unit, which is at
25	Emory University, and gave them all the same meals at
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the same time of day, then took hourly blood samples to measure what the concentrations were in the blood as a function of time of day. What you can see in the yellow, the cysteine

values, is that there is about a 30 percent variation in the average cysteine over the time of day. Of course this is an average, so some individuals had somewhat more than this, some had somewhat less than this.

In the blue and the green on the slide, is the variation in glutathione as a function of time of day. Notice that it's not in the same units as the cysteine, and also notice that it's shifted in terms of time with regard to when the maximum and minimum values occur.

But the most important aspect of this is that also with the glutathione there is about a 30 percent variation over the time, a 25 to 30 percent average variation over the time of day that we see in this average of 62 individuals.

Again, some individuals have somewhat more variation than this, some have somewhat less variation than this. But the point is this is all within normal physiology that there is this type of variation.

25

Q We've heard about GSH/GSSG ratios which is Heritage Reporting Corporation (202) 628-4888

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1 glutathione and oxidized glutathione ratios, is that 2 correct?

3 Α Yes. If you look at, these are just the cysteine and glutathione concentrations themselves, 4 but if you, at the same time we measured the disulfide 5 So in the way the systems work, we have a thiol 6 form. 7 which is one-half, or just one molecule. When that is 8 oxidized it binds to a second molecule and that forms a disulfide. So the reduced is the part that's 9 10 functional as far as what goes into proteins, as far 11 as in glutathione what's functioning in protection 12 against reactive chemicals, what's protecting against 13 oxidants. So it's the thiol form that's really the most critical. A lot of the literature has used the 14 ratio or the redox potential, and I won't go into 15 That's far beyond what we need to talk about. 16 that. But that measurement of how good of a 17 18 reductant it is is really what that ratio is. 19 So these variations that you see in the 20 reduced form really reflect for the most part the change in the redox state or the thiol disulfide 21 22 ratio, the reduced to the oxidized ratio throughout 23 the day. 24 So not only do you have a change in the

25 absolute concentration of the thiols, but you have a Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2717 1 corresponding variation in the oxidation reduction 2 state throughout the day. So that's a natural 3 variation. There's actually another point that I think 4 is important on this slide, and that is --5 SPECIAL MASTER CAMPBELL-SMITH: That's slide 6 7 number? 8 THE WITNESS: That's Slide 8, sorry. So slide 8, there are several studies that 9 have not looked specifically at concentration of these 10 11 components but have rather looked at how fast the 12 system turns over. 13 So it's one thing to say okay, there's one micromolar of glutathione, but it's another thing to 14 15 say how fast that one micromolar of glutathione turns over. So how fast does it go into the blood, how fast 16 does it go out of the blood, how fast is it 17 18 incorporated into the cells, and in that cycling, that 19 dynamic cycling of metabolism. 20 So those measurements are really very 21 interesting and I think very pertinent to this 22 discussion because what those numbers, what they 23 measure out to show is that the turnover of the system 24 is approximately one micromole per kilogram body 25 weight per minute.

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1 In other words that same number that we 2 talked about as far as that sort of upper limit of the 3 total Thimerosal load from multiple dosing, that's equivalent more or less to the amount, the rate of 4 turnover on a per minute basis. 5 So within one minute there is more thiol 6 being turned over in terms of normal metabolism than 7 8 the total load of the Thimerosal from that cumulative 9 dose. 10 BY MS. RENZI: And I think before we go, I think that's 11 Q 12 illustrated on our next slide. But before we do that, 13 if you were to receive a Thimerosal-containing vaccine would that change at all this chart that we see on 14 15 Slide 8 of the natural variations? I wouldn't expect for there to be any effect 16 А The amount of Thimerosal, it simply wouldn't 17 at all. 18 be delivered within one minute, so the total turnover is so fast that it would have, I don't think it would 19 20 have any detectable effect. I don't think any instrumentation is good enough to, if it had an 21 22 effect, to even be able to detect it. 23 0 If we can go to the next slide --SPECIAL MASTER CAMPBELL-SMITH: That would 24 be slide number nine? 25 Heritage Reporting Corporation

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DR. JONES, MD - DIRECT 2719 1 MS. RENZI: Number nine. Thank you. 2 BY MS. RENZI: 3 We're going to go back and look at --0 This was the basis for going to Slide 9. Α 4 0 Just move to Slide 9. 5 What you were saying, Dr. Jones, that it 6 7 would take less than a minute for the body to replace 8 the amount of glutathione that is used to bind and 9 deactivate a Thimerosal-containing vaccine, even if the entire six month load were administered at one 10 11 time? Α Yes. 12 13 0 Now Dr. Deth relies on several in vitro studies to support his causal hypothesis involving 14 sulfur metabolism. Do you consider in vitro studies 15 to be a reliable way to determine in vivo toxicity? 16 Α No, I do not. 17 18 0 I want to draw your attention to page four 19 of Dr. Deth's report where he discusses in vitro 20 studies in his laboratory, and the data has not been He states that, "The threshold effect for 21 published. 22 Thimerosal reduction of GS8 is approximately .1 23 nanomolar indicating a remarkably potent influence on 24 cellular redox status in human neuronal cells." 25 Can you read that? Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 1 Α Yes. 2 0 Have you reviewed the published in vitro

3 data which measured the concentration dependence of Thimerosal depletion in glutathione? 4

Α Yes, I did. When I read Dr. Deth's report 5 this particularly caught my attention because that .1 6 7 nanomolar of glutathione is, that effect on 8 qlutathione is simply at such a remarkably low level that there's no analytical technique that I know of 9 10 that would be sensitive enough to pick up that type of 11 an effect on a glutathione system. So that prompted me to go back and review the literature on the dose 12 13 dependence, the in vitro studies, of the dose dependence of toxicity of the Thimerosal in the in 14 15 vitro studies, which I included in my report for comparison of the published peer reviewed, published 16 17 literature on the concentration dependence of the 18 Thimerosal toxicity.

19 SPECIAL MASTER VOWELL: Doctor, can we break that into two pieces? Are you saying that there was 20 no way to detect that effect? 21

22 THE WITNESS: What caught my attention was 23 the fact that if you put a chemical in that you're 24 claiming to have an affect on the glutathione, then 25 you have to be able to measure the change in

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1 glutathione at that level. I have developed methods 2 for glutathione, in fact I have one of the major 3 methods that's in use for glutathione, and the level of, the sensitivity of the method, it's the best 4 method available as far as I know, and the sensitivity 5 of that method isn't good enough to be able to detect 6 7 a .1 nanomolar change. So that's what caught my 8 attention to this. Just that number did not seem credible. 9 That's not passing any judgment because I 10 11 don't know what method he used for his measurements 12 because that wasn't in the report of how they measured 13 glutathione. SPECIAL MASTER VOWELL: But you're saying 14 15 you know of no method. THE WITNESS: I know of no method. 16 That's 17 what I'm saying. So that prompted me to go to the 18 literature to look at what other people had reported as far as the concentration of Thimerosal that would 19 cause toxicity in the in vitro study. 20 Is that clear? 21 22 SPECIAL MASTER VOWELL: That's clear. Ι 23 just wanted to make sure. 24 BY MS. RENZI: 25 0 And you've listed that literature on page 11 Heritage Reporting Corporation (202) 628-4888

1 and 12 of your report.

2	A Yes. And I was not exhaustive on this.
3	What I tried to do, I was not selective either. These
4	were just ones that I went to. These were the first
5	ones that I ran across in this literature. They
6	seemed to be relevant to me and actually seemed to be
7	extremely consistent with what they showed. If you
8	look at the Park et al, they found that 2.9 micromolar
9	killed 50 percent of these inner medullary collecting
10	ducts. Those are kidney cells. 9.5 micromolar killed
11	50 percent of embryonic kidney cells. Then gastric
12	cancer cells, it was between five and 10 micromolars.
13	In the Herman paper, neuroblastoma model,
14	very similar concentration. 2.5 micomolar kills the
15	cells but not one micromolar.
16	In this SKNSH neuroblastoma cell line of
17	Humphrey, they also found 2.5 to 5 micromolar causing
18	cell death.

19 There was one paper that showed a little bit 20 lower value with a nanomolar range, but this was an 21 unusual one because these cells, as far as I know, 22 require a specific growth factor in order for the cell 23 to survive.

24 So they took that growth factor out, which 25 is going to cause the cell to die anyway, and then Heritage Reporting Corporation (202) 628-4888

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1 they put in mercury, they put in the Thimerosal and 2 found an enhancement of the toxicity of these already 3 dving cells. So I think that is actually stretching the 4 point as far as sensitivity. I would read those data, 5 the cumulative data that I see in the published 6 literature is really consistent with the toxicity and 7 8 the range of in the low micromolar range of the 9 Thimerosal. SPECIAL MASTER HASTINGS: 10 Let me ask a 11 question at this point. I had a question when I first read pages 11 and 12 of your report, and as I reviewed 12 13 it last night. You see at the bottom of 11 you indent a 14 15 paragraph. It goes on to page 12, those two paragraphs. It wasn't clear whether you were quoting 16 17 from something earlier. Why --18 THE WITNESS: No. I --19 SPECIAL MASTER HASTINGS: That's just part of your report, where you actually talk about the in 20 vitro evidence. 21 22 THE WITNESS: That was because, I indented 23 that just because in this case I explicitly went to 24 the literature and reviewed those points, as opposed 25 to, most of the other work I was largely relying upon Heritage Reporting Corporation (202) 628-4888

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1	my own expertise that I am completely knowledgeable
2	on. I'm not an expert in mercury toxicity. I am not
3	an expert in Thimerosal toxicity. I want that to be
4	completely clear. I went to this as an objective
5	scientist asking the question why is this value of
6	nanomolar that Dr. Deth cited, why is that
7	inconsistent with the way I would think about
8	toxicity.
9	So when I went to the literature I found
10	that the bulk of the literature that I saw did not
11	give toxicity in the nanomolar region. It gave the
12	toxicity in the micromolar region.
13	SPECIAL MASTER HASTINGS: But these two
14	paragraphs are your own summary of your literature
15	review.
16	THE WITNESS: That's right.
17	SPECIAL MASTER HASTINGS: Thank you.
18	THE WITNESS: I don't want to imply that I
19	was exhaustive, because I am not an expert in that
20	field. These are the papers that I picked up, and as
21	I went through them they were consistent with each
22	other.
23	BY MS. RENZI:
24	Q Doctor, how can culture conditions determine
25	what concentration you need to cause toxicity? We're
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1 on Slide 9.

2	A We have done a lot of in vitro toxicity
3	research. I have put an example of the type of
4	problem that you can have in trying to do
5	extrapolations from in vitro work to in vivo work.
6	One of these is illustrated here in Slide 9.
7	What this shows is that in tissue culture in
8	general you have cells growing on a single mono-layer
9	on a dish. So at the bottom of the dish there's a
10	very very small volume of cells. Above that you have
11	your culture medium which contains the nutrients for
12	those cells to grow. This is really to illustrate the
13	point, different investigators will do this
14	differently. They'll use different size of dish, they
15	will use different numbers of cells, they will use
16	different volumes. So those are oftentimes not
17	specified at all. Sometimes it's relevant in
18	experimental design, sometimes it's not.
19	If you have a chemical, however, that is
20	accumulated in the cells then that volume of the
21	culture medium above the cell relative to the volume
22	of the cells become highly relevant. Now the cells
23	are accumulating the toxic chemical that you add.
24	So the example that I've shown here is if
25	you had one milliliter of culture medium, in other
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1 words a thousand microliters on the left hand side, 2 that would partition into approximately 999 micrometers of culture medium relative to the cell 3 volume which would typically be one microliter volume, 4 sometimes even less than that. 5 What that means is if you put in a chemical 6 7 on the right hand side at one micromolar, so you add 8 it at one micromolar, if it accumulates in the cell, in this hypothetical example here, 100 percent of it 9 accumulated, you would accumulate that to 1000 10 11 micromolar in the cell. So this now becomes a function of the 12 13 relative amount of the volume that you have relative to the number of cells, as to how much of the toxic 14 load you're actually going to put onto the cells. 15 Is that point clear? 16 SPECIAL MASTER VOWELL: Let me just restate 17 18 it to make sure I understand it. 19 If I have something that is in volume, you're using 1000 micromolars? Only one of that is 20 cells. 21 22 THE WITNESS: Yes. 23 SPECIAL MASTER VOWELL: Those cells uptake a 24 particular toxic substance. 25 THE WITNESS: Yes. Heritage Reporting Corporation

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added.

DR. JONES, MD - DIRECT SPECIAL MASTER VOWELL: At 100 percent of that toxic substance. THE WITNESS: Yes. SPECIAL MASTER VOWELL: Rather than using ratios that say it's one micromolar to a thousand, it would really be, the cells would have that entire amount. THE WITNESS: Yes. That will happen for chemicals that react with thiols. They will accumulate into the cells and oftentimes it will be nearly 100 percent will accumulate. What that means then is if you put, for instance in this case if you put a half a mil in there of one micromolar, the dose at the cell would only be half as great as if you put in a full milliliter. So in effect simply by changing the volume that you're putting above the cells with the same

concentration, you can deliver a different amount of

comparing literature because oftentimes it's simply

not stated how many microliters of volume that's

This is really a problem for

23 The other side of this is actually I think 24 in the next slide, Slide 10. You can see the 25 importance of this comparison in terms of the thiol Heritage Reporting Corporation (202) 628-4888

toxicant to the cells.

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1	content. In that one microliter of cell volume if one
2	measured how much the total thiol content would be,
3	commonly it's in that range of one thousand to ten
4	thousand micromolar in those cells.
5	That means that if indeed you've put in one
6	micromolar of a chemical with this type of a volume to
7	a cell ratio, you're going to now be consuming a large
8	fraction of the total thiol in the cells.
9	Indeed what you see, if you go through the
10	literature, and just a broad spectrum. If you look at
11	different in vitro toxicity studies, what you will
12	find is that a very very very large number of
13	chemicals that react with thiols will cause toxicity
14	in this range, in this low micromolar range.
15	So in a way that's a very non-specific
16	effect because you're putting in so much of your
17	material that you're going to be really overwhelming
18	the thiol systems. You're putting in almost as much
19	of the reactive material, in some cases if you went up
20	to ten micromolar you probably would be putting in
21	enough to react with essentially every thiol in the
22	cell. So it's grossly out of line with what you would
23	see in vivo.
24	In vivo you have less extracellular volume
25	than you have cellular volume.

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1 We can stop on that if you need to think 2 about that one. These are different concepts. 3 BY MS. RENZI: Also the fewer the cells in the culture 4 0 medium the lower the toxicity threshold will be 5 because the more the administered substance goes to 6 7 each cell, is that --8 Α Yes. I think in Slide 11 I have this point made. 9 10 The more typical does response curve that 11 you would see for these experiments is that you would have essentially no toxicity at lower concentrations. 12 13 Once you achieve a range where you have toxicity, most of the cells die at the same time. 14 What that says is that all of the cells have the same mechanisms within 15 them. You don't have some cells that are going to 16 17 respond at a very very low concentration and other 18 cells that are responding at a very high 19 concentration. Rather, they're all responding 20 That means you really have a good cell similarly. culture. 21 22 What you can see from that example is that 23 if you did your experiments, if you tried to select 24 conditions you could use, instead of using a million 25 cells in your dish you could use 100,000 cells in your Heritage Reporting Corporation

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1 dish. So if you did that, now you only need one-tenth 2 as much of your toxic species in order to get the 3 toxicity.

So in effect this curve would be shifted tothe left in order of magnitude.

6 SPECIAL MASTER VOWELL: And you're referring 7 to Slide 11?

8 THE WITNESS: Slide 11, yes. It would shift 9 that curve to the left.

10 The difficulty, so I cannot pass judgment on 11 these papers in terms of this issue because none of them that I could tell really gave enough explicit 12 13 information as far as the volume that was used, as far as the number of cells that was used, to really allow 14 15 those type of comparisons. That is also the difficulty that I had with Dr. Deth's statement, 16 because without that type of information it really 17 18 isn't possible to know whether or not conditions were 19 selected to enhance the toxicity.

20 There are, of course, other ways that one 21 can change the culture conditions.

22 SPECIAL MASTER CAMPBELL-SMITH: Let me just 23 ask this question so I can be clear. With the 24 difficulty that you have expressed for in vitro is, 25 particularly in perfect conditions when you have a

DR. JONES, MD - DIRECT 2731 1 uniform cell culture, a good cell culture that reacts 2 the same. 3 First of all it's a mono type of cell. THE WITNESS: That's right. 4 SPECIAL MASTER CAMPBELL-SMITH: And there's 5 no extracellular material to absorb the added 6 So the cell bears the full burden of the 7 toxicant. 8 toxicant. 9 That's right. THE WITNESS: SPECIAL MASTER CAMPBELL-SMITH: And unlike 10 11 the natural in vivo circumstance where you have extracellular area and you also have different types 12 13 of cells that would modulate the effects. THE WITNESS: Absolutely. 14 15 SPECIAL MASTER CAMPBELL-SMITH: Those are the reasons why it is difficult to do the translation 16 from in vitro to in vovo. 17 18 THE WITNESS: Yes, exactly. That's the 19 difficulty of being able to compare Dr. Deth's data also to the other in vitro data. Because if you take 20 into account the fact that you can do your cell 21 22 culture with or without albumin, for instance. 23 Albumin is a component of blood and it binds a lot of 24 chemicals. Albumin has, in human plasma, there's 200 to 400 times as much thiol in the albumin in the blood 25

1 as there is glutathione in the blood. So if you, in cell culture, most people 2 would have albumin present. If you put albumin 3 present then it's going to change how much of your 4 Thimerosal will get into the cells. At least in 5 general that's the way I would -- I don't know that to 6 be a fact on Thimerosal because I haven't done those 7 8 studies, but in general if you had a serum in the culture medium it will change the sensitivity of the 9 cell to the toxicant, so it will shift that curve. 10 11 So if you omit albumin from your culture 12 medium you can shift that curve over. 13 If you change your ratio of the volume to the cells, you can shift that ratio over. 14 15 If you omit growth factors like the nerve growth factor that's essential for the cell to 16 survive, for many of these neuronal cells to survive. 17 18 If you omit that, you can shift the curve over. 19 So really without knowing, and that's one of 20 the values of peer review, because once the data goes into peer review, the reviewers usually will be 21 22 careful as far as identifying a lot of these problems. 23 Sometimes they won't. Sometimes things will go 24 through without that critical review. But 25 nonetheless, the peer review does provide some Heritage Reporting Corporation

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1 assurance that those conditions have been adequately 2 described so you can understand what was done. 3 Especially in something like this, if someone were to try to publish something that is three 4 or four orders of magnitude, a thousand fold or ten 5 thousand fold out of line with half a dozen papers 6 that have already been published, questions would be 7 8 raised. What are the differences? Why are all these other papers wrong and why are you right? Those 9 10 questions would be raised. 11 That's my comment on that, and I don't know if there's anything else you want --12 13 SPECIAL MASTER HASTINGS: Just before you answered Special Master Campbell-Smith's question in 14 15 your discussion of Slide 11 you said that's why I have trouble with, you said "these papers" or "those 16 papers" in the plural. 17 18 I wasn't clear what papers you were 19 referring to at that point. 20 THE WITNESS: I'm not sure. I quess what I 21 was saying is the, I am uncomfortable with the 22 discrepancy of Dr. Deth's report. 23 SPECIAL MASTER HASTINGS: So you were 24 referring strictly to Dr. Deths' paper --25 THE WITNESS: Dr. Deth's report relative to Heritage Reporting Corporation (202) 628-4888

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1 I think as a scientist what we're trained the others. 2 to do and what we've learned to do is you have to 3 trust the scientific literature. That's really, you 4 have to trust the published literature was done as honestly and with as much integrity as possible. When 5 the same observation or similar types of observations 6 are obtained in several laboratories you would tend to 7 8 give that more credibility than an unpublished report where you didn't have the understanding of why the 9 systems were different and why the bulk of the 10 11 published literature was wrong. I think that would be the way I would try to say it. 12

I may have misspoken, I'm not really sure.BY MS. RENZI:

Q So just to clarify this although probably no clarification is needed, but the stark differences between the published peer reviewed literature and Dr. Deth's data, in your experience as a researcher, can you draw conclusions on the reliability of Dr. Deth's data?

A Again, without actually knowing the conditions in which he did it and without really understanding those details, the face value, I have to conclude that I would question. I would not put credibility in Dr. Deth's statement that it's

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DR. JONES, MD - DIRECT 2735 1 sensitive of the nanomolar concentration. That seems 2 to be inconsistent with the bulk of the data that I've 3 looked at. One of the studies relied upon by Dr. Deth 4 0 is a 2005 Jill James study which is Petitioner's 5 Master List 7. Did you review this paper? 6 7 Α Yes, I did. 8 SPECIAL MASTER VOWELL: You're on Slide 12? THE WITNESS: Slide 12. 9 10 BY MS. RENZI: 11 Did you reach any conclusion about its Q relevance to what occurs in vivo with Thimerosal-12 13 containing vaccines? I really concluded that these conditions 14 Α 15 were probably irrelevant to the question of in vivo toxicity because the concentrations were really out of 16 17 I went through the calculations here on this line. 18 slide and it's also in my written report. with the 19 James paper they used ten micromole per liter 20 Thimerosal and I just used this value of 200 micrograms per micromole, that's the mercury content. 21 22 But that's equal to 2000 micrograms per liter there on 23 the right hand side, just the ten times the 200. 24 So the 2000 micrograms per liter would be two micrograms per milliliter. 25

1	If you assumed that in the one milliliter
2	culture that you had one miligram of cells at that
3	bottom, then what that would mean, that accumulated in
4	the cells there in the middle, you can see two
5	micrograms. That would be equivalent to two
6	micrograms per milligram of cells. Of course the two
7	micrograms per milligram of cells would be equivalent
8	to two milligrams per grams of cells if there were
9	avid uptake of the cells in the white there. There
10	would be two grams per kilogram tissue.
11	So if you go back to Ball et all estimate
12	for the total body load from the multiple Thimerosal-
13	containing vaccines of 200 micrograms, that's equal to
14	0.0002 grams.
15	So what that would say is that in the same
16	units, if that were in a one kilogram child, you would
17	have, a rough estimate, 10,000. I have listed here as
18	greater than 1,000 but it would really be closer to
19	10,000-fold higher concentration of the Thimerosal in
20	these in vitro experiments than would be relevant to
21	the actual dosing in vivo.
22	Q This slide just illustrates the problem of
23	trying to extrapolate in vitro studies into in vivo
24	conditions.
25	A That's correct. And that's really the
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difficulty is the total Thimerosal load is simply so
 low relative to what the cells see in these in vitro
 conditions.

Q Dr. Jones, I'd like to take a look at the next slide, Slide 13, which was presented by Dr. Deth as his Slide 24.

7 We've heard Dr. Aposhian testify that liver
8 contained approximately 10 millimoles of glutathione
9 and that is where glutathione is most concentrated.
10 What does this graph represent?

11 Α If you look at this, the concentration here that's given, or the content, starts off at about 750 12 13 nanomoles per milligram protein. So in general tissues, mammalian tissues, whether it's brain or 14 liver, will contain about 20 percent protein and a 15 little over 70 percent water. So what one can say is 16 that for one milligram of protein there would be 17 18 approximately 3.5 microliters of water.

19 So you can actually convert these numbers in 20 a rough way to millimolar, in a very direct way really 21 to what the approximate millimolar concentrations 22 would be in these experiments.

If you do that, those numbers, it would be approximately 3.7 microliters of volume that would be 750 nanomoles would be in 3.7 or so microliters. That Heritage Reporting Corporation (202) 628-4888

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1 calculates out to about 20 millimolar glutathione. 2 So there's clearly something wrong with the 3 analytical methodologies here. Again, I don't know what methodologies were used, I don't know how it was 4 referenced, but it really puts into question all of 5 these data because the data are just inconsistent. 6 There's really no tissue in the body that has 20 7 8 millimolars glutathione. That's far in excess of what 9 tissues would have. Dr. Jones, is it your opinion that 10 Q 11 Thimerosal-containing vaccines play no significant role in sulfur metabolism? 12 13 Α Yes. I think at the doses that are included in the Thimerosal-containing vaccines, that that does 14 from, my understanding of what that dose is, that 15 would be at a level which would simply be too low to 16 have any significant effect on the glutathione system. 17 18 Q Your second question is, at doses where 19 effects on sulfur metabolism occur, are these adverse 20 effects. I'd like you to address that. This question is, again going back to Dr. 21 Α Deth's written report, I felt it was important to 22 23 break his overall consideration into three different 24 questions because the first aspect is whether or not 25 you're going to expect a change in sulfur amino acid Heritage Reporting Corporation

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DR. JONES, MD - DIRECT 2739 1 from that level of Thimerosal. But the second one 2 then is, if you had a detectable effect, that is even 3 if it were high enough that you did have a detectable effect, would you expect that to be an adverse effect. 4 For this I have in here, unfortunately, a 5 very complicated slide so I think in the next one, 6 But hopefully I have simplified it enough 7 Slide 15. 8 so it's not unreasonable as far as the major point. 9 So this illustrates one of the central 10 systems that the body has to protect against agents 11 which would perturb the glutathione system. 12 The way this system works is that if you 13 have a low level of any sort of component that's going to perturb the glutathione system, there is this Nrf-2 14 15 system, and it's not important that you know what Nrf-2 stands for. This Nrf-2 system is bound to a sensor, 16 and this sensor measures things that react with 17 18 thiols. It actually has 26 different cysteines, 19 different thiols in this one protein called Keap-1. 20 So the way the system works is if you have 21 something, these are very very sensitive thiols. Ιf

you have something that perturbs the system, this Keap-1 is more or less a sensor for that. What it does is it releases the Nrf-2, and the Nrf-2 then which is normally kept in the cytoplasm away from the Heritage Reporting Corporation (202) 628-4888

genetic material, this moves into the nucleus and
 interacts with the genetic material and turns on
 protective systems.

4 SPECIAL MASTER HASTINGS: In your last 5 couple of sentences you used the word "sensor". It's 6 S-E-N-S-O-R?

7 THE WITNESS: Yes. To detect something, the8 usual English word.

Really, I just use that sort of as an easy 9 10 way to describe it. What it is is a protein that has 11 26 different cysteines sitting out in its structure. And when these chemicals come in, whether it's an 12 13 oxidant or an electrofile, a reactive chemical, or even some metals will interact with this. 14 What it 15 does is it changes the structure and causes this protein, the Keap-1 to let go of this other protein 16 that it normally binds to. That other protein that it 17 18 normally binds to is called Nrf-2. That now moves 19 into the nucleus. Once it goes into the nucleus it can interact with the DNA in the nucleus. 20

In that process it turns on increasing the proteins that are needed, these other systems, these antioxidant systems, these other systems that are protective against agents that will perturb the glutathione system.

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1 So normally what happens is, and this 2 happens to us every day when we eat. If we go out and There are many different things 3 run this happens. that will cause this. It increases the system and it 4 increases our protective mechanism. 5 I think the main point of this is that we're 6 7 constantly exposed to these agents. It's a normal 8 protective mechanism. So if one sees a change in the glutathione system, one can't just assume that it's a 9 10 bad change. It can in fact be a protective change 11 because that is the way the system response. 12 I think in Dr. Johnson's testimony, Dr. 13 Johnson is an authority in that mechanism. I think he talked about that system of where you initially see a 14 15 decline and then in the time course it comes up and that is part of the normal signaling mechanism that 16 17 controls the system. 18 BY MS. RENZI: 19 It's a compensatory response to --Q 20 Α It's a protective response, really. Yes. What sorts of things induce this 21 0 22 compensatory response in the glutathione system? 23 Α I have listed some of these. There are 24 many of these that are common in the diet. This is 25 the reason that your mother or grandmother told you to Heritage Reporting Corporation (202) 628-4888

1 eat your broccoli and cauliflower and brussels sprouts 2 and so forth. Chemicals that do this are found 3 commonly in these cruciferous vegetables. They're also common in other foods such as garlic and onion. 4 They're present in things such as green tea that are, 5 there are many -- these are widespread chemicals in 6 the diet that will activate this. You can activate it 7 8 with a number of other mechanisms that will cause changes in the system. 9

10 Q So like I never ate my vegetables as a 11 child. Does this mean I wouldn't have had any of 12 these compensatory responses?

13 Α No, you still have the compensatory How much they are activated, it will vary 14 responses. really on an individual basis, on a day to day basis. 15 We all have these protective mechanisms. 16 The most important thing is that simply by measuring the level 17 18 itself, measuring the level of glutathione itself and 19 the change in the level of glutathione itself, you can't assume whether it's a good thing or a bad thing 20 21 to see a change because the way the system responds is that an initial small decline can cause a 22 23 tremendous subsequent increase in the response. 24 For instance, there's a chemical in apples called dimethyl fumarate. The dimethyl fumarate, if 25

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DR. JONES, MD - DIRECT 2743 1 you drink apple juice, it will activate the system. 2 So initially you'll see a small decline in the 3 glutathione levels, but then the glutathione levels will rise at subsequent times. 4 SPECIAL MASTER VOWELL: And this is the 5 point that was being made two days ago about not 6 7 carrying the experiment out over a long enough time 8 period. That's right. 9 THE WITNESS: Yes. 10 BY MS. RENZI: 11 In summary, what does this data show you? Q we'll go to slide 17. 12 13 Α I think in terms of the second question then, at doses where you do see effects on sulfur 14 15 metabolism, can you just assume that those are adverse I think that the normal diurnal variation 16 effects? seen is greater than that which would occur due to the 17 18 Thimerosal dosing. 19 So in that sense one wouldn't say that the 20 variation would be an adverse effect. That's just a 21 normal physiologic variation. 22 Then with regard to the Nrf-2 system, 23 there's really a broad range of agents which activate 24 that system to cause protective responses so that one 25 cannot conclude that simply modification of Heritage Reporting Corporation

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1 qlutathione implicates toxicity. That is an 2 unreasonable, an incorrect conclusion to make. 3 So I think the bottom point there is that modification of glutathione per se cannot be taken as 4 evidence of adverse effects. 5 You've demonstrated that Thimerosal-6 0 containing vaccines do not have a significant effect 7 8 on sulfur metabolism, and even if they had effects, we couldn't assume that the effects were adverse. 9 10 But putting that aside, your answers to the 11 first two questions you presented, and we're on Slide 18, if there were adverse effects on sulfur 12 13 metabolism, could you assume that they'd be a cause of autism? 14 For this what I did was I went through, to 15 Α try to address this I went through Dr. Deth's, I 16 listened to Dr. Deth's presentation of his hypothesis. 17 18 What I'd like to do is go through briefly what I 19 consider to be critical points in this hypothesis and what I felt were questions. I think we can go to this 20 overhead. 21 That would be fine. 22 MS. RENZI: 23 Special Masters, what I think we'll do here 24 is, he's going to probably write on some slides. You 25 have in front of you the reference, and then we will Heritage Reporting Corporation

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DR. JONES, MD - DIRECT 2745 1 submit this as Trial Exhibit 10 with his writings and 2 we'll get you copies during the break. 3 BY MS. RENZI: You found critical flaws with the mechanisms 0 4 hypothesized by Dr. Deth, is that correct? 5 Α So what I've done here is in this, 6 Yes. I've just taken Dr. Deth's Slide 18 where he has 7 8 outlined his mechanism in terms of the sulfur, the 9 alterations in the sulfur metabolism. What I want to start with is number one here where he has described a 10 11 pathway for glutathione being converted through 12 cysteinylqlycine to cysteine as the supply mechanism 13 for delivering cysteine to the neurons. In his evidence that he presented for the 14 15 mechanism, he relied for support for this particular aspect of the mechanism upon the data from an article 16 of Jill James and coworkers --17 18 SPECIAL MASTER VOWELL: This is Slide 20? 19 THE WITNESS: This is now Slide 20 that you 20 have. This is Dr. Deth's Slide 13. 21 22 What I'd like to point out is that the 23 chemical, these are all plasma values. I believe 24 you've already heard testimony that it's really 25 unreasonable to use plasma values as indicators of Heritage Reporting Corporation (202) 628-4888

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1 what's happening in the brain, so there's already a question with regard to the validity of using these 2 data to support the hypothesis because there's really 3 abundant literature that the blood levels do not 4 directly reflect the brain concentrations. 5 But nonetheless he used this in support of 6 7 his argument. 8 Specifically he pointed out here the 36 percent decline in the free glutathione as being a 9 10 very important component. 11 So we'll go back to the overall scheme in just a moment, but what I would like to point out is 12 13 what's herein the green box is cysteinylglycine. Now the cysteinylqlycine concentration, if you notice, is 14 the highest concentration of all of the components in 15 this list. So this is the one that's in most 16 abundance in the plasma according to these 17 18 measurements. 19 Generally the higher the abundance the greater the analytical accuracy. So one would have to 20 assume that these would probably be the best numbers 21 22 in the whole list because they're the ones that are

23 the most abundant, they're the easiest to see, easiest 24 to measure.

25 What's very important here is that there's Heritage Reporting Corporation (202) 628-4888 Case 1:03-vv-00584-MBH Document 113 Filed 10/21/08 Page 63 of 237

DR. JONES, MD - DIRECT 2747 1 no significant difference between the control and the 2 autistic in terms of the concentration of the 3 cysteinylqlycine. So if we go back to that scheme of the 4 pathway what you'll notice from the pathway is that --5 6 SPECIAL MASTER VOWELL: We're back on Slide 19. 7 8 THE WITNESS: That's back to Slide 19. That is a critical intermediate in the pathway. In other 9 10 words, what he is arguing is that you have a decline 11 in the qlutathione which is relevant to the downstream 12 effects, but ignoring the fact that the critical obligatory intermediate, that is the cysteinylglycine, 13 doesn't change. So that's completely inconsistent 14 15 with that hypothesis. So even if you believed his arguments that 16 the plasma values are relevant, the data in the James 17 18 article show that it can't be correct according to the 19 model that he has depicted here. 20 BY MS. RENZI: So essentially Dr. Deth's reliance on the 21 0 22 data refutes that part of his hypothesis. 23 Α That's correct. That aspect of his

24 hypothesis, according to the data that he has 25 presented, really cannot be.

The second point on this slide, and I have also listed this, I've given you a separate slide on this with a number two on it, but for this illustration I'm just going to add this directly to the Slide 19.

6 The second point that's very important is 7 that Dr. Deth relies upon an inhibition of cysteine 8 transport, the EAAT3, the inhibition of this step is 9 particularly critical in the mechanism.

10 So I think it's important to introduce the 11 discussion of the way the body maintains amino acid 12 supply, and so in Slide 22 I have illustrated this, 13 which would be sort of basic cell physiology.

14 The way the body works, the way the cells 15 work to make proteins, we are constantly making 16 proteins. It's the way our bodies have to make 17 proteins all the time.

18 The proteins require 20 amino acids. So the 19 question is, how does the cell avoid only having 19 of 20 those? You may have all 20 of them in the kidney, but if you only have 19 of them in the liver you can't 21 22 synthesize proteins in the liver. So how does the 23 cell, how do all of the cells maintain, despite all 24 the variations in metabolism, how do the cells assure 25 that every cell always has all 20 amino acids so they

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1 can continue business as usual?

2 The way they do that is that all cells have 3 multiple amino acid transporters. They don't just have one transporter, they don't just have EAAT3. 4 They all have multiple transporters. Many of those 5 transporters have a characteristic, they're called 6 7 antiporters. The anti means, if you look at the 8 figure in Slide 22, the antiporter means that what these transport systems do is they take one amino acid 9 on one side, amino acid one, and they exchange it for 10 11 amino acid two on the other side.

12 In other words, if you have a high 13 concentration of amino acid one on one side, it will 14 be going out of the cell. At that time it will be 15 driving another amino acid into the cell.

By having a series of these as illustrated here, what this does is it assures that all amino acids are balanced.

I have here on the top, amino acid two in a slightly larger font. What that's meant to indicate is that that's at a higher concentration. So if you had a higher amount of that on that side, it would be moving outside in exchange to bringing amino acid one inside.

25

Now because you have other transporters here Heritage Reporting Corporation (202) 628-4888

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1 at the bottom, amino acid one being exchanged for 2 amino acid three, what that means is as you increase 3 amino acid one it now is going to go out and bring in 4 amino acid three.

5 So by having a series of these it assures 6 that all 20 amino acids are maintained in a cell.

7 The important point with regard to this is 8 really shown in the next slide, Slide 23. This was 9 Dr. Deth's data. What these data show is that over a 10 very broad range of Thimerosal concentrations, that is 11 ten to the minus 12 to ten to the minus six, there's 12 really essentially no effect on the cysteine uptake.

13 What that's telling us is that yes, you have this other transport system, cysteine transport is 14 15 qoing on anyway, even if this first point which we don't really have the details, so we don't know 16 whether that is just some aberration of the experiment 17 18 or whether that's a real effect. But certainly these 19 data from ten to the minute twelve from ten to the 20 minus six are very consistent. There's really very little effect there. 21

Now if one goes back to the absolute concentrations and measures how much cysteine is being taken up under those conditions? What you would see if you calculated out the rates, and I haven't done

this on his experiment so I don't know exactly the conditions. But based upon my knowledge of these types of experiments in other cells, in other culture conditions, this rate is substantially higher than the rate that you would need for glutathione synthesis or that you would need for protein synthesis.

7 In other words these conditions, what this 8 shows is that over this very broad range of Thimerosal 9 there is really not a sufficient inhibition of the 10 cysteine transfer for this aspect of the hypothesis to 11 really carry any weight.

My impression from that is, coming back to Slide 19, is that this component of the hypothesis is also incorrect. His data does not show you would have sufficient inhibition of the cysteine uptake to actually have any effect on these downstream pathways as he has argued.

18 Going on to, in terms of his model here, 19 this is back to Slide 19 or with already the annotated 20 Slide 24 where I have written number three at this 21 point on the diagram.

22 So there's a real question with regard to 23 Dr. Deth's argument as far as what determines whether 24 homocysteine goes through the degradative pathway or 25 whether homocysteine goes through the recycling

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DR. JONES, MD - DIRECT 2752 1 pathway of metabolism. So that's here by the 2 homocysteine, if it's going up that's the oxidated 3 pathway; if it's going down, that's the recycling pathway. 4 Is that clear? I annotated this. 5 So the critical question now is what happens 6 7 to the homocysteine. 8 I'm getting the sense that I've lost you all. 9 10 SPECIAL MASTER HASTINGS: On that particular 11 diagram I don't see the homocysteine. 12 That's HCY. This is Dr. THE WITNESS: 13 Deth's slide and his nomenclature, I apologize for that. Let me write that on here. 14 Homocysteine is 15 that right there. A critical component of his argument is that 16 17 the glutathione which is at the top up here on this 18 diagram, that the glutathione is determining whether 19 homocysteine goes through the degradative pathway or 20 whether homocysteine is being recycled in the methylation cycle. So that's a critical component of 21 22 his argument. 23 What we know about this from the literature 24 is that the degradative pathway is largely controlled 25 by the amount of methionine that we have in the diet. Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - DIRECT 2753 1 So if we have excess methionine in the diet the system 2 works to stimulate that pathway to be able to degrade That's how we get rid of the 3 the excess methionine. excess methionine. 4 So what we can see from this is that the 5 literature tells us that at the bottom of this that 6 7 methionine is an important determinant of that 8 degradative pathway. 9 Now the other literature that's very 10 important is that S-adenosylmethionine which is also in this lower circle here, the methionine, the 11 methylation circle --12 13 SPECIAL MASTER HASTINGS: And you just circled the SAM. 14 THE WITNESS: SAM. 15 That's Sadenosylmethionine. Let me write that down here. 16 17 Now the S-adenosylmethionine is an important 18 regulator of two enzymes. One of those enzymes is the 19 sysdefining betasynthate, this enzyme that determines 20 whether homocysteine is degraded. If this SAM, S-adenosylmethionine, this is 21 22 high, it stimulate that enzyme. 23 If it is high it inhibits this remethylation 24 from methyltetrahydrofolate. So in effect what the literature shows is 25 Heritage Reporting Corporation

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DR. JONES, MD - DIRECT 2754 1 that the control at step three is not from 2 glutathione, but rather from the components within this methylation cycle, that is methionine and SAM. 3 BY MS. RENZI: 4 You found one more critical flaw in Dr. 5 0 Deth's hypothesis, is that correct? 6 That's correct. I have summarized those 7 Α 8 points on Slide 25. That's the points that I just 9 made. 10 Finally then, in terms of the, what I 11 consider critical flaws in these hypothesis, concerns this step four here which is necessary to close the 12 13 cycle in terms of signaling. That has to do with the methionine synthase reductase. 14 15 So in the data that Dr. Deth provided, this is taken from Dr. Deth's Slide 39. 16 SPECIAL MASTER HASTINGS: You're on Slide 27 17 18 now? 19 THE WITNESS: Yes, my Slide 27. This is 20 data from Dr. Deth's slide which he had taken, quoting Dr. James' article where he was showing the genetic 21 22 variations in support of his hypothesis. What you can see from this, this is the 23 24 lower portion of that Table 3 from James' article, is 25 this reductase that's required for the methionine

1 synthase reductase, the MTRR.

2 What you can see from this data is that the 3 odds ratio is .78, .69, .61, and .66 for the different 4 genetic variations in this particular protein. This 5 particular gene.

Now what the odds ratio means is if the odds ratio is greater than one, it means those variations are associated with increased risk. If you notice in the table, which I don't have here, but if you go back to the table, the other variations that were in that table had an odds ratio greater than one.

However, for this particular gene, the odds ratio is less than one. That means these genetic variations, if they're actually associated with autism, are protective.

So if we go back to the mechanism that's 16 drawn in Dr. Deth's hypothesis, that's completely 17 inconsistent because instead of the genetic variation 18 19 at this step causing a problem, contributing to 20 autism, it's actually, according to the data, it's So to me this, it really says that at 21 protective. 22 four critical sites in the scheme, that the pieces 23 just don't fit together that that's a plausible 24 hypothesis and a plausible mechanism for changes related to sulfur amino acid and as a cause of autism. 25

DR. JONES, MD - DIRECT 2756 1 BY MS. RENZI: 2 The data that Dr. Deth presented in 0 formulating his hypothesis doesn't support his 3 hypothesis, is that correct? 4 That's correct. These are the data that he 5 Α presented. 6 7 0 We'll go now to Slide 28. 8 Α Slide 28 really is Dr. Deth's Slide 41, going back to just his scheme on this. 9 10 What I think the data show, to me fairly 11 clearly, there really is not appropriate evidence, 12 this is just not the data saying that the dose of 13 Thimerosal is enough to alter the sulfur metabolism. This is really not established by the data. 14 15 Similarly, if you had a perturbation in the sulfur, even if a very minor effect happened, it 16 17 really would not be at a magnitude that one could 18 consider that that was responsible for oxidative 19 stress. I think you have to conclude that this is not 20 established either, the second point. Finally then, if you look at the subsequent 21 22 aspects of that, the variation, the oxidative stress, 23 there's a normal variation in oxidative stress and the 24 magnitude of effects are really not appropriate, and in fact the mechanisms that he's drawn cannot account 25 Heritage Reporting Corporation (202) 628-4888

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1 for changes in the methionine synthase activity in the 2 in vitro data that he provided without in vivo data 3 supporting it. Really you have to conclude that this step in the pathway is also not established. That is 4 the oxidated stress to the methionine synthase. 5 From that summary, from my standpoint there 6 7 really is no plausibility to this hypothesis at all. It's what I would consider a scaffold without a 8 There are a lot of components to it but it 9 building. 10 doesn't have the strength, the solidity of being solid 11 science and being reasonable or plausible. I have just a few summary comments if you 12 13 want me to go through those. This is my final slide, Slide 29. I think 14 in terms of my overall consideration of this, I think 15 point one is that the cumulative dose of Thimerosal is 16 too low because of the magnitude of effects on 17 18 glutathione metabolism that would be required to 19 conclude there's any likelihood of effect there. 20 That's just not plausible. The natural variation, point two, the 21 22 natural variations in the glutathione are greater than 23 what one would expect from the low dose of Thimerosal 24 that are present in Thimerosal-containing vaccines. 25 The third point is that at the low, if you Heritage Reporting Corporation (202) 628-4888

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1 did have an effect, you would have to conclude that 2 the low non-toxic dose would probably be protective, 3 because that would be activating protective mechanisms 4 that ubiquitously occur.

The fourth, the in vitro studies show that 5 the Thimerosal disruption of metabolism is probably 6 7 occurring under non-specific conditions, ones where 8 you simply have the cellular conditions set up so you're going to be disrupting lots of things and 9 10 they're not going to be giving any specificity. 11 That's simply because they're at irrelevant concentrations, irrelevant amounts. 12

I have to conclude that the data really don't support this hypothesis that there is an effect no the glutathione system that's causing oxidative stress and that's a cause of the autism.

17 MS. RENZI: Thank you.

SPECIAL MASTER VOWELL: Are you prepared to begin Cross-Examination or would this be a good time for our mid-morning break?

21 MR. WILLIAMS: I would very much enjoy a 22 break if that would be all right with you.

23 SPECIAL MASTER VOWELL: All right
 24 I have 10:40 by my clock. Special Master
 25 Hastings' watch is agreeing with me. So why don't we
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DR. JONES, MD - CROSS 2759 1 come back at five minutes to 11:00. 2 MR. WILLIAMS: Thank you. 3 (Whereupon, a recess was taken). SPECIAL MASTER VOWELL: All right, we're 4 back on the record. 5 Mr. Williams, are you prepared to begin your 6 Cross-Examination of Dr. Jones? 7 8 MR. WILLIAMS: Thank you. 9 SPECIAL MASTER VOWELL: You may do so. CROSS-EXAMINATION 10 11 BY MR. WILLIAMS: Good morning, Dr. Jones. 12 0 13 Α Good morning. I'm Mike Williams here for the Petitioner 14 0 15 steering committee and these two children. I want to start by asking you about a paper 16 you published on mercury toxicity. I have an extra 17 18 copy of it here for you and one for the counsel. 19 This wasn't mentioned in your Direct 20 testimony but this is off your CV and you're probably familiar with this paper. 21 22 Α Yes. 23 0 Let's show the title, please. 24 The title says, "Differential oxidation" and you tell me how to pronounce it. I don't know whether 25 Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - CROSS 2760 1 it's thio or theo. 2 Α Thio. Thioredoxin? 3 0 Α Yes. 4 "Thioredoxin-1, thioredoxin-2, and 5 0 glutathione by metal ions." 6 7 Α Yes. 8 Ο You've published quite a few papers on 9 thioredoxin, haven't you? Yes. 10 Α 11 Q What is thioredoxin and what is its 12 significance in the, if we can concentrate on what the 13 significance would be in the brain that would be a good thing. 14 15 I can't really concentrate on that. Α We have 16 studied, we study mostly cellular mechanisms. These 17 are studies to try to understand the functions of the thio-disulfide systems in cells. This particular 18 19 paper was looking at thioredoxin-1 which is a 20 cytoplasmic and nuclear form of this antioxidant protein, and thioredoxin-2 which is the mitochondrial 21 22 form of this protein. Then looking at that in 23 relative to the changes in glutathione within the 24 cell. How do thioredoxins in either the cell or in 25 0 Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - CROSS 1 mitochondria relate to glutathione? 2 Α They are sort of a complementary system. 3 One of the points with regard to the function of glutathione and its role as an antioxidant is that 4 glutathione is not alone. There are many different 5 antioxidant systems and that also is part of the 6 7 questioning with regard to the term glutathione. 8 There are many other protective systems in the cell and thioredoxins are one of these widely distributed, 9 10 protected systems. 11 This is one of your original research Q 12 papers, right? 13 Α That's correct. I know you looked at a number of metal ions, 14 0 15 but one of them was HG++ or mercuric mercury, correct? Yes, that's correct. 16 Α Why did you look at HG++ and the 17 0 18 thioredoxins? 19 The reason for that is that we were looking Α 20 at different metals to see whether or not some metals affected the thioredoxin systems differently than they 21 22 affected the glutathione system. Really a comparative 23 type of study. I couldn't find any funding source for this 24 0 25 Is this just -study. Heritage Reporting Corporation (202) 628-4888

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1 This was funded, this may have been one А It was funded from my NIH 2 where we did not cite this. 3 grant on the, I have a nuclear thioredoxin where I've been studying the functions of the glutathione and the 4 5 different subcellular compartments. So this was funded by that NIH grant. It would have just been an 6 oversight if we didn't cite that on this. 7

8 Q If mercury, if HG++ were able to 9 significantly inhibit these two enzymes, thioredoxin-1 10 or 2, would that be a sign that it could be toxic to 11 cells?

12 A Certainly. The concentrations we used were 13 ten to 100 micromolar which are obviously very high 14 concentrations. But what the data showed was that you 15 can in fat change the function of the thioredoxin by 16 putting in mercury.

Q This is in both cells and in mitochondria. If you have enough mercury there to suppress this or to inhibit this enzyme, would that happen in both mitochondria and in the cell itself?

A It would happen wherever the mercury accumulated, yes. That's what the data showed in this paper.

It bears the same point as I've already
brought out. When you use these cell culture studies,
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DR. JONES, MD - CROSS 1 we were not addressing in vivo relevance of the 2 specific dosing. What we were asking in this study 3 was just something that had not been addressed before. That is scientifically, does the thioredoxin system 4 respond in the same way as the glutathione system. 5 The answer to that data is clearly no. They respond 6 differently. 7 8 0 If we could turn to -- by the way, we marked this as Trial Exhibit 6 for the Petitioner. 9 It's not on our master reference list yet. 10 11 (The document referred to was 12 identified as Petitioner's 13 Trial Exhibit 6.) If you could turn to the second page of this 14 0 15 paper. In the left hand column there's a paragraph that starts, "Discrimination between oxidation". Blow 16 up that paragraph, if you would. 17 18 It may take a little while to go through 19 this but I think this is going to be relevant, so bear 20 with me. You're talking here about discrimination 21 22 between oxidation of the glutathione that's reduced 23 versus the oxidized qlutathione, right? The GSH/GSSG? 24 Α Yes. 25 And the TRX systems. TRX refers to 0 Heritage Reporting Corporation (202) 628-4888

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1 thioredoxin?

2 A Yes.

3 Q Both types.

A When I use it there, when we use it without either one or two, yes, it's a general. This is a protein that's found in most organisms and there are different forms of it. So it has a conserved functional active site. Whatever proteins have that conserved active site, those are called thioredoxins, yes.

11 Q And these would be in brain cells too, 12 right?

13 A Yes.

Q And you say that that discrimination between how oxidation of the glutathione system and the thioredoxin system could be very informative in terms of mechanisms of toxicity.

18 Why can they be informative about mechanisms19 of toxicity?

A Because the systems, we have multiple protective systems basically. So one way to think about systems such as oxidative stress is to think about them just in terms of a global balance, a global imbalance if you will of a pro-oxidant and an antioxidant system.

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Another way is to try to refine that so that you now begin to look at how individual biochemical systems work where you have these complementary systems that work together to provide the protective mechanism.

Q If a toxin, one of these metals or some
other toxin, could inhibit the thioredoxin system in
the mitochondria but it didn't affect the glutathione,
would that mean it was probably harmless because the
glutathione just rebalanced itself out?

11 A The systems are complementary. What that 12 means is that if you effect one of the systems the 13 other system takes over. So in terms of the 14 sensitivity, they oftentimes have a difference in 15 sensitivity and that's what we were looking at here, 16 is trying to begin to understand how those systems 17 differ in their sensitivity.

18 Q You were trying to see if there was a way to 19 discriminate with the effect of the metals on these 20 different systems.

21

A That's right.

22 Q If you had a significant inhibition of the 23 thioredoxin, would that be harmless because of 24 compensatory mechanisms?

25 A I can't really answer that question Heritage Reporting Corporation (202) 628-4888

1 directly, but what I will say is that there are drugs 2 that have been developed that are used at least in a 3 phase two initial clinical trials, targeting thioredoxin with the goal to try to, and used in anti-4 cancer therapy, to try to target and kill the cancer 5 cells by specifically inactivating the thioredoxin 6 7 system. So that concept is out there in the 8 scientific literature, yes. 9 The concept that you could have a toxic 0 effect just by affecting the thioredoxin system. 10 11 Α Yes, if you could find something that would do that. 12 13 0 Let's go on in this paragraph. You say, "For instance the activation in nuclear translocation 14 of the transcriptant factor Nrf-2." 15 Now you had Nrf-2 on one of your slides 16 today and you didn't explain what it would. Would you 17 18 please explain what it is now? What that mechanism does, if you have 19 Α 20 something that alters that response, so if you have something that gives you a low level of oxidation or a 21 22 low level of challenge to the cell that would 23 potentially perturb the glutathione system, what that 24 does is that turns on this Nrf-2 system. By turning 25 on this Nrf-2 system it causes an increase in the Heritage Reporting Corporation (202) 628-4888

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DR. JONES, MD - CROSS 2767 1 thioredoxin. So it not only improves your glutathione 2 system but it improves your thioredoxin system. 3 So that's really the point of this, that 4 both of these systems are responding together. It's not like you have one system or the other system. 5 They both are being controlled, and as you turn on 6 that protective mechanism it enhances your protection 7 8 for both the thioredoxin and the glutathione. 9 You conclude this paragraph by saying "If 0 10 metals activate apoptosis" is that how you say that? 11 Α Apoptosis. 12 The second P is silent because of the Greek 0 13 background of some kind. Yeah. 14 Α "If metals activate apoptosis via ASK1", and 15 0 ASK1 is another mechanism for gene activation here? 16 Α ASK1 is apoptosis signal-regulating 17 No. 18 kinase one. 19 Another enzyme. Q 20 Α You don't need that. ASK1 is good enough. 21 It's an enzyme that is a kinase, it's a 22 phosphorylating enzyme that can activate a death 23 program, a cell death program. 24 That's the concept behind these cancer Q 25 therapies they're working on, to try to kill cancer Heritage Reporting Corporation (202) 628-4888

1 cells this way?

A What happens is the cancer cells have a change in expression of a lot of these different enzymes and the way that they protect themselves is by giving, by having a very large change in certain of these enzymes, so it's a mechanism for controlling the cell death.

8 Q If we turn to page 142 of the paper, it's 9 the one that has Figure 2 on it. It starts the 10 discussion section.

11 Let me start with the paragraph in the right 12 hand column bottom that says "in contrast".

You found in this study that mercury had different effects on these enzymes than some of the other metals, right?

A That's correct.

16

Q What did mercury affect, in your study? Andwe're talking again about mercuric mercury, HG++.

19 A At ten micromolar and at 100 micromolar 20 mercury when added to these cells, they caused a 21 change in the thioredoxins and an activation of the 22 apoptosis, the ASK1 mechanism.

Q And you say in contrast to these metals, and you were referring to copper, iron and nickel I think when you say these metals, right?

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DR. JONES, MD - CROSS 2769 1 Α Yes. 2 0 You found that arsenic, cadmium and mercury 3 oxidized both of those thioredoxin enzymes, correct? 4 Α Yes. But they had little effect on the 5 0 glutathione cycle. 6 7 Α Right. 8 Ο And they did induce cell toxicity after 24 9 hours. Now we've heard that you have to do these in 10 11 vitro studies long enough to see the end point. Do you think that was long enough? 12 13 Α It was at a high enough dose. But time wise I'm talking. 14 0 15 In general, these are, it really varies А 16 depending on the cell type. For instance some cells 17 don't even have enough ASK1 that they would even show 18 this effect at all. This gets at the point of having, 19 these are not normal cells. When you take a cell line 20 like this and put them in culture we really, this is one of those issues where you take a/c ell line that 21 22 does show a response under the conditions that you 23 want to see an effect. 24 So what we were doing here, we had a system where we knew we could kill the cells under these 25 Heritage Reporting Corporation (202) 628-4888

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1	conditions and we wanted to see under those conditions
2	where we were killing the cells, what the effect was
3	on the thioredoxin and the glutathione system.
4	Q I know you only went down to ten micromolar
5	here.
6	A We just did two conditions, yes.
7	Q Do you know whether if you used one
8	micromolar of HG++ what you would have got here?
9	A The experiment was actually designed by
10	Jason Hansen. But Jason took this from the literature
11	and in his analysis we needed ten micromolar in order
12	to kill these cells. That was why that was selected.
13	Q Before the cell dies in this system,
14	wouldn't it become dysfunctional for a few of those
15	hours?
16	A We didn't really study anything else. We
17	were specifically interested in the question of can we
18	see a change in the thioredoxin and how does that
19	compare to a change in glutathione in the study. That
20	was really what the study was directed to do.
21	Q So you were looking for cell death as the
22	end point of the study, not some dysfunction of the
23	cell.
24	A Right. We were looking at cell death in the
25	study.
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DR. JONES, MD - CROSS 2771 1 Isn't it reasonable to think, though, that a 0 2 lower dose might cause dysfunction before it caused 3 death? I can't speculate on this at all because the Α 4 experiment was designed with an outcome to study and 5 we studied that outcome. Scientists set up hypotheses 6 7 to test hypotheses, and this was testing the 8 hypothesis. Let's go to a couple more of your findings. 9 0 10 If we turn the page and go to the left hand 11 column, this is now page 143. You discovered a difference between the 12 13 effect in mitochondria in the cell itself here, didn't 14 you? In the difference between --15 А If you highlight the first sentence of the 16 0 first paragraph on the left side, and blow it up. 17 18 This says that the greater extent of 19 oxidation of thioredoxin 2 compared to thioredoxin 1 20 indicates that mercury has greater oxidative effects on the mitochondrial compartment than the cytoplasmic 21 22 compartment. Have I understood that correctly? 23 Α Yes, you understood that correctly. That is 24 what the data show, that the mitochondrial thioredoxin -- If you look at the chemical characteristics of that 25 Heritage Reporting Corporation (202) 628-4888

protein, that protein is a bit different than the cytoplasmic form of the protein, and that protein shows more oxidation under these conditions than the cytoplasmic.

5 Q And oxidation is, in this instance, it's bad 6 for the cell, it might be good for the cancer patient.

7 A It's not really, that is a question that we 8 really don't know at present. Science hasn't 9 established to what extent a natural variation in 10 thioredoxin occurs.

11 For instance when we look at other cells in 12 culture, actually even in human biopsies that we've 13 measured, where we measured thioredoxin 2 and thioredoxin 1. Just taking a normal human colon 14 15 biopsy or ileal biopsy and measuring their redox state, it's under normal healthy conditions it's more 16 17 oxidized than these conditions that we're seeing here 18 under the toxic conditions.

I don't think we know enough to be able to answer that question. I can see why you would want to speculate on that, but the data is not there. I don't think we can make that conclusion.

Q Was the effect of HG++ on both thioredoxinsenough to kill the cell?

25 A In this particular model we don't even Heritage Reporting Corporation (202) 628-4888 2772

really know that. All we know is these cells under these conditions with a high amount of mercury died. We don't have any experiments that actually rigorously say that that is the cause of death in these cells. There's no way, that's just a correlation at this point. We put in that amount of mercury, we saw these changes, and we saw these cells die.

8 I can see why you would want to extend that 9 into a logical argument, but it really isn't a logical 10 argument. It's just an association at that point. 11 There's no causal. You can say the mercury caused the 12 cells to die, but you can't say that the mercury 13 caused the cells to die by the change specifically in 14 the thioredoxin.

15 Q Why does NIH want to spend its precious 16 dollars on this kind of stuff?

A Well, because we are the pioneers in the methods to be able to discriminate the different subcellular compartments and how they are regulated. There really isn't very much understanding of how the different compartments are regulated.

Q Finally here, if we turn to page 144 of the study, Figure 4. This is where you showed the relative effect of the different metal ions.

Blow up Figure 4, Scott.

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DR. JONES, MD - CROSS 2774 1 There are three of them that are 2 particularly high, and the one on the right, the third 3 one, that is the mercury, HG++, right? Α Yes. 4 Ο And you are measuring what in this, this is 5 the -- What is the vertical axis here? 6 Again, these are at 100 micromolar mercury, 7 Α 8 so this is a horrendously high concentration. But this is an indirect assay of the ASK1 activity. 9 The 10 apoptosis signal-regulating kinase 1 activity. So 11 this is one of the multiple cell death activating 12 pathways. 13 What this shows is that under these conditions that these three metals are ones that give 14 15 more of an activation of that enzyme. You've made a point all morning and in your 16 0 report that although we see these toxic effects of 17 18 HG++ on these cell enzymes, the dose is so high that 19 it's probably not relevant here. I think the 20 testimony has been, I think both sides have agreed that the nanom overdoes of HG++ in those infant monkey 21 22 brains was around 30 nanomolar on average. Is that 23 approximately your understanding? 24 Α I would have to go back and look at the

> original paper on that. But I know it was a very low Heritage Reporting Corporation

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DR. JONES, MD - CROSS 2775 1 amount, yes. 2 And by the way, in your report you do say 0 3 the Burbacher paper, let me show this on page 13 of your report. 4 You make a comment about the Burbacher 5 6 paper. Page 13. It's right in the middle of the last big 7 8 paragraph, "The primate model of Burbacher". If you can blow that up and highlight that sentence. "The 9 primate mode of Burbacher." 10 11 So you said in your report that "The primate 12 model of Burbacher et al, 2005," that is talking about 13 that infant monkey study, right? 14 Α This was the Burbacher paper, yes. 15 0 You say it showed clear evidence for delivery of mercury to the brain following injection. 16 А I don't see there's any way that you could 17 18 avoid that conclusion. That's what the data show. 19 0 But again, it was delivered at a dose 20 significantly lower than what you've measured and what you were talking about this morning. 21 22 Α There's a difference between being able to 23 detect something and then having a concentration 24 that's relevant to the in vitro studies. 25 So the ability to detect has to do with the Heritage Reporting Corporation (202) 628-4888

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DR. JONES, MD - CROSS

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1 If you take, for instance, I have a sensitivity. 2 colleague who works at Georgia Tech who's developed 3 one of these sensors, these electrical noses that they use for sensing cocaine. He says you can pull a \$20 4 bill out of anybody's wallet, that has a \$20 bill, and 5 that these instruments are sensitive enough to detect 6 7 that cocaine.

8 So what it says is the ability to detect something at very low levels, that's not really the 9 relevant question. The relevant question is how much. 10 11

Q I understand that.

I want to ask you a little bit about your 12 13 background. you spent quite a bit of time at the Karolinska Institute in Sweden, haven't you? 14

Α Yes.

15

How many times have you been over there? 16 0 Let's start first where you went there to 17 18 study your work or do research.

I was there in '77 and '78. I was back in 19 Α either 1980 or '81, probably again in either '82 or 20 I was back again in probably '87. I think I was 21 '83. 22 back in '94 and probably back in '97, for different 23 varying periods of time doing research.

24 Q You may know how to pronounce Marie Vahter's 25 So you know who Marie Vahter is? name.

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2777 DR. JONES, MD - CROSS 1 No, I don't know Marie Vahter. Α 2 0 She's an author on the adult monkey studies 3 from the Karolinski Institute. 4 You do know Arne Holmgren, don't you? I know Arne, yes. Α 5 How long have you know him? 6 0 7 Α Perhaps 30 years. 8 Q You've published at least a couple of 9 chapters in books that he's edited? 10 Α Yes. 11 Q You respect his work? 12 Α Absolutely. He's one of the best. 13 0 Do you have a way of tracking, PubMed or any other system, when your papers get cited? 14 For example, the paper we've just been talking about, the 15 16 - -17 I really don't spend my time at that. А Ι 18 spend my time on original research. 19 Α I wanted to see if this thioredoxin paper 20 had been cited by anybody, and we found one by Holmgren that actually discusses your paper, so I want 21 22 to show that to you. This will be our Trial Exhibit 7. 23 24 11 11 25 Heritage Reporting Corporation

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DR. JONES, MD - CROSS 2778 1 (The document referred to was 2 identified as Petitioner's 3 Trial Exhibit 7.) 0 If you could blow that up, Scott, and show 4 the title, please? 5 6 This is about the same thioredoxin system 7 that you were studying in your paper, right? 8 Α Yes, he studies thioredoxin. 9 And this is the Karolinski Institute, and 0 10 Dr. Holmgren has done this study. 11 Α It's very possible that it was not done in Stockholm, but I don't know from this. 12 13 Q We've highlighted. The very last part of ---- by Swedish, yes. 14 Α Okay. 15 0 You give me that? Α 16 Yes. He's definitely at Karolinska. 17 18 Q We're going to take a little bit of time to 19 go through this because this is a study of HG++ 20 effects on thioredoxin. You haven't seen this paper before, I take it? 21 22 Α No, I haven't. I would really need time to 23 study it for me to be able to comment on it at all. 24 Q And I understand that you may not be able to give your interpretation of it today, but I want to 25 Heritage Reporting Corporation (202) 628-4888

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DR. JONES, MD - CROSS 2779 1 focus on what dose they used eventually and I want to 2 take the time to put that in context. 3 So if I ask you a question you can't answer because you haven't read the whole paper yet, that's 4 okay, but let's do the best we can. I only came 5 across this paper last night myself. 6 It does cite two of your papers in the 7 8 bibliography, by the way, including the one we just talked about. 9 10 If you could blow up the first half of the 11 asterisked stuff. It says that mercury toxicity mediated by 12 different forms of mercury is a major health problem, 13 however the molecular mechanisms underlying the 14 toxicity remain elusive. We analyzed the effects of 15 mercury chloride and monyl methyl mercury. 16 By the way, when they study mercury 17 18 chloride, they're doing that so they can deliver HG++ 19 to the system they're testing, right? When they study mercury chloride, they're 20 using that to deliver HG++, that ion to the system --21 22 That is what you're adding, yes. Α You are adding HG++ because the chloride will be released once 23 24 it goes into the solution. Yes. 25 On the proteins of the mammalian thioredoxin 0 Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - CROSS 2780 1 You said this thioredoxin system was system. 2 conserved evolutionarily so that most mammals relied 3 on the system for cellular function. Right? 4 Α Yes. They looked also at something called 5 0 thioredoxin reductase, TrxR. We already learned that 6 Trx is short for thioredoxin. W hat is thioredoxin 7 8 reductase? 9 Α Thioredoxin recluctase is a group of enzymes that reduce thioredoxin. 10 11 Q That's also an important function in the 12 cell? 13 Α they have an important function in the cell, 14 ves. They looked at glutaredoxin, glutathiol 15 0 reductase and gloucaral redoxin. Are those also 16 17 important enzymes in the cell? 18 Α Yes, they are. 19 What they say here was that mercury chloride 0 20 inhibited recombinant thioredoxin reductase with an IC50 value of 7.2 and 19.7 nanomolars respectively. 21 22 Do you see that? 23 Α Yes. 24 Do you want me to comment on that? Q 25 Α Yes. I haven't read this paper, but it's Heritage Reporting Corporation (202) 628-4888

obvious that you don't understand the science behind this. When you do this type of an experiment you're taking a pure protein where there is completely no other thiols at all.

5 There are 214,000 different thiols encoded 6 in the human genome. So what you've done is you 7 removed in this case over 210,000 of those and you've 8 taken only the remaining two to five thiols that are 9 left in a single protein. So now you don't have the 10 other 200,000 thiols that would be interacting.

11 So when you're doing your calculations relative to this single protein with this single thiol 12 13 it's just a pure system. It doesn't have really any relationship to the way those react in the context of 14 the entire cell or in terms of the context of the 15 entire organism. I think that's very important to 16 understand that you can't just take a pure protein and 17 18 look at the concentration that interacts with it, and 19 be able to, it's even worse than taking the cell culture and extrapolating, to take a single protein 20 21 and try then to extrapolate. That's doesn't fall into 22 the realm of good science.

Q Let's see what Dr. Holmgren said at the bottom of this abstract then we'll go through the paper a little bit.

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DR. JONES, MD - CROSS 2782 1 The sentence at the very end of the abstract 2 that says "overall", Scott. 3 Dr. Holmgren and his scientists say that, "Overall, mercury inhibition was selective toward the 4 thioredoxin system. In particular the remarkable 5 potency of the mercury compounds to bind to the 6 selenol-thiol in the active side of thioredoxin 7 8 reductase should be a major molecular mechanism of mercury toxicity." 9 10 I take it from your earlier comments just 11 now that you don't agree that that is a way to interpret that. 12 13 Α I think what you have to understand is that Dr. Holmgren is a biochemist, he works with purified 14 protein, and as far as the pure chemistry of the 15 interaction with the purified protein, he has studied 16 that and he does a first rate job of that. 17 18 As far as I know he does not do, he does some cell cultured work. He has not done any in vivo 19 toxicity work at all. He's not trained as a 20 toxicologist. He's really studying biochemical 21 22 mechanisms. 23 Your first phrase that you read from this

24 paper, and I don't know that we can really go beyond 25 that, but your first phrase that the molecular

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1 mechanism, and these are mechanism based studies. 2 They're trying to understand the chemical mechanisms 3 of how these proteins work. To do that you purify the protein, you try to understand the pure protein. But 4 then to be able to understand it in a toxicologic 5 sense you really have to put that back into the 6 7 context of the whole organism. I think that's my 8 point.

9 I don't know, you can ask me more questions 10 on this. I haven't read it. But my feeling is that 11 you're really going after something that's irrelevant 12 to the human exposure when you start trying to 13 extrapolate from something that is even worse than a 14 cell study. It's a purified protein study.

Q If we turn to the second page of this paper which is in the journal at page 11914, it's the first full paragraph, Scott. The first sentence there says that the Trx system is critical for cellular stress response, protein repair, and protection against oxidative damage.

Do you agree with that statement? A It is an important system in the body. Yes. That is an important system in the cell.

24 Q Is there some part of this sentence you 25 don't agree with?

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DR. JONES, MD - CROSS 2784 1 No, I'm just a slow reader. Α 2 0 If we now turn to page 11916 of this paper, 3 I want to concentrate on Figure 1 here, just to look at the doses of both methyl and inorganic mercury. 4 This is their graph, similar to the graphs 5 we've seen from Dr. Deth, that shows the relative 6 activity of both HG++ and methyl mercury in nanomolar 7 concentration on the inhibition of thioredoxin 8 reductase. Have I interpreted that correctly? 9 10 Α I haven't had a chance to really review this 11 so I can't say. Can you at least interpret, when it says 12 0 13 concentration in nanomolar across the bottom, can you at least --14 Was this a purified protein? Was this a 15 Α study on a purified protein? 16 17 0 We're going to have experts to talk about 18 this. I can't testify here unfortunately. 19 Α If I don't have time to read the paper, if you're just giving it to me right now, how do you 20 21 expect for me to try to comment on it? 22 All I'm going to ask you now is about what Ο 23 the bottom numbers mean on these graphs. Aren't those 24 nanomolar concentration? 25 Α You can read as well as I can read, sir. Heritage Reporting Corporation (202) 628-4888

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DR. JONES, MD - CROSS 2785 1 Does it say concentration of nanomolar below 0 2 that graph? On the graph that you're showing me yes, 3 Α that's what it shows. And did you answer my question? 4 Is this a purified protein? Because I've already said 5 that if it's a purified protein it's completely 6 7 irrelevant to make that type of a comparison to 8 nanomolar concentrations that you would see in vivo. 9 From my standpoint -- Well, I'm sorry. 10 SPECIAL MASTER HASTINGS: Can you just 11 answer his question? THE WITNESS: I'm sorry, I'm irritated by 12 13 that, so I'm sorry. SPECIAL MASTER VOWELL: Can you answer his 14 15 question though, if he asks you another one? THE WITNESS: Yes. 16 Okav. BY MR. WILLIAMS: 17 18 Q I think the answer is, and we're going to 19 see the answer, is that they also looked at cells in this study. Whole cells. Not just isolated proteins. 20 21 If we turn to page 11918. 22 MR. MATANOSKI: At this time, Your Honor, 23 I'm going to have to object. If we're going to go 24 through an article, our witness just said he has not had time to read this article. I suppose he can 25 Heritage Reporting Corporation (202) 628-4888

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1 comment whether at the bottom of a graph what the X
2 axis is or what the Y axis is. But if he's going to be
3 commenting on the substance of this article he needs
4 time to review it.

Now this article was published, it looked 5 like it was published in March of 2008. 6 The 7 opposition has known who our witnesses were since, 8 well at least, well they've actually had their report 9 since February. If they were going to cross-examine our witness on the substance of an article that has 10 11 been out for over two months now we should have had 12 notice so that our witness could read it ad properly 13 prepare to answer questions on it.

14 SPECIAL MASTER VOWELL: Mr. Williams?
15 MR. WILLIAMS: If we'd had this last week we
16 would have used it. Last night I started looking at
17 his CV. We --

SPECIAL MASTER VOWELL: But you had his CV.
MR. WILLIAMS: We had his CV. We did. And
this is dated May 2nd. We literally didn't find this
until last night.

22 SPECIAL MASTER VOWELL: We can proceed to 23 ask questions about it, Mr. Williams, but if you're 24 going to get answers that I don't know, I haven't read 25 the article, it's not particularly helpful to the

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three of us sitting up here. Again, that's your
 audience.

3 MR. MATANOSKI: Actually it says, papers in 4 press March 4th. I know it says May 2nd on the bottom 5 of the volume, but it apparently was available two 6 months ago.

7 BY MR. WILLIAMS:

8 Q Let me just ask then, you were making a big 9 point about isolated protein, so let me just make this 10 point and then I'll drop it.

11 If we turn to the last page of this paper, 12 the text, 11922, and we look in the right hand column, 13 right in the middle of that paragraph, Scott, it says, "We have demonstrated". "We have demonstrated that in 14 15 a cellular system the inorganic form of mercury preferentially targets the thioredoxin system of which 16 17 thioredoxin reductase was inhibited to a greater 18 extent than thioredoxin itself by more potent mercury concentrations." 19

20 So they weren't studying just isolated 21 proteins here were they, doctor?

22 A I have not looked at the data. I have not 23 read this paper.

24 Q Thank you.

25 What function do mitochondria play in a Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - CROSS 2788 1 cell? 2 Mitochondria are the major energy source in Α the cell. 3 The major supplier of ATP, the major energy 4 currency of cells. 5 You have published a lot on mitochondria 0 over your 30 years, haven't you? 6 7 Α That's correct. 8 Q You've chaired symposia on mitochondria? 9 Α Yes. 10 Q You're probably one of the world's leading 11 experts on mitochondria, isn't that fair to say? I have expertise in mitochondria, yes. 12 Α I want to show Petitioner's Master Reference 13 0 71, which is the case report on a child with 14 15 mitochondrial dysfunction in autism. MR. MATANOSKI: We're going to object now 16 17 because I'm thinking we're going to get to Theory 2C 18 at this point. I'm not sure I've seen anything 19 referenced in Dr. Deth's expert report about an effect 20 of Thimerosal on mitochondrial function. SPECIAL MASTER VOWELL: Let's see where 21 22 we're going to go with it. 23 BY MR. WILLIAMS: 24 Let me give the Doctor a copy of the paper Q first. 25 Heritage Reporting Corporation

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DR. JONES, MD - CROSS 2789 1 Did the DOJ lawyers ever show you that paper 2 while you were preparing for today? 3 Α I have not, I focused on sulfur. Μv expertise is on sulfur metabolism. My expertise on 4 oxidative stress. I did not address any questions 5 related to potential involvement of mitochondria, and 6 7 I have not seen this paper. 8 MR. WILLIAMS: Then I won't ask you anything more about that, and that's all I have for you. 9 Thank 10 you. 11 SPECIAL MASTER VOWELL: Redirect? 12 No, thank you. MS. RENZI: 13 SPECIAL MASTER VOWELL: Do we have other questions from my colleagues? 14 15 I'm going to ask one brief question, at least I hope it will be brief, Dr. Jones. 16 You included on your Slide 20 a Table of 17 18 Results from the James study involving various 19 transmethylation and transsulfuration metabolites? 20 THE WITNESS: Yes. 21 SPECIAL MASTER VOWELL: You highlighted 22 cysteinylqlycine. You did not comment or make any 23 comment on those levels that Dr. James found were far 24 lower in autistics than in the control sample. Do 25 those have any bearing on anything you've said? Heritage Reporting Corporation (202) 628-4888

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1 The most difficult aspect of THE WITNESS: 2 this type of a comparison is that there are, in almost 3 every disease population that we have studied, the glutathione levels are different from controls. 4 5 SPECIAL MASTER VOWELL: So anyone with any disease. 6 7 THE WITNESS: Pretty much. If you qo 8 through cardiovascular disease, diabetes, renal disease, liver disease, lung disease. 9 There are many 10 of these. There are general differences, and most 11 commonly there are decreases in glutathione. SPECIAL MASTER VOWELL: So a reduced 12 13 qlutathione level would seem then to be a response to a disease process rather than a specific disease. 14 15 THE WITNESS: Right. It seems to be more of a response to disease rather than a cause in terms of 16 17 the way I would interpret this. It's a very common, 18 it's a common consequence of different diseases that 19 we have studied. SPECIAL MASTER VOWELL: 20 That answers my 21 question. 22 Thank you very much. Ouestions from either side based on mine? 23 24 (Witness excused). 25 All right, we're at about 11:40, which Heritage Reporting Corporation (202) 628-4888

DR. JONES, MD - CROSS 2791 1 appears to be early for a lunch break but Justice, 2 what's your thought on that? Do you want to put on 3 your next witness and at least begin before we start our lunch break? 4 You need a consultation. I understand 5 that's fine. 6 7 MR. MATANOSKI: We would like to get 8 started, ma'am. 9 SPECIAL MASTER VOWELL: Great. 10 We'll just recess in place while you do the 11 changing of the guard here. MR. MATANOSKI: Yes, ma'am. 12 13 SPECIAL MASTER VOWELL: We'll do a recess in 14 place then. 15 (Pause). MR. MATANOSKI: We need about five minuets 16 17 to get set up, apparently. 18 SPECIAL MASTER VOWELL: Okay. Let's just 19 take a complete recess then. Be back in five minutes. If you need more time, let me know. 20 (Whereupon, a brief recess was taken.) 21 22 SPECIAL MASTER VOWELL: We're back on the 23 record. We have Dr. Kemper on the stand. 24 Doctor, if you would raise your right hand. 11 25

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DR. KEMPER, MD - DIRECT 2792 1 Whereupon, 2 THOMAS L. KEMPER 3 having been duly sworn, was called as a witness and was examined and testified as follows: 4 SPECIAL MASTER VOWELL: All right, Mr. 5 6 Johnson, you may proceed. 7 This is going to be Respondent's Trial 8 Exhibit, well, we don't have your 10 yet, so in case we don't get it let's make this 10 and you can refer 9 to the next one as 11. 10 11 MR. JOHNSON: Yes, ma'am. 12 SPECIAL MASTER VOWELL: Okay. 13 (The document referred to was 14 identified as Respondent's 15 Trial Exhibit 10.) DIRECT EXAMINATION 16 BY MR. JOHNSON: 17 18 Q Hello, Dr. Kemper. Could you please state your name for the record please? 19 20 Α Thomas Kemper. 21 0 Dr. Kemper, briefly describe your 22 educational background and employment history. 23 Α My undergraduate degree was at Northwestern 24 University in 1954. Then the next four years were the 25 University of Illinois School of Medicine. After that Heritage Reporting Corporation (202) 628-4888

1 I was three years in residency training in Internal 2 Medicine in Neurology, moving at that point from 3 Chicago to Boston. After that I was a Fellow in neuropathology at the Harvard Neurological Unit, the 4 Boston City Hospital, with Derick Denny Brown. 5 The next two years I was with Ray Adams, Massachusetts 6 7 General Hospital, also in neuropathology. 8 Following that I spent most of my time at the Warren Anatomical Museum at the Harvard Medical 9 School with Dick Sigmund and Paul Yakoblev. 10 11 In 1975 I joined the neurological unit at the Boston City Hospital as a neuropathologist where I 12 13 remained as an active neuropathologist until about five or six or seven years ago. Now I'm in the 14 15 Department of Anatomy of Neurobiology at the Boston University School of Medicine where I'm a professor in 16 that department in pathology and in neurology. 17 18 Q When again did you join Boston University 19 School of Medicine? What year? I think it was, I'll see it right here. 20 Α It was 1975. 21 22 So you've been on the faculty there for a Q 23 little over 30 years? 24 Α That's correct. 25 Tell me again, what position do you 0 Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2794 1 currently hold there? 2 Α Well, I'm a full professor in the 3 Departments of Anatomy and Neurobiology, in Neurology 4 and in Pathology. Is that three different departments? 5 0 Α It's three different departments. 6 Dr. Kemper, do you consider yourself 7 0 8 primarily a research scientist? 9 Α Yes, I do. But I believe you mentioned you are also a 10 Q 11 medical doctor. as well. Yes, I do. I also saw patients for a long 12 Α 13 period of time. As a professor, I take it that you teach 14 0 students? 15 Well, at the medical school, the 16 Α undergraduate school, we teach when we're younger, 17 18 about age 65 we stop doing it. But I taught the 19 introductory course to neuropathology there, and 20 normal and adnormal brain development. Doctor, are you Board Certified in any area? 21 Q 22 Α No. 23 0 Why don't you have any Board certifications? 24 Α It was never required in the academic 25 promotions.

DR. KEMPER, MD - DIRECT 2795 1 So because your work was focused in the 0 2 academic arena you weren't required to get a Board certification? 3 Right, I didn't need that credential. 4 Α Doctor, how many publications do you have? 5 0 I think we counted them up. I think it was 6 Α about 170. 7 8 0 And out of those publications, do you know how many relate specifically to autism? 9 I would quess about 30. 10 Α 11 Doctor, are you a reviewer for any journals? Q Α Multiple journals. 12 13 Q Can you name a few? The New England Journal of Medicine, 14 Α 15 Science, Journal of Neuropathology, Experimental Neurology, Journal of Compared Neurology, Neurology, 16 Annals of Neurology, Optineurapathologica. I review 17 18 for all of these journals. 19 Q Can you estimate approximately how many articles you might have reviewed say in the past year? 20 21 Α I get very few now because I focus my interest so much, but it's just a small number in the 22 23 last couple of years. 24 But over the course of your career would you Q 25 say hundreds? Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2796 1 I would guess so. I never kept track of Α 2 them. 3 0 Doctor, obviously your testimony today is going to be focused on neuropathology. I was 4 wondering if we could start out by you telling us what 5 is neuropathology. 6 It's the study of the diseased brain. 7 Α 8 Nerves and muscle. We cover all those areas. 9 Where do neuropathologists obtain samples to 0 study? 10 11 Α For routine neuropathological studies, it's autopsies and surgical specimens. For my own work in 12 13 the autistic brain and other comparable studies, it's brain banks. 14 What is a brain bank? 15 0 They're established by the federal 16 Α government throughout the country. Their job is to 17 18 receive brains and process them in a uniform manner 19 and make them available to investigators. 20 The one I use is the Harvard Brain Bank at the McLean Hospital in Belmont. 21 22 Do the brains in the brain banks, do those 0 23 typically come from organ donors? 24 Α A lot of people just want to have their 25 brains examined, you know. Especially autistic Heritage Reporting Corporation

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1 They feel so pressed to know what's going on, people. 2 wanting to help that they just donate them. So we get 3 a lot of brains that way. What is the goal of neuropathology? 4 0 Α For routine work, it's diagnosis which then 5 dictates treatment. 6 7 For my work in the anatomy of various 8 diseases, which I do mostly whole brain serial sections, the idea is to find out what the 9 organization of the disease is in the brain, what is 10 11 the nature of the disease. That kind of creates in my mind a gold standard for other investigators. 12 So 13 whatever they find has to explain what is obvious from the morphology. 14 Would it be fair to say that neuropathology 15 0 is relevant to both the diagnosis of a disease and 16 also its cause? 17 18 Α For sure. 19 Dr. Kemper when did you begin researching 0 the brains of autistic individuals? 20 The first brain we received was probably 21 Α 22 around 1980, I would guess. Maybe a year or two 23 It was an extremely well documented brain. later. 24 The patient had been seen by everybody in Boston so 25 there was no question about the diagnosis. And at the Heritage Reporting Corporation (202) 628-4888

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1 time we had the technique for doing whole brain serial 2 sections so we could look at every part of that brain 3 in comparison with controls. So in the first report, the first 4 presentation was 1984. The publication was 1985. 5 6 You just referred to we. Who are you 0 7 referring to when you say --8 Α Dr. Margaret Bauman. We're the pair, we've been the pair ever since --9 10 Q She's a colleague of yours? 11 Α Absolutely. 12 Would it be fair to say that you and Dr. 0 13 Bauman were pioneers in researching brains from autistic individuals? 14 At the time that we were following this 15 А anatomy, no one had any idea of the anatomy. 16 The prevailing view was it was parenting, or some 17 18 environmental factor, many behavior of parents and 19 large quilt trips were laid on these people. It was 20 very comforting to me and to Dr. Bauman to find a structure. 21 And remind me again, when did you publish 22 0 23 your first article or publication on the 24 neuropathology of autism? 25 Α That was 1985. Heritage Reporting Corporation (202) 628-4888

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1 What was the response to that? 0 2 Α It was amazing, really. The interest in 3 that was strong. And it was on the front page of the Boston Sunday Globe. It was actually carried around 4 the country as a report. 5 Would it be fair to say that you have 6 0 7 dedicated a substantial portion of your professional 8 life to investigating the neuropathogenisis of autism? 9 Α Yes. 10 Q Doctor, before we start discussing your 11 opinions, I want to ask you a few questions about the neuropathological studies generally. 12 13 First of all I was wondering if you could comment on the number of subjects or the number of 14 brains that have been studied in this area? 15 Actually relatively few. The problem has 16 Α been availability and people with interest in the 17 18 autistic brain. 19 Despite the relatively small number of Q 20 brains that have been studied, has there been a 21 consistency among the findings? 22 It's really to me rather amazing. Α Even 23 reports from different labs in different brains are 24 showing consistent findings. We may not find the same 25 finding in every brain, but there is a consistency Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2800 1 across these series. 2 Another issue I was wondering if you could 0 3 comment on is the age of the brains that have been studied. 4 Autism is generally not diagnosable until 5 А about two years of age and maybe even later. 6 So it's very unlikely that you'll get a brain early. 7 The 8 earliest ones available for us for study is three years of age. 9 10 Our own studies start about age four and 11 they go up into the 50s. Are some of the brains from older 12 0 13 individuals because people don't typically die from their autism? Is that a fair statement? 14 They die maybe not from, but because. 15 Α What do you mean by that? 16 0

A Seizures. Some drownings. Some have had
appendicitis and were unable to tell their parents
about it. That kind of thing.

Q You mentioned there had been consistent findings and even there are a relatively small number of brains that have been studied. Are the findings that have been reported in the literature consistent across the age ranges of the brains that have been looked at?

1 A That's correct.

Q The third issue I wanted to ask you about generally was whether you or the other researchers have been able to determine whether the brains that have been looked at have come from individuals with regressive autism as opposed to non-regressive autism?

7 A In the neuropathological studies it's only, 8 that information is only available on one study and 9 that's the Vargas study in which they had brains of 10 people with and without regression, finding no 11 differences in the morphology.

12 Q Have you seen any evidence that would lead 13 you to believe that there is a difference in 14 neuropathology between brains in non-regressive 15 autistics versus regressive autistics?

A

16

A No.

Q Doctor, I'd like to turn now to the opinions that you're offering in this case. The first question I have for you is based on your own research and your review of the literature, are there structural changes that have been reported in the brains of individuals with autism that suggest problems in brain development?

A There's plenty of them with braindevelopment problems.

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DR. KEMPER, MD - DIRECT 2802 1 Are there specific areas of the brain that 0 2 have been associated with neuropathological findings 3 in autism? The interesting feature shown here in this Α 4 diagram --5 And we're looking at Slide 2. 6 0 7 Α -- none of you people are anatomists, so I'm 8 giving you a little mini course in brain development 9 and brain anatomy, so this is it. 10 There are big black arrows there. See them? 11 The findings have been in the brain stem, in the 12 medulla. You'll hear from Dr. Rodier tomorrow about 13 the major problems in the cerebellum which are shown here and labeled. And in the cerebral cortex which is 14 all the rest almost in this diagram. 15 So the whole area around the outside of the 16 0 brain is the cortex. 17 18 Α Right. 19 Then in the lower diagram, which it kind of 20 pulls the brain apart a little bit, looking at the miseal surface of the brain is the limbic system. 21 22 That involves a cingulate gyrus which is that first 23 area on my left, the hippocampus, amygdala, and 24 several other structures. Limbic means the limit.

25 It's the limit of the hemisphere.

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Q We're going to get to some of the changes that have been reported in some of these different areas later, is that correct?

4 A Yes.

5 Q Are the findings that have been reported in 6 the literature generally, are they found universally 7 in every brain that is studied? We're now looking at 8 Slide 3.

A No.

9

10 Q Can you kind of walk us through this slide 11 and explain what some of the findings have been and 12 the relevance in terms of the consistency of those 13 findings.

A I know this is a busy slide. And the main point that I want to make from this slide is that there's a lot of pathology in almost all of the brains, and there are consistent areas which seem to be involved.

19 If you look at the upper panel, those are 20 eight brains from autistic individuals, from Hutsler's 21 study. Someone asked about age ranges, I can give 22 that to you. These were ages 10 to 45.

Q And the brains are actually represented bythe numbers across the top line.

25 A It says brain number. And then there's a Heritage Reporting Corporation (202) 628-4888

1 That's their number for each one of those number. 2 This is the pathological changes in that brains. 3 column that involved the cerebral cortex. Only the cerebral cortex was studied in this study. 4 Only three areas, and individual blocks in those three areas. 5 So it was a very small sample but still there are quite a 6 7 bit of findings here.

8 The various things which are listed here, I'll show you examples of and I'll go over the 9 10 embryology. So I'm not going to go through them now 11 except to point out that Bailey, below, the next one, 12 snows us very similar pathological changes to that 13 study of Hutsler. And Bailey in addition shows a study of the brain stem and the cerebellum. He found 14 15 abnormalities in the normal migration in four of his six brains, and he found a decreased number of 16 Purkinje cells in five of the six brains. 17 And a 18 decreased number of Purkinje cells is the most 19 consistent report on neuropathology in the autistic 20 brain.

Q So based on this slide, it looks like there is, even though not every change is found in every brain, there are consistent findings among the brains of autistic --

25

A That's right.

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1 Dr. Kemper, what is the significance of the 0 2 various findings that are noted on this slide in terms 3 of brain development? Α This next slide here which conveniently just 4 5 came up --Slide 4. 6 0 -- Slide 4 is a timeline of developmental 7 Δ 8 events in the human brain. 9 The first one at four weeks is what Dr. Rodier will be talking about is a major malformation 10 11 in the medulla and I'll let her explain that because she's intimately involved with it. 12 13 The next one, it says number of mini columns determined. you'll hear from Dr. Casanova. 14 That 15 refers to his studies. Those minicolumns were determined as a number very early in gestation, before 16 migration from the cortex. And that you can see is 17 18 way up there. That's probably around six weeks, maybe 19 even earlier, five weeks according to Pasko Rakic. 20 The next line up there is migration of the neurons to the cerebral cortex. The neurons in the 21 22 cerebral cortex are made in one area of the brain, 23 I'll show this to you. They migrate to another area 24 of the brain, and then they settle in. It has a 25 timetable. I've indicated it here. it's roughly Heritage Reporting Corporation (202) 628-4888

eight weeks to anywhere from 16 to 20 weeks, all the first half of gestation. I will show you examples of that.

And I will show you examples of a similar migratory stream in the brain stem from the rhombic lip, gives rise to several of the neuronal structures in the brain stem which are related to the cerebellum and parts of the cerebellum itself.

9 You can see it is very similar in this 10 timetable to the migration to the cortical plate, but 11 ends a little earlier.

Then in the 1970s a whole new concept of 12 13 brain development arose, and I'm going to show you that too. A transient population of neurons which are 14 15 involved in cerebral cortical circuitry early on, and then later on when the cerebral cortex becomes more 16 mature, the circuits move into there. It's a holding 17 18 zone. It's a very impressive zone in the human brain. 19 It's larger than any animal has in a study, and it extends very early in gestation to just after birth. 20 Then the next --21 22 SPECIAL MASTER VOWELL: -- Is that the 23 subplate neurons that you're referring to there? 24 THE WITNESS: Yes, that's right. 25 Then the next line having to do with the Heritage Reporting Corporation (202) 628-4888

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1 climbing fibers, we're able to time the defect in the 2 cerebellum by its connectivity, and its connectivity 3 are these climbing fibers, and this is a period of their development. It's toward the end of gestation, 4 and we see it there. 5 The final thing I'll talk about is the 6 7 abnormal brain growth. The brain just comes out of 8 the womb with a shot, with a remarkably accelerated 9 growth. BY MR. JOHNSON: 10 11 Now that we've kind of got an overview, Q let's talk about some of the findings that you 12 13 reference on the slides. Let's now look at Slide 5. Dr. Kemper, if you could first tell us what 14 15 part of the brain we are looking at. This is the back part. This is the medulla. 16 Α This is the bottom part of the brain stem. 17 This is a 18 picture, a drawing of a human embryo. In that drawing 19 on the right there's a very large black arrow. That points to a germinal zone called a rhombic lip. 20 What is a germinal zone? 21 Q 22 Α It's a zone that makes neurons. And you can 23 time developmental abnormalities from neurons derived 24 from this zone because they are born in one spot, they 25 migrate to another, and they settle in. So you can Heritage Reporting Corporation

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1 know when these processes occur. If it's arrested in 2 any way then you have a good shot at the timing of 3 that malformation. The roots of migration are shown in the small arrows. 4 The one on the right are little arrows just 5 beneath that black, and on the left there are a lot of 6 7 bent arrows. Can you see those? 8 So those are the roots of migration for those neurons from the rhombic lip. 9 10 Derived from the rhombic lip, and I'll show 11 you examples here now, are abnormalities in inferior olive and the arcuate nucleus. 12 13 0 Just to be clear, the neurons migrate from the rhombic lip to the inferior olive? 14 15 Α Yes. And to the arcuate nucleus? 16 0 17 Α Among others. 18 0 So the slide that we're looking at shows the normal migration patterns of neurons from the rhombic 19 lip. 20 21 Α That's correct. Now let's look at slide six. 22 Q 23 Α This is one of our own personal cases here, from our own collection. See where those black arrows 24 25 are in there? Heritage Reporting Corporation

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Q We're looking kind of at the upper right
 hand corner of the picture?

A Right. Ignore the other arrow. I'm not sure what it's pointing to. But those black arrows there are pointing to neurons arrested in the rhombic lip germinal zone. They were arrested in their zone of development.

Q How can we tell there's been an arrest inmigration from the slide?

10 A You can't. I can. I can look at it under 11 the microscope and see if they're neurons.

12 Q As you stated, this is a brain that you13 actually personally have studied.

A That's right. And the Bailey paper which was the second set of papers that I mentioned, had neurons arrested along here, but there are no good illustrations of it, so I couldn't show that.

Q Now let's look at Slide 7.

18

19 Slide 7 on the left, there's a big black Α Do you see it on the bottom there? And above 20 arrow. 21 it you see a myelin stain. Above it you can see kind 22 of a sickle shaped clear area. Those are neurons, 23 and those are neurons of the arcuate nucleus in an 24 autistic brain. This is an illustration from Bailey's 25 paper.

1	Normally if you look at that brain stem on
2	the right you can see just little splotches of white
3	on the bottom. That's the normal appearance.
4	So this is an abnormally large accumulation
5	of neurons that have migrated from the rhombic lip.
6	Q Doctor, looking at this it appears that the
7	autistic brain and the normal brain are different
8	shapes. Can you explain why that's the case?
9	A Yes. The zone that I wanted to speak about,
10	the bottom, is a comparable level. The level of cut,
11	the angle of cut is slightly different on those two
12	brains.
13	Just for your orientation, the heavily
14	folded structure above there is the inferior olive
15	that we'll talk about later.
16	Q So even though they're different shapes
17	we're still looking at the same area of the brain on
18	these slides?
19	A Yes.
20	Q Now let's look at Slide 8.
21	A I'll show you this slide again for a
22	different reason. The reason we have here is that
23	this is the inferior olive. You can see that it's
24	loaded with neurons. That will be a point I'll show
25	you later on autism and control. On D on the bottom,
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you can see that the neurons, right above the arrow, are all lined up in a row. that's an abnormality. That is not found in normal development. At F in the bottom on the right you can see the normal appearance of that region. Q And just to be clear, when we're looking at this there are three heres on either side. The ter

7 this there are three boxes on either side. The top 8 left hand box is labeled A and it has a small letter D 9 with a small arrow. That area is blown up in the 10 bottom picture on the left hand side.

11

A Yes.

12 That we have found in all the brains that we 13 have examined. We have our own ongoing study on 14 serial sectors of the brain stem and all of those have 15 shown that. So it's a fairly consistent abnormality. 16 And it indicates an abnormal settling in of neurons 17 into the rhombic lip, neurons that have migrated from 18 the rhombic lip.

Q The slides that we've just looked at, Slides 6, 7 and 8 that deal with the migration from the neurons migrating from the rhombic lip to the inferior olive, can you correlate those findings to a specific period of brain development?

A Yes. These are probably up to about 14, 16 weeks of gestation. The rhombic lip is pretty close

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in this time of origin to the migration to the
 cerebral cortex.

3 Q Now let's look at Slide 9. What area of the 4 brain are we looking at here?

5 A This is cerebellum. This illustration is 6 from our original case but we have other examples of 7 it. What we're showing here is a loss of Purkinje 8 cells, and where Purkinje cells are severely depleted, 9 a loss of granule cells.

10QCould you tell us what are Purkinje cells?11AThat's the projection cell of the cerebellar12cortex.It's the boss cell of the cerebellar cortex.

Q Are Purkinje cells the same thing aspyramidal cells?

15 A No. These are GABAergic neurons. Those are16 not.

On page 18 of Dr. Kinsbourne's report which 17 0 18 is Exhibit 30 in the William Mead case and Exhibit 26 19 in the Jordan King case, he states, "Pyramidal cells 20 are particularly vulnerable targets for excitotoxic The depletion in the number 21 damage due to glutamate. of Purkinje cells in the cerebellum and frontal cortex 22 23 that has been demonstrated in the brains of 24 individuals with autism may in some cases represent 25 the cytotoxic effect."

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1 Does that statement indicate that Dr. 2 Kinsbourne is comparing pyramidal cells to Purkinje 3 cells? It's very difficult to compare them. 4 Α They're not the same thing. 5 Ο They're very different. 6 Α Let's get back to Slide 9, if you can tell 7 0 8 us what this slide shows. 9 If you look at the right hand panel, the one Α 10 that says from Bailey et al, you'll see two black 11 Those are pointing to Purkinje cells. This arrows. is an illustration from Bailey. You can see that 12 13 there's no more Purkinje cells shown there. Q And you would expect the Purkinje cells to 14 be where? 15 One continuous line right along between that 16 Α more open zone and the zone which is density stained. 17 18 That's the Purkinje cell layer. And you would expect 19 space as the ones between those two arrows all the way 20 So this shows mild loss of Purkinje cells with down. 21 relative preservation of granule cells. 22 On the left hand panel the loss of Purkinje 23 cells in those areas was profound. At C you probably 24 cannot see it well, but the Purkinje cells there are 25 preserved, it's taken from an area of the cerebellum

DR. KEMPER, MD - DIRECT 2814 1 which is the unaffected. 2 0 So the box labeled C is the unaffected area 3 where there are Purkinje cells are preserved. 4 А Yes. The box labeled B is a profound loss of Purkinje cells. There are none in that 5 6 illustration. You can see there's an attendant loss 7 also of granule cells. 8 0 How can you tell there's a loss of granule 9 cells? 10 Α You can compare their density. It's a very 11 dark staining layer in C, as opposed to a lighter staining layer in B. You can see it. 12 13 Q So the dark area in box C, those are the granules. 14 15 Α Those are the granules. And box B, the fact that it's lighter is 16 0 evidence that there's been a loss of granule cells. 17 18 А That's right. And it's well known from the 19 developmental literature that when Purkinje cells are 20 lost early in development its comparable cohort of granule cells also decreases. 21 22 The other point I want to make in this slide 23 is that in the autistic brains, the cerebellar 24 pathology is in a more lateral part of the cerebellum. 25 0 We're now looking at box A now on the left Heritage Reporting Corporation (202) 628-4888

1 hand side? 2 Α The more lateral part of the Box A. 3 cerebellum. We can see where the staining is lighter than in any other spot. It extends throughout the 4 extent of the cerebellar cortex in the involved areas. 5 It shows no predilection for one place or another. 6 In the middle of the cerebellum which is 7 8 just almost to the far right of the illustration, 9 that's the vermis, that's the mid line of the cerebellum, and it is spared in autistic brains in 10 11 terms of cell population loss. So there's a very 12 distinct difference between the emphasis of the 13 lateral lobe of the cerebellum and the vermis. I make that point as it becomes important later on. 14 15 Ο I believe you said earlier that the finding with respect to the Purkinje cells is the most common 16 reported finding in autistic brains? 17 18 Α Yes. 19 Ο Can you date a decrease in the number of Purkinje cells to a specific period of brain 20 development? 21 22 Α We think we can. 23 0 How do you think you can do that? 24 Α With this next slide. This is Slide 10. 25 0 Heritage Reporting Corporation

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1 Okay. Now I showed you that nucleus in the А 2 brain stem called the inferior olive. The inferior 3 olive projects to the cerebellum. To the Purkinje 4 cells. That projection is that one inferior olivary neuron only projects about 15 Purkinje cells, so it's 5 a very tight projection. As you can see in the middle 6 diagram labeled climbing fiber, you can see a little 7 8 worm-like thing going up to the Purkinje cell. Then 9 that axon completely engulfing the cell body and dendritic tree of the Purkinje cell. 10 11 Q The Purkinje cell in that illustration is the entire body that's shown there. 12 13 Α That's right. SPECIAL MASTER VOWELL: You lost me. 14 Start 15 with that again. We're looking at the center box where it 16 says climbing fiber? 17 18 THE WITNESS: That's correct. 19 SPECIAL MASTER VOWELL: Where on there is the climbing fiber? 20 THE WITNESS: All those black lines along 21 22 the Purkinje cell are climbing fibers. 23 SPECIAL MASTER VOWELL: So all the black 24 lines from C all the way to the top of the diagram are climbing fibers. 25

1 That's right. That is the THE WITNESS: 2 dendritic tree. You can see it just from the climbing 3 fibers. SPECIAL MASTER VOWELL: So the climbing 4 fibers create the dendritic tree? 5 THE WITNESS: No, they surround it. 6 It's 7 part of the facilitatory synaptic relationships of the 8 brain stem to the Purkinje cell. Okay? 9 That climbing fiber that I showed you there reaches the Purkinje cell at about 29-30 weeks of 10 11 gestation. Prior to that time it is not involved with 12 the Purkinje cell. And since we know that the loss of 13 Purkinje cells from birth on out lead to loss of inferior olivary neurons, we're very impressed with 14 this autistic brain in there was no loss of the 15 olivary neurons. 16 So the normal connectivity between these 17 18 climbing fibers and the Purkinje cells we assume was 19 not in place at that time. 20 BY MR. JOHNSON: 21 0 Just to make sure that I'm clear, the 22 climbing fiber reaches the Purkinje cell around 29 or 23 30 weeks of gestation, is that right? 24 Α That's right. 25 And normal brains, if Purkinje cells are 0 Heritage Reporting Corporation (202) 628-4888

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1 lost after they've already been formed then the 2 inferior olivary neuron goes away as well. 3 Α That's right. 0 And in autistic brains the Purkinje cells 4 are not there but the inferior olivary neurons are 5 still there. 6 7 Α Yes, that's correct. 8 0 From that you conclude that the Purkinje cells were gone before the relationship between the 9 10 climbing fiber and the Purkinje cell was established. 11 Α Yes, that's our best guess on that. 12 And just to clear up, on the left side of 0 13 the slide, the red lines represent what? On that slide the red blobs are the Purkinje 14 Α cells and the dendrites are red. And the blue is the 15 climbing fiber climbing up the Purkinje cell. 16 17 0 So this is just another representation of 18 the development of the relationship between the 19 climbing fiber and the Purkinje cell. Yes. 20 Α That's right. 21 Q Again, this slide shows what happens during 22 normal development, normal brain development. 23 Α Yes. 24 Ο Let's look at Slide 11. What does this 25 slide show?

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1 This one I'll have to walk you through a А 2 bit. It's not intuitively obvious looking at it 3 what's going on. This is an illustration that was made by Pasko Rakic in '82. 4 On the left is a diagram of what the 5 cerebellar cortex looks like at nine weeks of 6 7 gestation. 8 None of the layers are visible. The next one is 13. The next one is 16, 21, 9 10 25, 30, 40, and seven postnatal months. So that's 11 where they are. That's what they look like. I want to call your attention to the one 12 13 where the dark arrows are. That is 25 weeks of gestation in the human brain. 14 The left hand arrow points to an area where 15 there's a clear area there. Can you see it? 16 There's a clear area there and that's called a laminam 17 18 desicans in our terminology. 19 What they find out when they look at what's 20 in that clear area at that time, it is the climbing They are there, but they have not yet 21 fibers. 22 innervated the Purkinje cells. It's a holding zone 23 for circuitry. 24 In the next five weeks they envelop the 25 Purkinje cell. And by the time of birth they envelope Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2820 1 it as densely as I showed you in the previous 2 illustration. 3 0 So in Slide 11 at 30 weeks, that is showing the climbing fibers have reached and enervated the 4 Purkinje cells. 5 Yes, have left their holding zone. 6 А 7 0 Is it this study that you're using as the 8 basis for determining that the climbing fibers 9 established the relationship with the Purkinje cells between 29 and 30 weeks of gestation. 10 11 Α Also there's a very comparable study Right. by Marintha Dia, a Golqi study which shows essentially 12 13 the same thing. Now let's look at Slide 12. 14 0 15 Α In the report that I gave you I talk about large neurons so I want to show you an example of 16 17 large neurons. 18 0 Again, what area of the brain are we looking at here? 19 20 Α This is the inferior olive. In childhood in our own brains, we have 21 22 several brains up to about 13 years of age. Up to 23 that time we see this pattern. You can see in the 24 panel at the top, Panel A, an arrow. 25 Below it you can see Panel C. You can see Heritage Reporting Corporation (202) 628-4888

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1 how large those neurons are in the autistic brain. 2 And on the right hand side at E is that exact region 3 in an age and sex-matched control. You can see this unusual pattern of cell size. 4 Let's look at Slide 13. 5 0 In the older brains, and these are brains 6 Α 7 over 17 years of age. There's a gap in our material 8 there between 13 and 17, so we don't know what happens You can see that the cells now are small. 9 there. Slides 12 and 13, what do those changes 10 Q 11 signify for you? 12 It's been a difficult one to interpret, Α 13 because enlargement of neurons of this type is a very unusual neuropathology. There's very little 14 15 literature on it. The vast majority of the literature has to do with the inferior olive. The inferior olive 16 receives as a projection from the red nucleus via the 17 18 central tegmental tract a massive projection. Lesions 19 in that central tegmental tract lead to these 20 abnormally large neurons. So it's a disturbance of 21 connectivity. Other illustrations in the literature of 22 23 enlarged neurons also call attention to the fact that 24 there is disturbed connectivity. So to me this means 25 that the circuitry in the cerebellum is not normal.

DR. KEMPER, MD - DIRECT 2822 1 Again, these slides are more examples of 0 2 disturbances in development. 3 Α And connectivity. Dr. Kemper, at what point in development do 4 0 the changes that we just looked at relate to? 5 6 Α These extend from childhood up into adults. Now let's look at Slide 14. 7 0 8 Α This is your next lesson in brain development. 9 We're now moving on and talking about --10 Q 11 Α This is the cerebral cortex. This is the development of the cerebral cortex. 12 13 In 1970 a whole new concept had appeared in the development of the cerebral cortex. I want to go 14 15 over that with you because it will become important for you to understand when you see the slides that I 16 This was described well by rin bedea (ph). 17 will show. 18 On that lower panel where I've colored it in 19 yellow to make it easier for you to see is a zone 20 called the primordial plexiform layer. You can see in the next panel it gets larger. And you can see in the 21 22 next panel, the second from the last, that it's 23 suddenly split into two zones. 24 And it has Bd beneath it, is that correct? Q 25 The bottom zone is the subplate that I Α

1 mentioned.

2 Q The bottom yellow zone.

A The bottom yellow zone is subplate, and the top yellow zone is layer one of the cerebral cortex. And in between are the definitive neurons of the definitive cerebral cortex.

So the neurons which make the cerebralcortex were born between these two zones.

9 The reason that's important is that that 10 zone which I've labeled subplate here, persists in the 11 human brain up until just shortly after birth and 12 disappears.

Experimental studies show it's very
important for the establishment of cerebral cortical
circuitry.

16 Q What is in layer one?

17 A Layer one is just the top layer of the18 cerebral cortex.

19 Q Are there many neurons in layer one?

20 A At this stage there are a lot. But in the 21 adult brain they're very infrequent.

Q Let's move on now and look at Slide 15. A This is to show there are no good examples in the neuropathology literature of neurons arrested at the germinal zone for the cerebral cortex, and

there are no good examples of neurons arrested in the migration.

The examples that are available for us in the autistic brain are examples of abnormal settling of neurons or abnormal disposition of neurons within the cerebral cortex.

Q And this is referring to the process of
development we were just looking at on the last slide,
correct?

10 A T

That's right.

11 On the left is the most profound 12 malformation outside of the one that Dr. Rodier will 13 show you. This is the most profound that we have 14 found.

This is an abnormally folded cortex. There are about three or four times as many folds as one would anticipate normally. So this is called a polymicrogyria, too many small gyri.

19 Q And are you referring to the kind of20 fingerlike projections into the dark area?

A That's right.

21

The pathogenesis of this has been well worked out. It involves some kind of a destructive process in the cerebral cortex around the end of migration, and then the migration of neurons into this

DR. KEMPER, MD - DIRECT 2825 1 area. 2 The next one labeled Bailey et al shows 3 disoriented pyramids in the cerebral cortex. If you look at those cells in there -- This would be like a 4 more normally oriented cell. The apical dendrite that 5 process in the top is just heading straight up. 6 You're pointing to the darker kind of 7 0 8 pyramid-shaped area? 9 Α That's right. This one here is tipped, this one here is 10 11 tilted, this one here is abnormal. So there's marked abnormalities in the orientation of these neurons. 12 So 13 presumably something is wrong with the settling in of these neurons. 14 15 0 Again, how are they normally oriented? Α Straight up, this way. 16 See, this one's oriented this way; this 17 18 one's oriented this way; this one's oriented this way. 19 Those all should go straight up. 20 The third picture labeled C, what does that 0 show? 21 22 Α Those are misplaced neurons. Those are 23 abnormally large neurons in an area of the cortical 24 You would not find those normally. plate. 25 And you're referring to the boxed area with 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2826 1 the arrow in it. 2 Α Yes. 3 0 Where are those neurons supposed to be? Most likely up here. 4 А Towards the top of that picture. 5 0 Α That's right. 6 Does this indicate that the neurons stopped 7 0 8 during their migration? 9 Α That would be the interpretation. Yes. Let's look at Slide 16. If you can tell us 10 0 11 what this slide shows. 12 This was a fairly striking malformation in Α 13 another autistic brain. To show you they're not 14 infrequent. What you have here in this autistic brain, 15 this is a cinqulate gyrus, the anterior cingulate 16 An unusually clear zone here, see it? 17 qyrus. 18 Q And you're pointing to, in the top picture, 19 the top left hand picture there's kind of a blue swirl 20 and you're pointing to the middle area of that swirl. That's right. 21 Α 22 Here it is under higher magnification. You 23 can see that the cortical plate is kind of disrupted 24 in its development. There should be neurons here. There are not neurons here. This would be the normal 25 Heritage Reporting Corporation (202) 628-4888

1 appearance.

Q The picture on the right lower side.
A Yes. This is a normal control. So this
would abe another example of a malformation in a
cortical plate.
Q Let's move on to Slide 17.

7 A This is one I'll have to work on a little8 bit with you.

9 In all of the autopsy series that have been 10 reported, mainly the ones of Hutsler and the one of 11 Bailey, they have reported an increased number of 12 neurons on the white matter. Those increased number 13 of neurons in the white matter are in that so-called 14 sub-plate zone that I showed you in yellow earlier on.

This is an example of that. In the seat of the gyrus in a human brain, here is just the lower layer of the cortical plate. This is white matter. This is stained for cell bodies.

Q You're pointing to the right upper hand box
-- Sorry, the left upper hand box.

21 A Yes.

Q At the top are dark blue dots and the bottom is lighter blue. Explain again the significance of that.

25 A This is to show it enlarged, because the Heritage Reporting Corporation (202) 628-4888

neurons are not very large, that indeed these are neurons there in that white matter. This is the control brain showing only an occasional neuron in that region.

5 The other thing that this slide illustrates, 6 which is pointed out in those autopsy series, is the 7 demarcation between the cortex and the white matter is 8 not as striking as it is normally. You can see it 9 here.

10 Q And the control, there is more of a clear 11 transition between the darker blue and the lighter 12 blue area.

13 A Yes. So this is another change that has 14 been reported in the autistic brain that you can see 15 in this particular slide here.

16 These neurons, these sub-plate neurons have 17 been noted in numerous brains, they've been noticed in 18 the schizophrenic brain as well, and that zone is, as 19 I mentioned, a gigantic synaptic zone during 20 development. And these cells should disappear shortly 21 after birth.

22 Q Now let's look at Slide 18. What does this 23 slide show?

A Oh, it's improved. Okay.

25 This is from Hutsler. This is the layer one Heritage Reporting Corporation (202) 628-4888

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of the cortex. This is the surface of the brian up
 here.

3 0 You're pointing to the top of the picture. The top of the picture. This is layer one. Α 4 And layer two here, which is the cellular layer. 5 So this is the cell layer of the cortex and this is the 6 7 laver one. There are just large numbers of neurons 8 there, maybe multiple times more than there ever should be there. And this is another thing which has 9 been pointed out in our own material as well as those 10 11 autopsy series that I mentioned.

I take these two observations, these increased number of neurons in layer one, and increased number of neurons in the white matter in what was subplate, to indicate a persistence of the embryonic zone.

Q So Slides 15 through 18 that we just looked at, those are examples that have been reported in malformations during the development of the cortex?

20 A This is correct.

21 SPECIAL MASTER VOWELL: Doctor, let me22 interrupt you just for a second.

Are you saying there is an increased number of neurons in the brain, or that there are an increased number in the wrong place?

DR. KEMPER, MD - DIRECT 2830 1 THE WITNESS: Only in the white matter. Only 2 beneath the cerebral cortex. 3 SPECIAL MASTER VOWELL: So the increase is just in the cerebral cortex. Here in layer one --4 THE WITNESS: Just below it. 5 SPECIAL MASTER VOWELL: I understood that. 6 7 But here in layer one and layer two you're talking 8 about, are the neurons in the wrong place or are there too many of them? 9 10 THE WITNESS: Too many. That's a qood 11 point, thank you. 12 MR. JOHNSON: Thank you. 13 SPECIAL MASTER VOWELL: Sorry to interrupt, but I --14 15 THE WITNESS: No, no. BY MR. JOHNSON: 16 Again, Doctor, what period of brain 17 0 18 development are the changes in the cortex associated with? 19 20 The first set that I showed you are Α 21 associated with the migration of the neurons into the 22 cortical plate. That has been studied several times 23 at the War Museum where I was when I was there. The 24 settling in of those neurons, finally settling in of 25 the neurons into the cortical plate is not sharp, but Heritage Reporting Corporation (202) 628-4888

it's somewhere between 16 and maybe 20 weeks,
 somewhere in there.

- 2 Somewhere in chere.
- 3 Q Of gestation.
- 4 A Of gestation.

5 Q Let's look at Slide 19. What area of the 6 brain are we looking at here?

7 Α This is the hippocampus. This is your 8 memory circuits. This is where you remember your daily events is here. The cells, the dark band here, 9 are classically divided into CA fields, all the way 10 11 down into one, and I've labeled them here. And then 12 there's a subiculum. The memory circuits in here are 13 sequential from one cell group to another. It's called the trisynaptic circuit. On the top is the 14 15 autistic brain, and on the bottom is the control And the first thing you can see up here is in 16 brain. It looks collapsed. 17 comparison to here.

Q And you're looking at boxes labeled A and C.
A A and C, and labeled CA4. And the cells
appear more tightly packed together.

21 Q In the autism brain.

22 A In the autistic brain, that's right.

And you can look here, for instance, here --CA1 in the autistic brain and you can look here in the control and you can see how much further apart these

DR. KEMPER, MD - DIRECT 2832 1 cells appear to be spaced. 2 The way the information gets into this 3 memory circuit is through a part of the brain called the entorhinal cortex, right next to this hippocampal 4 They're right next to each other. You can 5 complex. see in the autistic brain, we have a similar 6 7 impression that the cells are more tightly packed. 8 You can compare it here and here. 9 The next slide is a higher power view of two of these CA fields. Here's the autistic brain and 10 11 here's the control. 12 SPECIAL MASTER VOWELL: We're on Slide 20 13 now. 14 THE WITNESS: Yes, Slide 20. 15 The cells appear pale, and to our eyes slightly more tightly packed. Here they are in the 16 17 CA1. 18 BY MR. JOHNSON: 19 Q Can you relate these changes to a specific period of brain development? 20 That's one of the ones we're really 21 Α No. 22 unable to time. The timing depends on migrations and 23 synaptic hookups and so forth, and we just don't have 24 it here. Let's look at Slide 21. 25 0

1 A This is the distribution of that change as 2 Dr. Bauman and I saw it. This was the mesial surface 3 of the brain, just cut this way.

4 Q Down the middle.

5 A Right down the middle. It involves the 6 migdula, the hippocampus, the entorhinal cortex, the 7 septum and mammillary body. These are key components 8 of the limpic system. This is a key system involved 9 in motion.

Q Doctor, before we move on to the next slide, we've gone through some examples of the changes that have been reported in autistic brains. Would it be fair to say that the literature supports the conclusion that the structural changes that have been observed in autistic brains most likely occur prenatally?

17

A The majority of them, yes.

Q And I believe on Slide 2 you identified one finding that related to subplate neurons that may be associated with brain development that extends to just after birth.

- 22
- A That's correct.

23 Q You reviewed Dr. Kinsbourne's report, is 24 that correct?

25 A Yes.

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1 Is Dr. Kinsbourne proposing a mechanism by 0 2 which Thimerosal from vaccines interferes with 3 neuronal development? Α No. 4 0 Is Dr. Kinsbourne proposing a mechanism that 5 involves any of the developmental processes that 6 you've discussed here today? 7 8 Α No. 9 Another of Petitioner's experts, Dr. 0 10 Aposhian, listed six pillars that he believes support 11 the conclusion that Thimerosal-containing vaccines can 12 contribute to the development of autism, and the sixth 13 of those pillars was based on the Courchesne article which is Petitioner's Master List No. 104. 14 15 Dr. Aposhian says that this article provides evidence of post-natal loss of brain cells in the 16 cerebellum of autistic individuals. 17 18 Have you reviewed the Courchesne article? 19 Α Yes, I have. 20 Do you interpret that article as saying that 0 there is post-natal loss of brain cells in the 21 cerebellum of autistic --22 23 Α No, I don't. If our interpretation is correct and they were lost early, there's no reason 24 for them to form again. So no matter what stage of 25 Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2835 1 development later on you look at, it would be the 2 same. 3 Q And is that article, in the part of that article that deals with that, are they talking about 4 the decrease in the number of Purkinje cells? 5 Α That's right. 6 And again, going back to the slides that you 7 0 8 presented earlier, is your opinion that there is not a 9 loss of Purkinje cells based on the notion that the Purkinje cells just weren't there to begin with? 10 11 Α It's really hard to know whether they were there to begin with or not. 12 13 Q But in any event, they were lost before they established the relationship with the climbing fibers. 14 15 Α That's correct, yes. Doctor, in your report you also addressed 16 0 the issue of abnormal post-natal brain growth. 17 I was 18 wondering if you could just briefly summarize the 19 findings that have been reported in the literature on 20 this issue. 21 Α Sure. We're now looking at Slide 22. 22 Q 23 Α There are large numbers of papers on this 24 abnormal brain growth. I just selected a few here to 25 The one on the left, Redcay and Courchesne, was show. Heritage Reporting Corporation (202) 628-4888

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1 a meta-analysis study. He had autopsy brain weights, 2 he had MRI measurements of brain size, he had head 3 circumference, and he adjusted these various different 4 kinds to make them more or less comparable. And put them together in this diagram shown on the top here. 5 And you can see that this is zero at birth, and on the 6 7 far right is 36 years, so you can get an idea of the 8 span of that. You can see right after birth, it just shoots up in a gigantic burst of brain growth. 9 10 According to Jerry Dawson's more recent paper, that 11 spurt of growth may be confined to the first year of Then brain growth slows and finally just almost 12 life. 13 comes to a standstill whereas the normal brain 14 continues to grow. So by the time you're in adolescence, the 15 brain size is the same as the controls. So there's 16 this peculiar feature of it. On the lower left is 17 18 Courchesne's paper which is widely, the illustration 19 is widely used, and the --It doesn't look like it's real clearly 20 21 projected here. 22 Okay. 23 The first bar is birth. And in Courchesne's 24 view of the head circumference, it may be a little bit

low at birth, others show that it looks normal at

DR. KEMPER, MD - DIRECT 2837 1 Hobbs shows that it's normal during pregnancy, birth. 2 viewing scans. 3 You can see by three to five months in his study, the brain is now enlarging. 4 By six to 14 months, he bunched the data 5 together, there was a significant increase in brain 6 7 size. The next panel, labeled Table 3 on there. 8 This is the Dementieva cite? 9 0 10 Α Yes, the lower right. That's from 11 Dementieva. That was a large study of head 12 circumferences. Within that study of his the head 13 measurements of children at birth and one month of age, then you had children from one month to two 14 15 months of age, then from two to six months, and six to twelve months. So they could look at the rate of 16 17 growth in these different age periods. Do you follow 18 me? 19 In that study there was a remarkable 20 increase in growth in the first month. So in short, the studies are showing the 21 0 22 there is rapid and significant brain growth in the 23 first few months of life in these autistic brains? 24 Α That's right. 25 There's one more point I'd like to make. Heritage Reporting Corporation (202) 628-4888

1 There's Lainhart, at the bottom. This is a particular 2 measurements. The age range was three to 47 years. These are all from the collaborative studies on autism 3 funded by the National Institutes of Health. 4 The measurement of head circumference can be chancy, and 5 the autism people are so interested in this that they 6 want to be carefully specified as to how these heads 7 8 are measured, so they're uniformly measured. In this large sample here, the distribution 9 curve of the head circumference was unimodal. 10 There 11 wasn't a large group and another group and a small group. It was a unimodal distribution curve. The 12 13 shape of the curve was normal, it wasn't skewed, and it was shifted to the right. That shift to the right 14 15 suggested to them that an increase in head size probably affected all of the individuals. 16 17 That's my statement there. 18 Q Has anyone come up with a good theory that 19 explains abnormal post-natal head growth?

A There are a lot of ideas, but I don't see any of them that really work. One of the more popular ones is one that you'll hear from Dr. Casanova I think in a couple of days where he suggests there's an increased number of minicolumns and that may indeed be part of it.

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1 I've been through the entire literature looking for that very issue, and one thing that did 2 3 show up which intrigued me very very much is in the dyslexic brain there are also focal malformations in 4 the cortical plate. They're different than the ones 5 that are here, but they certainly are there. 6 You can 7 see these. They call them brain warts, hermwartzen. 8 And there's an experimental animal that has this. It's an animal model of autoimmune disease. You can 9 see these little warts in these rats. 10

11 So they look at the connectivity of these 12 little warts, which are malformations. And they found 13 there's enhanced local connectivity and decreased colossal connectivity. So it may be that these 14 15 malformations in some way are related. It could be that the minicolumns in some way are related. 16 But the timing for synaptic elimination doesn't fit. 17 I've 18 looked into that. The timing of elimination of axons 19 doesn't work because the axons we try to eliminate are very thin on myelinated axons, they almost have no 20 mass to them at all. So it's really a mystery. 21 And 22 why it's so abrupt and so sudden after birth I think 23 is an amazing thing.

Q In your opinion, is abnormal post-natal head growth consistent with exposure to ethyl mercury from Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2840 1 Thimerosal-containing vaccines? 2 Α Those generally make brains smaller. No. 3 I've looked through the literature for large brains 4 from mercury toxicity and have been unable to find an example. 5 You just mentioned you looked at some 6 0 7 literature on mercury toxicity. Have you reviewed 8 literature that addresses the neuropathology of mercury toxicity? 9 10 Α Yes, I have. 11 Q What did you find? Α This. 12 13 Q We're now looking at Slide 23. These are summary slides. 14 Α 15 The pathology is very consistent from report to report as to the areas of predilection for the 16 toxicity of mercury. And this Reuhl and Chang, the 17 18 one on the right there, shows a person who has been 19 exposed as an adult, the next one is an infant, and 20 then an earlier stage of development showing the increase of vulnerability of a more immature brain to 21 22 mercury toxicity. These are mainly from the Minimata 23 Bay study. 24 The area of predilection, I'll show you here 25 with the pointer, visual cortex, almost always Heritage Reporting Corporation

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1 involved, visual cortex. And it involves more 2 peripheral parts of the visual field initially. So a 3 common complaint of people with mercury toxicity is tunnel vision, then eventually blindness. 4 This area up here, this is the motor cortex, this is the sensory 5 cortex. So this is another area of predilection. 6 In this diagram on the left, the only reason 7 8 I include it, is the superior temple gyrus. It's the auditory cortex. Deafness has been reported. 9 Another area I want to call your attention 10 11 to is the cerebellum. Almost universally involved with mercury toxicity. There are destructive lesions. 12 13 Neurons are killed by mercury toxicity in the motor sensory cortex, the visual cortex, auditory cortex and 14 So it's a very destructive process. 15 cerebellum. Now let's look at Slide 24. 16 0 The reason I spent so much time on that 17 Α 18 cerebellar slide in the autism is for contrast now with this slide. All of the literature in the 19 cerebellar involvement points out this anomoly. 20 It involves the deeper parts of the cerebellum. 21 22 You're pointing to the lower right hand 0 23 portion of the top left hand picture. 24 Α Yes, thank you. And here is the preserve 25 area.

1 Q Those are darker areas on the edges.

2 A That's right.

And this one is Greenfield, which is our standard neuropathology text. A very mild involvement. You can see the exact same thing. Deep, not on the surface of the cerebellum.

The other point to be made is this is the 7 8 vermis, this is the mid-line of the cerebellum. That is the area of predilection. The area of predilection 9 in the autistic brains is not there, it's the lateral 10 The autistic individual, the loss of Purkinje 11 lobe. 12 and granule cells extends all the way to the surface 13 of the cerebellum.

14 The other feature which has been brought up15 in all the papers, see these black dots here.

Q You're pointing to the area in the top righthand picture where it says Purkinje cell layer.

18 А Yes, Purkinje cell layer and granule cell 19 Even down here, it's even easier to see. All laver. 20 these dark torpedo-looking things, these are Purkinje And there are virtually no granule cells. 21 cells. So 22 the predilection, there's a striking predilection for 23 the granule cells with, for the most part preservation 24 of Purkinje cells. It's a striking difference from 25 the autistic brain which is just the reverse of this.

1 Q Let's look at Slide 25.

2 Α This is from Shiraki. On the left here, 3 myelin stain. Myelin stain you can really see the 4 brain the best for our illustration. Where the big arrow is here, this is the position of the visual 5 This is where the visual cortex is. 6 cortex. Ιt should look like this, but it doesn't. It's severely 7 8 destroyed.

9

Q So it should be darker.

Right, it should look like this, and it's 10 Α 11 just the myelin's gone, the cortical plate is gone, 12 and if you look at this, which is a lovely adjacent 13 section to that one. It's stained for elemental That plus means it's stained for, it should 14 mercury. 15 have two X's. You can see the deposition of the mercury very closely mirrors the destruction of the 16 17 brain.

In this brain here you can see it also.This is the auditory cortex. Also severely involved.

Q So based on your review of the literature and your knowledge of the neuropathology of autism and mercury toxicity, do you have an opinion as to whether they are consistent?

24 A No.

25 Q You do not have an opinion or --

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1 A No, I don't think they're consistent. 2 (Laughter).

Q Can you summarize the differences between
the neuropathology of mercury toxicity versus the
neuropathology of autism? This is Slide 26.

6 A I've shown you or discussed most of this 7 already. Karen Nelson and Margaret Bauman have also 8 reviewed this as well in the literature.

9 On the left is what's found in the autistic 10 brain, on the right is what's found in the brain with 11 mercury toxicity.

12 The initial clinical feature of mercury 13 toxicity is a sensory neuropathy. They have numbness and tingling in their feet. This is not a clinical 14 15 feature of autism. Restrictions of visual field is a classic finding in mercury toxicity, is not found in 16 17 Ataxia and dysarthria is our description as autism. 18 neurologists for the deficits from the cerebellar 19 lesion. It is a prominent deficit in mercury toxicity 20 and there's no trace of it in the clinical picture of autism. 21

Neuropathology. I showed you abnormal brain growth. There's no evidence of an abnormal increase in brain size in the mercury toxicity and many of the reports report small brains. Autism, no evidence of

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1 involvement of peripheral nerve in autopsy. 2 Involvement on peripheral nerve noted on many 3 autopsies, the illustrations didn't lend themselves well for this. I didn't show them to you. 4 Involvement of the hippocampus; spared in 5 lead (sic) toxicity. Cerebellar loss of Purkinje 6 I'm sorry. Cerebellum with loss of Purkinje 7 cells. 8 cells; preservation of Purkinje cells. Secondary loss of granule cells; primary involvement of granule 9 Predilection for lateral lobes in autism; 10 cells. 11 midline in mercury toxicity. Predilection for deep 12 folia in mercury toxicity; no predilection for deep 13 folia in autism. Marked neuronal loss in cortex, and I showed you also myelin; no neuronal loss in cerebral 14 Marked predilection for visual cortex; no 15 cortex. predilection for visual cortex. We surveyed it in all 16 of our brains and we never found an abnormality. 17 18 Q So in summary, would it be fair to say there 19 are numerous marked differences between mercury toxicity and autism? 20 21 Α No overlap. 22 MR. JOHNSON: Special Master, I have a 23 little while longer, and this is kind of a natural breaking point. I don't know if it would be 24 25 appropriate to break for lunch at this point. Heritage Reporting Corporation

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DR. KEMPER, MD - DIRECT SPECIAL MASTER VOWELL: It's about 1:00 o'clock. This may be a good time to break. You're going to want a break, I understand, before you begin your cross-examination, but we can give you some time to get started on it, Mr. Powers. MR. POWERS: Thank you very much. SPECIAL MASTER VOWELL: Let's go ahead and take a break. An hour lunch, so if we could reconvene at 2:05. (Whereupon, at 1:05 p.m. a luncheon recess was taken, to reconvene at 2:05 p.m. this same day, Thursday, May 22, 2008.) // // Heritage Reporting Corporation

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1	AFTERNOON SESSION
2	(2:05 p.m.)
3	SPECIAL MASTER VOWELL: We're back on the
4	record. Mr. Johnson, you may complete your direct
+ 5	
	exam.
6	MR. JOHNSON: Thank you, Special Master.
7	DIRECT EXAMINATION (Cont'd)
8	BY MR. JOHNSON:
9	Q Dr. Kemper, before lunch you were discussing
10	the neuropathology of mercury toxicity as compared to
11	the neuropathology of autism.
12	A Yes.
13	Q Petitioners have presented a hypothesis in
14	this case that rather than a direct toxic effect it is
15	persistent inorganic mercury in the brain causing
16	inflammation that leads to autism. They've relied
17	primarily on work by Vargas to support this
18	hypothesis.
19	Have you reviewed the work of Drs. Vargas,
20	Zimmerman and Pardo?
21	A Yes.
22	Q And just for the record, Vargas is
23	Petitioner's Master List 69; there is a Pardo article
24	at Petitioner's Master List 72; and a Zimmerman
25	article at Petitioner's Master List 555.
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DR. KEMPER, MD - DIRECT 2848 1 Dr. Kemper, do you personally know Drs. 2 Zimmerman and Pardo? 3 Α Yes, I do. Particularly Dr. Zimmerman. 0 Have you discussed their work with them? 4 Α Yes, I have. 5 In fact during one of the meetings that we 6 0 7 had with you did you inform us that you had spoken to Dr. Pardo about his work? 8 9 Yes, I did. Α Can you describe that conversation? 10 Q 11 Α Well, I met him at a meeting that we had, 12 convened for autism. He had given a paper there, on 13 his work on the immune system. I was particularly interested to hear his views from himself, what he 14 15 thought the relationship between his innate immune response, neuro immune response was to the 16 17 pathological changes we had seen in the autistic 18 brain. 19 Q During that meeting did you recommend that we speak to Dr. Pardo? 20 21 Α Yes. 22 Q Did you recently receive a letter from Dr. 23 Pardo? 24 Α Yes. 25 Are the statements contained in Dr. Pardo's 0 Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - DIRECT 2849 letter consistent with the discussion that you had with him? A Yes. Q Dr. Kemper, what is microglial activation?

5 A That means that the glial cells are more 6 prominent within the tissue in general. The nucleus 7 may be larger. Particularly the cytoplasm and the 8 prozisis (ph) are enlarged.

9 Q On page 13 of his report, we're pulling it 10 up for you now. Actually, maybe not.

11 On page 13 of his report Dr. Kinsbourne 12 lists the characteristics of neuro inflammation as 13 follows. He says it involves edema, activation of 14 microglia and local invasion of immune cells from the 15 circulation.

16 Would you agree that this is an accurate17 description of neuro inflammation?

18 A Only the glial cell response.

19 Q Did Dr. Pardo and his colleagues indicate 20 anywhere in their publications that edema is present 21 in neuro inflammation?

22 A No, and we've found no evidence of it 23 either.

Q And did Dr. Pardo and his colleagues indicate that local invasion of immune cells from the Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2850 1 circulation occurs in neuro inflammation? 2 Α No. In fact would that be consistent with Dr. 3 0 Pardo's findings? 4 5 Α Yes, he reported the lack of an adaptive immune response with the glial cells. 6 So the local invasion of immune cells refers 7 0 8 to an adaptive immune response? 9 Α That's correct. Do you believe that Dr. Kinsbourne's 10 Q 11 description of neuro inflammation is incorrect? 12 Α Yes. 13 0 Dr. Kemper, is microglial activation present during normal brain development? 14 15 Yes, it is. Α So just to be clear, microglial activation, 16 0 it's not specific to the presence of a neuro toxin 17 18 such as mercury? That is correct. 19 Α 20 Does Dr. Pardo say whether it is possible 0 that developmental abnormalities present since 21 22 gestation could produce microglial activation? 23 Α Yes, he did. 24 0 Does Dr. Pardo state that prenatal neuro 25 developmental abnormalities are consistent with neuro Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 1 inflammation? 2 Α Yes. 3 0 To your knowledge does the Vargas paper also mention the possibility that activated microglia 4 5 reflects continued patterns of abnormal development that began prenatally? 6 7 Α Certainly. 8 Ο Doctor, to your knowledge can microglial 9 activation be a beneficial process? 10 Α Yes. 11 Q And did the Vargas paper discuss any place 12 the idea that microglial activation can act as a neuro 13 protectant? Yes, it was a major point. 14 Α To your knowledge, could microglial 15 0 activation be a response to a disease rather than its 16 17 cause? 18 Α Yes. 19 Based on your review of Dr. Pardo's work and Q 20 his letter, does he assume that microglial activation is causing autistic symptoms? 21 22 Α No. 23 0 I want to talk now a little bit about 24 On page 17 of his report Dr. Kinsbourne astrocytes. 25 states that activated microglia could kill astrocytes Heritage Reporting Corporation (202) 628-4888

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1 with friendly fire. Does that statement suggest to 2 you that part of Dr. Kinsbourne's hypothesis is that 3 astrocytes are dying? 4 Α Yes. And on page 13 of his report Dr. Kinsbourne 5 0 called gliosis the sequel of the death of astrocytes 6 7 in inflammation. Is that a correct description of 8 qliosis? 9 Α No. 10 Q What is gliosis? 11 Α Gliosis is enlargement of the glial cell 12 body nucleus as well as the cytoplasm, more prominent 13 for processes and more readily stained with the glial fibrial acidic protein. 14 Do you see astrocyte death with gliosis? 15 0 Α 16 No. When Dr. Kinsbourne on page 13 of his report 17 0 18 says that gliosis, the presence of gliosis in autistic 19 individuals provides dramatic support for his hypothesis, do you agree with that? 20 21 Α No. 22 Q Based on your review of the Vargas work, did 23 they see increased or decreased astrocyte activation 24 in autistic brains? 25 Increased. Α Heritage Reporting Corporation

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1 Is this finding consistent with Dr. 0 2 Kinsbourne's hypothesis of astrocyte death? 3 Α No. There was no death reported there. Based on the work of Vargas and Dr. Pardo 0 4 and Dr. Pardo's letter, in your opinion is astrocyte 5 activation consistent with Dr. Kinsbourne's suggestion 6 of astrocyte death? 7 8 Α No. 9 When he testified Dr. Kinsbourne stated that Ο 10 astrocyte death may not be necessary to his model, but 11 maybe a malfunction or inactivation of astrocytes 12 would be enough. 13 Is increased astrocyte activation as shown in the Vargas study consistent with malfunction of 14 astrocytes that Dr. Kinsbourne testified about? 15 Not that I know of. 16 Α Would you say, based on your review of the 17 0 18 Vargas work, that their findings are inconsistent with 19 our Kinsbourne statement that astrocytes are dead or 20 no longer active in autistic brains? 21 Α Yes. 22 Doctor, did the authors of the Vargas paper Q 23 try to correlate neuro inflammation to regressive 24 autism? 25 As a matter of fact they made a point Α No. Heritage Reporting Corporation (202) 628-4888

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1 that several of their patients had regressive autism 2 and there's no difference in the immune response 3 between those that did and those that did not. So just to be clear, they did look for a 4 0 correlation. 5 6 Α They did. And they found neuro-inflammation in both 7 0 8 the regressive and non-regressive --9 Α That's right. No correlation. Did Drs. Pardo, Zimmerman or Vargas conclude 10 Q 11 anywhere in their articles that neuro inflammation is the cause of autism? 12 13 Α No. I'd like to now ask you a few questions 14 0 about the Lopez-Hurtado article that the Petitioners 15 have discussed. This is at Petitioner's Master List 16 446. Have you had a chance to review that paper? 17 18 Α Yes, I have. 19 Do you know what journal that article was 0 20 published in? It's the American Journal of Biochemistry 21 Α 22 and Biotechnology. 23 0 Have you ever read an article published in 24 that journal? 25 Α No. Heritage Reporting Corporation

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DR. KEMPER, MD - DIRECT 2855 1 0 Did you try to find this article on your 2 own? 3 Α Yes, I did. Where did you look for it? 4 0 I looked through the entire Harvard Medical 5 Α School Library System. 6 7 Ο Did you look anywhere else for it? 8 Α No. 9 Did you find it in the Harvard --0 10 Α No. 11 Does the absence of the journal, or the fact Q that you couldn't find the journal at the Harvard 12 13 Medical School Library indicate anything to you about the significance of this journal? 14 Well, they have the second largest library 15 Α in the country. For some reason it didn't carry it. 16 The Lopez-Hurtado study, what areas of the 17 0 18 brain did the researchers look at? 19 Α They were interested in speech-related 20 areas. Area 44 is part of Broca's area, which is the motor speech area. The back end of Area 22 is I 21 22 presume, they call it Bernache, and the top end of the 23 superior temporal gyrus is the angular gyrus, Area 39, 24 and those are the areas that they looked at. 25 Why would these areas be of interest to 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2856 1 these researchers? 2 Α Because of the involvement of language dysfunction in autism. 3 Could you briefly summarize what results the 4 0 researchers have reported in this study? 5 6 In general they reported, they thought there А was a decreased number of neurons, increased number of 7 8 glial cells, and accelerated lipofuscin accumulation. 9 Did you review the methodology that these 0 10 researchers applied? 11 Α Yes, I did. 12 Did you identify any problems with their 0 13 methodology? I thought there were some problems 14 Α Yes. 15 which, some things which are not addressed which are problems. 16 17 Could you give some examples of those 0 18 problems? 19 On the critically referred journals, they Α 20 will want those areas carefully specified, and to be dead sure that they're in that area rather than just 21 22 taking a block from some place and assuming it's 23 So without proper cyto architectonic there. 24 definition of the area you can't be sure that each one of those measurements was made in the same place. 25 Heritage Reporting Corporation (202) 628-4888

1	That's of cell types and cell density. The
2	other problem is that the lipofuscin pigment varies
3	from cyto architectonic area to cyto architectonic
4	area which is another reason to be certain that you're
5	in the proper area. There's no assurance in the paper
6	that they had done that.
7	Q And were there any problems with the cell
8	counting methods?
9	A Yes, there were. That method would not be
10	accepted in any of our critically referred journals.
11	Q Dr. Kemper, did the Lopez-Hurtado study
12	microglia?
13	A No.
14	Q How can you tell that it didn't?
15	A Didn't mention it.
16	Q What were the researchers staining for in
17	that study?
18	A They stained for standard stains for which
19	they measured neuron densities, and possibly glial
20	cells. They also stained with GFAP which is a
21	specific stain for glial cells, and they stained for
22	lipofuscin pigment.
23	Q So when this paper refers to glia in the
24	result section, is it fair to say they may be
25	referring to astrocytes by the term glia?
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DR. KEMPER, MD - DIRECT 2858 1 I would presume so, the way it's written, Α 2 yeah. 3 0 So this study really doesn't tell us about 4 microglial activation that was reported in the Vargas study? 5 А No. 6 7 0 This paper reports decreased neuronal 8 density in two brain areas. You may have already 9 touched on this, but do you believe the methods used 10 in the study were appropriate for assessing neuronal 11 density? 12 Α No, I do not. 13 0 Given the flaws in the cell counting method that you identified, how much confidence do you have 14 15 in the results of this paper? It's a very interesting idea and I would 16 Α like to see it done with proper technique. 17 18 Q This paper also reports increased density of 19 astrocytes. Would that finding, supposing it's 20 correct, be compatible with astrocyte death? Α 21 No. Doctor, in your opinion, would this paper be 22 Q 23 accepted in a reputable journal? 24 Α No. 25 Does this study in any way add to the work 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2859 1 performed by Drs. Pardo, Vargas and Zimmerman? 2 Α No. 3 0 Would you personally rely on this study for information about the neuropathological basis of 4 autism. 5 Α No, I'd be very careful about it. 6 7 0 Doctor, just to wrap up, I want to summarize 8 your opinions on Dr. Kinsbourne's neuro inflammation 9 hypothesis. First of all, do you believe that microglia 10 11 are damaging the brain in autism? 12 Α No. 13 Ο What is your main reason for that belief? Its role in the neuro immune response which 14 Α 15 according to them is very consistent with widespread defects in brain development that we had noticed. 16 17 And do you believe it more likely than not 0 18 that microglia are destroying astrocytes in autistic individuals? 19 20 Α No. What is your main reason for that belief? 21 Q There's no evidence for the loss. 22 Α No qood 23 credible evidence for the loss. 24 Q Do you believe it more likely than not that 25 astrocytes are dying in autistic individuals? Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2860 1 Α I don't believe that. 2 0 And do you believe it more likely than not 3 that astrocytes are inactive in autistic individuals? 4 Α The literature is quite the reverse. Do you believe that the roles of microglia 5 0 and astrocytes in autism are more likely than not 6 explained by prenatal factors? 7 8 Α Yes. 9 Do you believe that Dr. Pardo's work 0 10 supports Dr. Kinsbourne's mechanism of postnatal neuro 11 inflammation as the cause of autism? 12 Α No. 13 0 Do you believe that neuro inflammation is a likely explanation for any of the structural changes 14 15 that you and others have observed in the brain of autistics? 16 17 Α Yes. 18 Ο You believe that neuro inflammation is the 19 cause of those changes? 20 Α I'm sorry. I must have --No. 21 Q All right, let me make sure the question is 22 clear. 23 Do you believe that neuro inflammation is a 24 likely explanation for any of the structural changes 25 you and others have observed in the brains of autistic Heritage Reporting Corporation

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DR. KEMPER, MD - DIRECT 2861 1 individuals. 2 Α No, no. I'm sorry. 3 0 Do you believe it is more likely that any 4 neuro inflammation that may be present in the brains 5 of autistics is a response to the developmental abnormalities you and others have observed in the 6 brain of autistics? 7 8 Α Yes. 9 And do you hold the opinions that you stated 0 10 here today to a reasonable degree of scientific 11 certainty? Yes, I do. 12 Α 13 MR. JOHNSON: I have nothing further. SPECIAL MASTER VOWELL: How much time would 14 you like, Mr. Powers? 15 Five minutes. 16 MR. POWERS: 17 SPECIAL MASTER VOWELL: Simply to get your 18 slides up? 19 MR. POWERS: If we say bottom of the half 20 hour, then maybe that's seven or eight minutes, but if we did it at 2:30? 21 SPECIAL MASTER VOWELL: Sure. We're in 22 23 recess until 2:30. 24 (Whereupon, a recess was taken). 25 SPECIAL MASTER VOWELL: Let's go back on the Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - DIRECT 2862 1 record. 2 Mr. Powers, would you begin your cross-3 examination? MR. POWERS: Yes. 4 Good afternoon, Dr. Kemper. My name is Tom 5 Powers and along with Mr. Williams I'm representing 6 the Petitioners' Steering Committee and both William 7 8 Mead and Jordan King. 9 THE WITNESS: Okav. CROSS-EXAMINATION 10 11 BY MR. POWERS: A couple of things to ask you about from 12 0 early in your testimony. 13 You testified that neuropathology was 14 15 important both to understanding the etiology of autism and to the diagnosis of autism. This was in response 16 to the government lawyer's question. 17 18 Can you explain what neuropathological 19 findings are currently used to diagnose autism spectrum disorder? 20 You have to clarify that. 21 Α You mean 22 techniques? 23 0 You're the one that testified that 24 neuropathology was useful to diagnosing autism. And 25 in response to a question about whether it was --Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2863 1 I understand that that MR. MATANOSKI: 2 actually wasn't the question. 3 MR. POWERS: That is my recollection. We can look at the transcript, but --4 5 SPECIAL MASTER VOWELL: Just go ahead and ask the question, and if it's not what he said, he'll 6 tell you that. 7 8 BY MR. POWERS: 9 You were asked a question if neuropathology 0 was helpful in both, and this is what I wrote down in 10 11 quote marks, it was helpful "in the etiology and 12 diagnosis of autism." 13 So my question to you is, what neuropathological findings are used to diagnose autism 14 15 spectrum disorders? The diagnosis of autism is a clinical 16 Α 17 It is not a pathological diagnosis. diaqnosis. 18 0 So your testimony is that neuropathology is 19 not something that would be used to diagnose autism, 20 correct? That's correct. 21 Α 22 Q That saves me having to use the DSM-IV 23 criteria. I appreciate the answer. 24 Α I try my best. 25 You also were asked a question about how 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2864 1 many total brains, how many actual brains of people 2 have been used in this long series of 3 neuropathological studies involving autism. How many? I've got the numbers here. I'll give them 4 Α I knew you'd ask that. 5 to you. Our own series is nine. The Hutsler --6 7 0 Excuse me. When you say series, so you have nine brains --8 9 Α We have nine that we've examined. 10 Q And that generated the series of papers. Ι 11 just want to -- when you say series, it's a series of 12 papers. 13 Α That's correct. Hutsler is eight. 14 0 15 Bailey is six. Those are the series. There are individual 16 case reports as well, but those were the series. 17 18 Q And the first series was published 1994, was that the first one? 19 The first case of ours was '85. 20 Α No. 21 0 So in the series of papers that you're 22 talking about there are 23 individual brains that are 23 represented by this long series of papers by multiple 24 authors. 25 Α That's correct.

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1 So whatever findings one would want to 0 2 extrapolate from those 23 individual brains would then 3 be what you're using to apply across the entire population of people with autism, correct? 4 Α Well, they are examples and they were 5 randomly collected. There was no special reason to 6 collect them other than the fact that they had autism. 7 8 Ο Do you have an idea from the time that you started doing the publication of these series to the 9 10 present, how many people with autism are there in the 11 United States? 12 Eric Fombonne is going to testify about Α 13 that, but the current CDC number is one in 156, I believe. 14 15 Ο As has been demonstrated in the past, I'm not the math whiz, but in a population of some 300 16 million people, if one out of 156 has autism, we would 17 18 be talking about several millions of people with 19 autism. 20 Α Okav. So these 23 brains then that we're talking 21 0 22 about are a sample out of many millions of people. Is 23 that a fair statement? 24 Α Yes. 25 In describing the neuropathology that you 0 Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - CROSS 2866 1 and other authors have identified here, is there 2 anything about a living person that you can learn -- I 3 should actually reverse that. If you look at a living person with autism, 4 aside from doing a brain autopsy is there any other 5 way to get a picture of the neuropathology of that 6 living person with autism? 7 8 Α Yes. How can one do that? 9 0 10 Α With MRI scans, would be one way to do it. 11 What are the other ways to do it? Q 12 Α Well, I suppose there are ways to look at 13 organization with functional MRIs, with PET scans. Is that what you mean? 14 I'm just looking for -- My question is, 15 Ο aside from autopsy, is there any way that one can take 16 an autistic individual and learn the neuropathology in 17 18 that individual? 19 I'm sorry. I understand you now. Α The resolution of these other techniques is 20 such that it's very unlikely that you'd pick them up. 21 22 So it's unlikely that imaging is going to Ο 23 pick them up. You'd actually have to have tissue. 24 Α There are some that they do pick up, but nothing with the detail that I show here. 25 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2867 1 And the detail that you showed, this is the 0 2 detail at the cellular level, correct? Purkinje --3 Α That's right. Granular cells. Ο 4 Α Right. 5 These discreet sections you would actually 6 0 7 need tissue biopsy to get that level of detail, is 8 that correct? 9 I don't know about biopsy but --Α 10 Q Autopsy. 11 Α Autopsy. 12 So the imaging wouldn't capture it. That's 0 13 all I'm trying to establish. They've tried, but it's I don't think so. 14 Α not really good. 15 So short of autopsy there really is not any 16 Ο way to look into the brain of an autistic person to 17 18 qet an idea of what pathology is involved, correct? 19 Α I would say so. For brain size you can do it, but the others, not. 20 Brain size, that's typically going to be 21 0 22 measured by head circumference, is that correct? 23 Α It depends on how old you are. 24 How does it depend? Explain that please. Q 25 I'm not too sure where the age cutoff is, Α Heritage Reporting Corporation (202) 628-4888

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1 So you can work on me on that. But in young okav? 2 children you can measure it with a tape and there's a 3 very good correlation between head circumference and brain size. And then later in development, I'm not 4 sure exactly where the cutoff is, that becomes 5 unreliable. 6 7 0 And when you say it becomes unreliable, are 8 you saying that head circumference is no longer a good surrogate for actually capturing the brain volume? 9 10 Α It's a very accurate way early on. 11 I understand the early on, but I just want Q to make sure what we're talking about. 12 13 As a person gets older --It's less accurate. That's very nicely laid 14 Α 15 out in the Redcay and Courchesne paper. Now there have been a series of studies done 16 Ο that looked at head circumference and head growth 17 18 patterns among children. Are you familiar with those? Normal or autistic? 19 Α These are the ones you cited in 20 0 Autistic. 21 your report. 22 Α Of course. 23 0 In looking at some of those studies there is 24 some effort to describe a general pattern and a general trajectory of brain growth. 25 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2869 1 That's right. Α 2 Q Do you recall those types of efforts? 3 Α Yes. And when one looks at the individual 0 4 studies, Hazlett, which is the 2007 study that you 5 cited in your report, my recollection of Hazlett was 6 7 that the controls and the cases, that is the autistic 8 cases, were roughly the same until a year and then they diverged at 12 months. Do you remember that? 9 No, I don't remember that detail. 10 Α I used 11 the Hazlett paper mainly for other reasons, but I have my notes on it here if you want them. 12 13 Q I thought I brought your report up here. What did I say? 14 Α What you say in the report, and this is on 15 0 text page four. We're pulling it up on the screen 16 17 here in just a moment. 18 On page four, that very last full paragraph 19 is the paragraph --20 I know where --Α Yes. 21 Q Excuse me, Doctor, you're speaking -- Wait 22 until I ask you a question because we're going to talk 23 over each other and it's going to be impossible for 24 the record to be clear and I'll try to do the same for 25 you. I'll do my best not to interrupt you. Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2870 1 If we could highlight the last full paragraph on page four, and the first words there are 2 "Several studies have". 3 4 Α I've got that. We're going to get the highlight up for the 5 0 whole paragraph. That just makes it easier to read. 6 7 Doctor, if you see Hazlett there discussed 8 initially it says that "Reported head circumference of 9 the autistic individuals began to diverge at 12 months 10 of age." 11 Α That's right. 12 Did I capture that correctly then when I 0 said --13 That's right. 14 Α -- from birth to 12 months the controls and 15 0 the autistics were close together, and then they 16 diverted at 12 months. 17 18 Α In this study, that's right. 19 Q In this study. 20 The Dawson study, the 2006 study which was discussed, take a look at that. 21 22 Α Oh, there it is. Yeah. 23 Ο Look up after you've finished reading the --24 Α Okay. 25 (Pause). Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2871 1 Α Yeah. 2 Ο What Dawson reports is actually that the 3 differences were confined to the first 12 months. That's what Jerry says, yeah. 4 Α So you've got one study that says they're 5 0 the same in the first 12 months and then diverge; and 6 this one says any differences are confined to the 7 8 first 12 months. 9 That's right. Α If we go to Dr. Courchesne, his 2003 paper, 10 0 11 let's take a look at that. It continues to the next page, I believe. 12 13 Α That's the one that I showed here. You discuss that in your slides. 14 0 Right. 15 Α That's right. In there, he sees no statistically 16 0 significant increase at three to five months, but a 17 significant increase at six to fourteen months. 18 19 Α That's correct. 20 A different result than you see in Dawson, 0 and a different result than you see in Hazlett, right? 21 22 Α That's correct. 23 0 Now Dementieva, is the next one if you 24 continue on. We're actually on page five of your 25 Do you see that reference there to a report now. Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2872 1 "sudden and excessive increase at one to two months"? That's right. 2 Α Yeah. 3 0 So you've got these four different studies 4 _ _ That's right. 5 Α -- with results that are divergent and 6 0 sometimes conflicting, correct? 7 8 Α That's correct. 9 So the diversity in these head studies is 0 further discussed in what is the Respondent's Exhibit 10 11 289. This is the head circumference and height in 12 autism study. This is Dr. Lainhart. I believe you 13 refer to this --That's right, I refer to that paper. 14 Α 15 0 We've got that cover page up on the screen. Susan Folstein, is she a colleague of yours? 16 I know her. I know Susan. 17 Α 18 0 Okay. Does she work at Boston University as 19 a neuropathologist? 20 Α No, no. She's not. She was at Tufts University and now she's I think in Florida. 21 22 Q In this study, if we look on the very front 23 page --24 Yeah. Α 25 That is not the page I wanted to 0 Excuse me. Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - CROSS 2873 1 look at. If we look at Exhibit page 16 out of 18 --2 Α I don't have that paper in front me I don't 3 think. 4 0 Sorry. Α Thank you. 5 Doctor, there are two different page 6 0 7 numbers. I'll help guide you through this. 8 Α Okay. 9 At the very bottom there is an exhibit 0 10 stamp, page number. Do you see that? 11 Α Yes. That's what I'll refer to then, instead of 12 Ο 13 the text of the journal. It's page 16 of 18. This is the end of it. 14 Α Okay. There's a heading called implications. 15 0 We're going to go ahead and zero in on the first half 16 of the paragraph on the right hand column of the page. 17 18 Under implications. 19 What the implications of the study are, that 20 there's a very wide distribution of head circumference among people with autism. 21 Correct? 22 Α Yes. 23 0 They say that the diversity of head circumference data reflects potentially the diversity 24 of the clinical presentation symptoms. Correct? 25 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2874 1 I don't remember the correlations with the А 2 clinical features. Just off the top of my head. 3 0 And we're not going to go through the tables, but what they do talk about is the increased 4 variance of distribution, that is of the head 5 circumference, underscores the clinical heterogeneity 6 Is that your recollection of what the 7 of autism. 8 study stands for? 9 Yeah, that was one of their conclusions. Α It talks about, in that same paragraph, the 10 Q 11 dimensional features of autism. One of the 12 dimensional features of autism, would that include the 13 time of onset of autism? That I don't know. 14 Α Is time a dimension? 15 0 16 Α Yes. 17 Would time in the progress of a disease be 0 18 an important consideration in studying that disease? 19 Α Yes, of course. 20 Is there anything in this paper suggesting 0 that head circumference data was collected 21 22 specifically on children with regressive autism? 23 Α No. 24 Q In any of the studies that we've talked 25 about has there been any data collected on children Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2875 1 specifically with regressive autism? Aside from 2 Vargas, which you mentioned earlier. 3 Α No. In the 23 brains that you described upon 0 4 which neuropathological published research is based, 5 do any of those brains have specific information about 6 7 whether the subject suffered from regressive autism or 8 not? 9 No, not in the autopsy series. Α There was no information about the severity 10 Q 11 of the symptoms of autism? There is information on severity, yes. 12 Α 13 Q What information is there generally on the 14 severity? 15 Α In particular the cases of Bailey are much more severe than the others. 16 In Bailey or anybody else is there any 17 0 18 information for those particular brains about the age 19 of onset of the symptoms? 20 I have not looked at those papers for that Α 21 issue. 22 Also in that study, there's one other note I 0 23 think I wanted to run by you. Actually, that was it 24 so we can put that aside and save you that reading. 25 I want to switch to the slide presentation Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2876 1 that you were walking us through earlier. 2 If you look on page, I quess this is Trial Exhibit 10. 3 I think we're at page four. This is the template of developmental events. 4 Α Yeah. 5 In looking at this there's a very large 6 0 7 scale for events that happen in the approximately 40 8 weeks of gestation from conception to birth. 9 Α That's correct. So about two-thirds of the chart is related 10 Q 11 to that, correct? Α Yes. 12 13 0 After birth the only thing that's listed is a compression of the first four years and a vertical 14 15 line that says abnormal brain growth. Correct. 16 Α Certainly you don't mean to imply by this 17 0 18 chart that the only thing happening with brain 19 development after birth is abnormal brain growth? 20 It's short so I could fit it on the page. Α But no, there's no question, but there's a 21 22 considerable amount of brain growth. You can see your 23 own child grow up. 24 Q So after a child is born there's a 25 significant amount of brain development activity, the Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2877 1 brain is physically growing in size, correct? 2 Α No question. 3 0 Synapses are being formed, correct? Α No question. 4 Synapses are being pruned so that they're 5 0 more functional correct? 6 7 Α That's also correct. 8 Ο Axons and neurons are migrating through 9 different regions of the brain to their final destination. 10 11 Α And some are being eliminated. Sure. 12 Pruning not just of dendrites on a cell, but 0 13 actually elimination of cells. The organization of cells is going on, correct? 14 It's mainly organization at that 15 Α Yes. stage, yeah. 16 17 This is a process that goes on certainly 0 18 through the first two years of life, correct? 19 Α Oh, way beyond that. 20 That's why I said at least through the first 0 two years of life. 21 22 Α Yeah. 23 0 A lot of that process is mediated by glial 24 cells, correct? 25 Well, they're a part of the process because Α Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2878 1 they support the neurons, but the major thing is 2 neurons. 3 0 But the movement of neurons and the organization of neurons, cells such as 4 5 oligodendrocytes and astrocytes play a significant role, correct? 6 In later brain development? 7 Α 8 0 I'm talking about birth to two. Are you referring to that as later brain development? 9 10 Α No. I just want to know what you're 11 referring to. 12 Birth to two is what I'm talking about. 0 13 Α Sure. There's a lot of development there, especially the oligodendroglial cells. 14 15 0 Is that with the myelin sheathing? Α That's right, there's an explosion of them. 16 So all of this activity does rely to a 17 0 18 significant degree, wouldn't it be fair to say, on 19 properly functioning glial cells in a glial network, 20 correct? 21 Α Oh, they have to function properly, yeah. 22 Of course. 23 0 And one could imagine that anything that was 24 interfering with the normal function of glial cells 25 during this first two years could affect the ultimate Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - CROSS 2879 1 neuronal, not just the glial end points, but neuronal 2 end points, correct? 3 Α I would say it's a reasonable statement. In going to page six in your slides, this is 4 0 a section of brain, this is I guess a very thin slice 5 that you put on a slide? 6 7 Α Yes. Is this stained? 8 Ο 9 Α Yes. What is it stained with? 10 Q 11 Α We call it Nissl stain. It's a stain from 12 the nerve cell bodies and the glial cell nuclei. 13 Q I couldn't hear the end. I'm sorry. It stains the nerve cell bodies 14 Α and the glial cell nuclei. 15 Can you see any glial cell nuclei in this 16 0 photograph? 17 18 Α No. They're too small. Is that a function of the stain or the 19 Ο 20 resolution of the microscopic work? It's certainly a function of the size. 21 Α But 22 the astroqlial cell you have to identify under fairly 23 high power in order to see them. They have very 24 specific criteria for their identification. What would those criteria include to 25 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2880 1 identify glial cells and particularly astroglial 2 cells? 3 Α The gold standard in that is actually stain them with immunostains. And the immunostain is called 4 5 GFA, glial fibrialary acidic protein. That's the gold standard for them. And that's the perfect way to do 6 7 it. 8 Q That's the gold standard because it's immune reactive, correctly? 9 Only with astroglial cells. 10 Α 11 Right. So it doesn't stain the neurons Q because it wouldn't have the immune cells on the 12 13 surface that would attract the stain. It wouldn't stain the other species of glial 14 Α 15 cells either. So in the slides that you have here on page, 16 0 actually I wanted to ask. On page eight is this one 17 18 of your slides or a collection of your slides? 19 Α I'll look and see. Yes, it is. 20 0 Inferior olive --21 Α Yes, that's ours. 22 Q Was GFAP used in any of these? 23 Α No. These brains, our own brains are 24 embedded in a substance called solodyn. It does not allow for immunostains. 25

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1 So the pathological slides that you've been 0 2 referring to here, none of the ones that are your 3 slides involve GFAP staining? Α That's correct. 4 And they were not at a resolution that would 5 0 pick up the astroglial cells, correct? 6 7 Α Not at these. I was curious, because as I went 8 0 Okay. through here there as a lot of talk of neurons, but I 9 didn't hear any discussion of glial cells in the 10 11 imaging. 12 Pretty much what's known about, in the Α 13 proper science, pretty much what's known about the 14 glial cells is in that Vargas paper. Read our papers. 15 We've said very little about them. Because we didn't want to make mistakes or say something that was wrong. 16 And you didn't say much about them because 17 0 18 it sounds like from just the basic workup of the 19 tissue, in a lot of ways you couldn't even look for it, even if you wanted to, given the condition the 20 tissue was in and how it was preserved, you couldn't 21 22 have done the work, right? 23 Α Right. I agree with you. 24 On page nine, and we're still in Exhibit 10 Q which is your collection of slides here. 25 Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2882 1 Α Yes. 2 0 These were the Purkinje cells. There was 3 some mention that you made of GABAergic. What's a GABAergic neuron? 4 5 It's an inhibitory neuron. That's the main Α inhibitory transmitter in the brain. 6 And are Purkinje cells GABAergic? 7 0 8 Α Yes, they are. 9 So Purkinje cells would be cells that would 0 excrete the neurotransmitter GABA which inhibits --10 11 Α That's correct. 12 So that's the main inhibitory 0 13 neurotransmitter in the brain, correct? 14 That's correct. Α Is it sort of the flip side of the glutamate 15 0 coin? 16 17 Yes. Α 18 Ο So if there is excess glutamate, GABAergic 19 cells such as Purkinje cells would be secreting GABA 20 to maintain homeostasis, so to speak. Is that 21 correct? 22 Α You'll have to rephrase that because I'm not 23 too sure I follow you. 24 Q Okay. 25 If there was an excessive level of glutamate Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2883 1 in the brain, at any one time. 2 Α Okay, for any reason. Okay. 3 0 For any reason. The feedback, the response from the Purkinje cells would be to release GABA to 4 inhibit and bring homeostasis back --5 Α In balance, huh? 6 I don't know in particular whether the 7 8 Purkinje cells would do that. But the brain is filled 9 with GABAergic neurons. It doesn't have to be that 10 one. 11 But Purkinje cells are among the GABAergic? Q 12 Α That's correct. 13 0 Are there other cells that are not neurons that also release GABA and are GABAergic? 14 15 Α That are not neurons? 16 Ο Correct. I don't know of anything other than neurons. 17 Α 18 0 Are there any forms of astrocyte that 19 release GABA into the extracellular space in the 20 brain? 21 Α That's not in my expertise and I really 22 can't say that, but I don't think so. 23 I wanted to make sure again, just moving 0 24 through the slides. I suspect the answer but I want to confirm it. 25 Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2884 1 If we look at the imaging on Slide 12, the 2 inferior olive in childhood. And on 13. 3 Α Yeah. Ο All of these inferior olive images are from 4 your lab? 5 Α That's correct. 6 7 Ο I ask because on most of these pages there's 8 a citation to whatever paper --9 That's right. Α 10 Q It's page 16 and page 17. Are those images 11 also from your lab? Α That's correct. 12 13 0 Are these all from those same nine brains originally? 14 We have a lot of studies going on in 15 Α No. the lab using immunostains and other approaches to 16 17 looking at the anatomy of autism. I do the 18 neuropathology for some of these studies. These are found in those brains. These are frozen sections. 19 20 Again, this is not published in a paper 0 anywhere, this is just --21 22 Α No. 23 0 -- stuff from your lab? 24 Α That's right. They're just nice examples. 25 And the cells in the white matter are shown by several Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2885 1 other people. It wasn't just me. 2 Q In the slides, and don't put it aside yet. 3 Α Okay. In the slides that you were just looking at, 0 4 the unpublished case control ones. In the staining on 5 those slides, did you do any of the immuno 6 histochemical analysis to identify glial cells? 7 8 Α No. These are all done for neurotransmitters. 9 So these were slides that are done to look 10 Q 11 at the presence of what particular neurotransmitters? We're mainly interested in the GABAergic 12 Α 13 system. Was there any look in your work here that's 14 0 represented in the slides involving glutamate? 15 As a matter of fact before I left to come 16 Α here I checked with the people because I knew that 17 18 somebody would ask that question. There's nothing 19 ready to publish on glutamate. The only possibility 20 is the, I'm not sure if it's published or not, is the increase in MDA receptors in the granule cell layer of 21 22 the hippocampus. I'm sorry. Decreased. 23 0 In any of the work that you've done in your 24 lab, published or unpublished, have you ever looked for neuro inflammation in the brains of autistic 25 Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2886

1 people?

9

15

2 A No.

Q Let's talk a little bit about the Vargas, the work that Drs. Vargas and Pardo were doing. There's been discussion of a letter that was given to us at the beginning of the hearing, and this is Respondent's Exhibit LL. Do you have a copy of that letter in front of you, Doctor?

A Yes, I do.

10 Q I was assuming you might. I first off 11 noticed that it is addressed to you. This is not 12 anything that was addressed to the Department of 13 Health and Human Services, or the Department of 14 Justice.

A That's correct.

16 Q This is personal correspondence between Dr.17 Pardo and yourself.

18 A I presume so.

Q I'm just waiting for a yes answer so again
we can have that on the record. You've got to give a
full word answer.

22 A Okay.

Q This letter is dated May 13, but it addresses a conversation that you had last year with Dr. Pardo.

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DR. KEMPER, MD - CROSS 2887 1 Α That's correct. In reading Dr. Vargas' paper, the one that's 2 0 3 entitled Neuroglial Activation and Neuro Inflammation in the Brains of Patients with Autism. And we're 4 going to go ahead and give you a copy of that so you 5 have it on the desk. 6 7 Α I've got the Vargas paper her. 8 Ο Yeah, you're collecting some paper over there. 9 This is Petitioner's Master Reference 69. 10 11 We're going to put that up on the screen. we're going 12 to replace the letter. 13 Is what you see on the screen there Doctor, does that reflect the paper exhibit you have in your 14 15 hand? Yes, it does. 16 Α So we're all looking at the same thing. 17 0 18 I'm going to direct your attention to page 13 of the exhibit. 19 20 Whose 13? Α 21 Q Where it says page 13 of 15 at the bottom. 22 Α Got it. 23 0 We're going to look at the top left hand 24 corner of this paper. There's a sentence that begins, 25 "These observations do not support." Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2888 1 Α Okay. 2 I'm going to blow that up. We're going to 0 3 highlight that up right through where it has Footnote Do you see that? 4 11. Α Yes. 5 So what the authors say is that the 6 0 7 observations they made don't support the previously 8 proposed hypothesis. That changes in the cerebellum 9 in autism result solely from developmental abnormalities and olivary-cerebellar circuits and a 10 11 reduced number of Purkinje cells. 12 Α Yeah. 13 0 Obviously I was just reading that from the paper, but that's what it says. 14 Fine. 15 Α Then if one turns to Footnote 11. 16 Ο 17 I'm sorry, what are you saying? Α 18 Q There's a citation there to a paper. 19 Α Oh, yeah. 20 It's Paper No. 11 which is on page 14 of 15. Q That's ours, that's right. 21 Α 22 Q That's your paper? 23 Α That's correct. Yeah. 24 So when this paper came out the authors were Q 25 saying our results are not consistent with this work

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DR. KEMPER, MD - CROSS 2889 1 that Dr. Kemper and Dr. Bauman are engaged in. 2 Α That's right. 3 0 Was it after this paper came out that you had a conversation with these folks and said --4 Α No. 5 No. How did the conversation come about? 6 Ο 7 Α He was at a meeting that I was attending, 8 that's the setting for it. And he had had this 9 wonderful paper here about the neuro inflammatory 10 response. I was just curious as to what he thought 11 about all of the developmental problems in the 12 autistic brains, and how he felt it fit in. That was 13 the substance of the conversation. It wasn't this issue. 14 So it was more of a general conversation, 15 Ο two scientists talking about where their work 16 overlapped. 17 18 Α It was an interesting new concept and I 19 wanted to discuss it with the quy that was a key player in it. 20 21 Q If you go a little bit further down on page 13 of 15. 22 23 Α Yeah. 24 Q If we look on the left hand column, just 25 about halfway down the page there's a sentence that Heritage Reporting Corporation (202) 628-4888

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1 begins, "The presence of MCP-1."

2 A I see that.

Q We're going to highlight that whole sentence. The presence of MCP-1. It talks about, it facilitates the infiltration and accumulation of monocytes and macrophages in inflammatory central nervous system disease, correct?

8 A I'm not a neuro immunologist. I really 9 couldn't speak from my own knowledge about that. I 10 only know what's here.

11 Q But what it does say here is that the neuro 12 immunologist thought it significant because this 13 particular proinflammatory cytokine facilitated the 14 infiltration and accumulation of monocytes and 15 macrophages in CNS disease. Correct?

A If that's what they say fine, yeah.
Q Monocytes and macrophages are immune cells,
correct?

19 A Yes, they're part of the adaptive immune20 system.

21 Q They infiltrate into this inflamed area of 22 the brain because of the presence of the MPC-1, 23 correct?

A If that's what they say. As I say, I can't really answer that from my own knowledge.

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DR. KEMPER, MD - CROSS 2891 1 We're also then going to shift over to the 0 2 right hand side of that page. In the second full 3 paragraph there's a sentence that begins, "Importantly, cells undergoing cell death." 4 Α I see that. 5 I'm going to take a moment to highlight it 6 0 7 here on the screen. Let's go all the way down to the sentence that begins, "Both proinflammatory." There 8 9 you qo. This substance that's being talked about 10 11 here, TGF-Beta 1. Do you have an understanding of 12 what that is? 13 Α Only what's here. I really have no personal involvement with any of these. 14 15 0 Would you trust me if I told you it was transferring growth factor, Beta 1? 16 Α 17 Yeah, I know the name, yeah. 18 0 It's an anti-inflammatory cytokine, correct? 19 Α That's my understanding of it, yeah. 20 The paper says that's the primary anti-0 21 inflammatory cytokine that was found in these samples, 22 right? 23 Α Okay. 24 The argument has been made, and I think it Q 25 was even expressed in your direct, that there are Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2892 1 protective pro-inflammatory cytokines released, and 2 you're relying on this paper for support of that 3 proposition, correct? 4 Α Yes. The authors of the paper do note that TGF-1, 5 0 while it is an anti-inflammatory, as it says, is 6 7 actually released by cells that are dying. Correct? 8 Α That I don't know. 9 It says it in the paper. "Importantly, 0 cells undergoing cell death have been shown to secrete 10 11 TGF." Α 12 Okay. 13 0 And they secrete it to protect bystander cells, correct? 14 That would be the same answer I gave before. 15 Α Your understanding then is if you have this 16 0 anti-inflammatory cytokine going on, while it may be 17 18 protective of some cells it's actually evidenced that 19 adjoining cells have recently died, correct? 20 That's what they say. I don't know. Α We're going to go on in this paper to page 21 0 22 14 of 15. If you look at the left hand column, the 23 first part of the section beginning, "The conclusion". 24 Α Uh huh. The authors here conclude that the 25 0 Heritage Reporting Corporation (202) 628-4888

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1 neuroglial reactions. First off, neuroglial describes 2 both the microglial observed reactions and the 3 astroglial reactions. Α That's my understanding, yes. 4 0 So they're talking about two different 5 groups of glial cells that they found were activated 6 in these brains, correct? 7 8 Α That's my understanding, yes. So that this neuroglial reaction, we've been 9 Ο 10 talking a lot about, or you've been talking a lot 11 about astrocytes. This neuroglial reaction also 12 involves the microglia, correct? 13 Α That's correct. The microglia are the cells we've heard 14 0 15 described as the macrophages of the brain, the brain's innate immune system. 16 Α They have other functions too. 17 Yeah. 18 0 But in terms of the immune response, the 19 microglia cells in response to an antigen, a virus, 20 something like that, will react to eliminate the invader, so to speak. 21 22 Α They're part of the reaction, yeah. 23 0 So in the mechanism they describe, they say 24 that there are important mechanisms associated with 25 neural dysfunction in autism and that the cerebellum Heritage Reporting Corporation (202) 628-4888

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DR. KEMPER, MD - CROSS 2894 1 is the focus of an active and chronic neuro 2 inflammatory process in autistic patients. 3 Α Yeah. That's what they conclude in the conclusion Ο 4 section. 5 Α Yeah, that's right. 6 Now they don't ascribe a particular cause at 7 0 8 that point to this neuro inflammatory process. 9 Α No. 10 Q They don't say this is a neuro inflammatory 11 process that's limited to earlier pathological conditions of these patients, do they? 12 Well, that's one of the possibilities 13 Α So one of the possibilities is, as you 14 0 testified, that the neuro inflammation is related to 15 the pathology that exists that was essentially 16 prenatal in its origin, correct? 17 18 Α I'm not sure is or can. 19 That's what I'm trying to get at. Q It can be, but it is not necessarily so, correct? 20 As I told you before several times, I'm not 21 Α 22 an expert on this stuff and I don't really -- What I 23 know is what's in Pardo's letter, you know, and here. 24 Q I understand that. But you were asked 25 questions specifically asking what your understanding Heritage Reporting Corporation (202) 628-4888

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and your opinion was having reviewed the studies in
 the letter.

A That is my opinion.

4 Q So your opinion is that one of the 5 possibilities of this neuro inflammatory -- let me 6 finish the question.

7 A Sure.

3

15

8 Q Not to be snippy, but we've got to keep a 9 record.

10 A That's all right.

11 Q One of the possible explanations for this 12 neuro inflammatory process that they describe as 13 active, ongoing, one of the causes is pathology that 14 has its origins prenatally, correct?

A I would say likely.

Q It is also possible that this inflammatoryprocess reflects events that happened post-natally.

18 A I suppose so.

19 Q It's also possible that these neuro 20 inflammatory processes reflect a response to a toxin 21 or other environmental exogenous factor that could 22 have triggered the neuro inflammatory process. That's 23 a possibility.

A Well, they actually state there that they don't think this response could be due to an exogenous

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DR. KEMPER, MD - CROSS 2896 1 toxin, so I would just take --2 0 Where do they state that? I'm just curious 3 as to where --Well I know. I know you would be curious. Α 4 (Pause). 5 I'm not sure where I got that. 6 Α 7 (Pause). 8 Α I don't see it in here, sir. 9 So there's nothing in the peer-reviewed 0 published paper that rules out possible environmental 10 11 toxins as a cause of the observed neuro inflammatory process, is there? 12 13 Α I'd have to read it again. And what you just read was Dr. Pardo's 14 0 letter, and there's nothing in that letter ruling it 15 out, was there? 16 17 Α I didn't see it. 18 0 In fact if we turn to Exhibit 72 which is 19 another paper that Dr. Pardo and Dr. Vargas are the 20 authors of. SPECIAL MASTER VOWELL: That would be 21 22 Petitioner's Master List 72, rather than Exhibit 72. 23 BY MR. POWERS: 24 Ο Master Reference List No. 72. The lead 25 author on this one is Dr. Pardo. Do you have the Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - CROSS 2897 1 paper copy there, Doctor? 2 Α Yes, I do. 3 0 Take a look at the screen. Make sure, again, we're looking at the same document. 4 Α 5 Yes. Let's go ahead and turn to page 9 of 12. 6 0 7 This is the exhibit page. 8 Α I don't have one of your copies. But I've That's the one with the diagram. 9 qot it here. 10 Q It's the one with the diagram. We're qoing 11 to look at a couple of things here. Let's look at the text under "Conclusion", 12 13 and you see that that's highlighted. 14 Α Okay. If you take a look at that sentence, Dr. 15 0 Pardo here is hypothesizing that environmental factors 16 in the presence of genetic susceptibility and the 17 18 immunogenetic background of the host influence the 19 development of these abnormalities that they observed, 20 correct? 21 Α That's what it says. 22 And it also attributes this hypothesis of 0 23 environmental factors specifically to the neuro 24 inflammatory changes responsible for the generation of 25 autistic symptoms, correct? Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2898 1 Changes responsible, yeah. Α 2 0 So not only does Dr. Pardo's letter not rule 3 it out, it's specifically advanced as a hypothesis in 4 _ _ Α Let me read this again. 5 (Pause). 6 This generation of the autistic symptoms in 7 Α 8 this sentence refers to the neuronal circuitry. 9 And the neuro inflammatory changes. 0 10 Α Yeah. 11 So they hypothesize that environmental Q 12 factors could play a role. 13 Α Yeah. In fact if you look at the chart that's up 14 0 in the top left hand column, let's focus on that. 15 This is figure four on page nine in Petitioner's 16 17 Master Reference 72. There's actually a chart there that it includes environmental factors in the 18 19 development of what is generated at the bottom right 20 hand there which is the autistic phenotype, correct? 21 Α Yes. And that under environment, toxins 22 Ο 23 specifically are mentioned, correct? 24 Α Let me look at this. Yeah, toxins in the environment. 25 Heritage Reporting Corporation

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DR. KEMPER, MD - CROSS 2899 1 Then under autistic phenotype, regression is 0 2 specifically listed, correct? 3 Α Yes. 0 So this paper does postulate a hypothetical 4 role for environmental exposures in creating neuro 5 inflammation that ultimately leads to the regressive 6 7 phenotype of autism, correct? 8 Α That can be an interpretation of this, yeah. 9 I have no further questions. MR. JOHNSON: 10 SPECIAL MASTER VOWELL: Redirect? 11 MR. JOHNSON: I have a few questions, 12 Special Master. 13 REDIRECT EXAMINATION BY MR. JOHNSON: 14 Dr. Kemper, you were asked some questions 15 0 during cross-examination about brain development 16 during the first two years of life. Do you remember 17 18 those questions? 19 Α Yes, I do. 20 And you were asked some questions about the 0 21 role of microglia and astrocytes and the development 22 of the brain. Do you remember those questions? 23 Α Yes, I do. 24 Q First of all, are you aware of any evidence 25 that Thimerosal from vaccines affects that process of Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - REDIRECT 2900 1 brain development? 2 Α I'm not aware of any evidence of that. 3 0 You're reviewed Dr. Kinsbourne's report, right? 4 Yes, I have. Α 5 Is that process of brain development, Dr. 6 0 Kinsbourne's hypothesis for how Thimerosal-containing 7 8 vaccines cause autism? 9 I'm sorry, you'll have to -- It's too Α complicated a question. 10 11 Q Is the process that you were being asked 12 questions about involving microglia and astrocytes and 13 their role in developing the brain. Α Yeah. 14 Is that what Dr. Kinsbourne is saying is 15 0 causing autism as a result of exposure to Thimerosal? 16 Not to my understanding. 17 Α 18 Q You were asked a series of questions about 19 your own work in the brains that you've looked at. 20 Α Correct. First of all, can you just describe a little 21 0 22 bit about how you look at slides and what that process 23 entails? 24 Α In terms of the --25 The process from when you get a brain sample 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - REDIRECT 2901 1 and how you go about looking at the samples. 2 Collecting the samples. 3 Α If it's an autopsy we describe the brain and Then it goes to the lab and we take blocks from it. 4 they process the tissues. Then we get back the 5 sections with whatever stains we think are necessary. 6 7 We look at them and figure out what we think is going 8 on and describe it and draw a conclusion. 9 How much of the brain do you look at? Ο Maybe four or five blocks from a brain where 10 Α 11 there are no complicated processes at all. And how long does it take you to look at a 12 0 13 single section of the brain? Ten or 15 minutes, maybe. 14 Α But the process of looking at these, is this 15 0 a meticulous process? 16 It depends on the nature of the changes. 17 Α Ιf 18 it's a complicated problem it just goes on for a long 19 time. 20 You were asked some questions about whether Ο 21 you looked personally for neuro inflammation in the 22 samples that you've studied. Do you remember that 23 question? 24 Α Yeah. 25 You testified that you did not look for 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - REDIRECT 2902 1 neuro inflammation. That's not been the focus of your 2 research. Is that right? 3 Α Well yeah, those responses are not really readily seen with our material. 4 But the Vargas group, they did look for 5 0 neuro inflammation, right? 6 7 Α Yeah, with proper stains, yeah. 8 0 They found in their study that the astrocyte activity was increased in their samples, correct? 9 10 Α They were activated, yeah. 11 That is not, again, consistent with Dr. Q Kinsbourne's hypothesis in this case. 12 13 Α That's correct. You were asked a series of guestions about 14 0 the Vargas research and Dr. Pardo's letter. 15 Dr. Kemper, is Dr. Pardo, on the Vargas 16 article, is Dr. Pardo the senior researcher on that 17 18 study? 19 Α That's my understanding. 20 And I believe you mentioned that during your 0 conversation with Dr. Pardo about a year ago at the 21 22 meeting you were at, did he say to you that he didn't 23 think it was a neuro toxin that was causing the neuro 24 inflammation? 25 It could easily have been at that time. Α Ι Heritage Reporting Corporation

DR. KEMPER, MD - REDIRECT 2903 1 know I did hear it from him. 2 I think you said that you had read it 0 3 somewhere. I want to pull up the letter he sent you. 4 This again is Respondent's Exhibit LL. 5 If you look at the second paragraph on the first page of his letter, and it's the highlighted 6 7 portion that we're pulling up on the screen. 8 This is where Dr. Pardo is talking about the 9 staining that they did and said that "These findings are inconsistent with the hypothesis of a potential 10 11 toxic effect on astrocytes by neuro toxins or toxic 12 material." 13 Do you think that's what you were referring to when you said you had read it somewhere? 14 Α 15 Yeah. (Pause). 16 "These findings are inconsistent with the 17 Α 18 hypothesis of a potential toxic effect on astrocytes", 19 yeah. 20 0 So you think that could be what you were referring to when you said you had read that somewhere 21 before? 22 23 Α Yeah. 24 Q Is that a yes? 25 Α Yes, I'm sorry. Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - REDIRECT 2904 1 During your conversation with Dr. Pardo did 0 2 you also discuss whether the findings of neuro 3 inflammation could be consistent with a response to abnormal development? 4 Α 5 Yes. That conversation, is that reflected in the 6 Ο 7 letter that Dr. Pardo has sent to you and submitted? 8 Α Yes, it is. And in your opinion, and based on your 9 0 almost three decades now of experience researching the 10 11 neuro pathology of autism, do you believe it is more 12 likely that neuro inflammation is a response to 13 developmental abnormalities that occur prenatally? Yes, I do. 14 Α 15 MR. JOHNSON: Thank you. I have nothing further. 16 SPECIAL MASTER VOWELL: 17 Recross? 18 MR. POWERS: Yes, Special Master. 19 **RECROSS-EXAMINATION** 20 BY MR. POWERS: 21 0 Doctor, that sentence that you just read 22 from the letter, the one that, "This is confirmed by." 23 The final sentence says that, "These findings are 24 inconsistent with the hypothesis of a potential toxic 25 effect on astrocytes by neuro toxins or toxic Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - RECROSS 2905 1 material", correct? 2 Α That's right. Yes. 3 0 There's nothing in the sentence that says 4 that the astrocytes were unaffected by proinflammatory cytokines released by microglia, correct? 5 Α No, not in that sentence. 6 There's nothing in the sentence that says 7 0 8 the astrocytes were unaffected by reactive oxygen 9 species released by activated microglia --There's no mention to it at all. 10 Α 11 Q Excuse me? There's no mention here at all of reactive 12 Α 13 oxygen species. So this just talks about the direct toxic 14 0 effect on the astrocytes of toxic material and neuro 15 toxins, correct? 16 Α You're getting complicated for me now. 17 18 Q Let me back up and let me ask the question 19 this way. 20 Please do, yeah. Α The neuro inflammatory process, we discussed 21 0 22 this on my initial cross, involves both microglia and 23 astrocytes, correct? 24 Α That's correct. 25 So the neuro inflammatory process generally 0 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - RECROSS 2906

1 can be triggered by neuro toxins or toxic material, at 2 least to the extent that the microglia are involved, 3 correct?

4 A I suppose so, yeah.

5 Q And the microglia, if they are activated and 6 if they proliferate, they release reactive oxygen 7 species and they release pro-inflammatory cytokines, 8 correct?

9 A I really can't respond to that because it's 10 not part of my information.

11 Q Let me ask you if you know this. If 12 reactive oxygen species and pro-inflammatory cytokines 13 that are released in an inflammatory process contact 14 astrocytes, can they impair the function of astrocytes 15 or kill astrocytes?

16 A I have the same answer. I really can't 17 respond to that because it's not part of my 18 information.

19 Q Speaking of the information that we're using20 here, I want to talk a couple of things.

As a scientist what you would rely on the most I assume is peer-reviewed, published, scientific literature, correct?

A That's correct.

25 Q So as a scientist reaching conclusions about

DR. KEMPER, MD - RECROSS 2907 1 science, something that is in a peer-reviewed journal 2 article is going to carry the most amount of weight, 3 correct? Α It carries more weight for sure. 4 And if it's in a really good journal by a 5 0 really good author it carries even more weight. 6 7 Α Obviously. 8 Ο If you were engaged in a scientific inquiry and were looking at non-peer-reviewed, non-published 9 material including personal correspondence from one 10 11 scientist to another, which do you think would be more 12 reliable? 13 Α Well, I would have to depend on my opinion of the scientist, really. If I was publishing it that 14 15 would be something different. We're talking about a disinterested observer 16 0 resolving scientific facts. 17 18 Α Yeah. 19 Q What would you rely on more? Personal 20 correspondence between people or peer-reviewed published scientific journal articles? 21 22 Α Well, yeah, the latter. 23 MR. POWERS: No further questions. 24 SPECIAL MASTER VOWELL: Apparently neither my colleagues nor I have any questions for Dr. Kemper. 25 Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - RECROSS 2908 1 Doctor, you're excused. 2 We are now at not quite 3:30. 3 I wonder if it is possible, we have Dr. Rodier as the first person on our list for tomorrow, 4 if we might get through her qualifications at least 5 today? That is her background publications. 6 Before 7 we get into the substance of her testimony, and then 8 take up the substance tomorrow morning. 9 MR. POWERS: We'd stipulate to the 10 qualifications. Whatever the Special Masters and 11 Respondent want to do, but we're prepared to sit 12 through that part without any objection. 13 MR. MATANOSKI: Special Master, I don't think there would be a problem doing that. I'm not 14 sure that tomorrow is going to necessarily be a day 15 that we need to --16 SPECIAL MASTER VOWELL: You think tomorrow's 17 18 qoing to be a short day anyway? 19 MR. MATANOSKI: I don't think we're going to 20 be running up against 5:00 o'clock tomorrow, though I'm not certain how long Dr. Goodman would go. 21 Ι 22 don't think we would be pressed to get done tomorrow. 23 SPECIAL MASTER VOWELL: If there's no 24 difficulty with continuing today it may be more 25 appropriate to continue today. Given that tomorrow is Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - RECROSS 2909 1 the start of a holiday weekend and some people drive 2 some distances home. 3 MR. MATANOSKI: I understand that. I quess I was just thinking of our trial team. It's not a 4 three day weekend for them. 5 6 (Laughter). 7 SPECIAL MASTER VOWELL: Most of us I think 8 are taking things home with us as well. 9 MR. MATANOSKI: I understand from Mr. Johnson who will be doing the direct examination of 10 11 Dr. Rodier, if she's ready he'd be ready to go through her qualifications. 12 13 SPECIAL MASTER VOWELL: That would at least take us a little further along the path. 14 15 MR. MATANOSKI: Yes, ma'am. SPECIAL MASTER VOWELL: Okay. 16 17 Dr. Kemper, thank you very much for your 18 testimony. You're excused. 19 (Whereupon, the witness was excused). 20 SPECIAL MASTER VOWELL: Do you need a brief 21 recess before we begin with Dr. Rodier, or do you want 22 to just push on? 23 MR. MATANOSKI: I think we can just go right 24 on to Dr. Rodier. 25 Special Master, we may have to follow up Heritage Reporting Corporation (202) 628-4888

DR. KEMPER, MD - RECROSS 2910 1 with a few questions tomorrow on qualifications. 2 SPECIAL MASTER VOWELL: We certainly 3 understand. MR. MATANOSKI: Mr. Johnson is prepared for 4 that but he doesn't have it with him, so he's going to 5 do his best from memory. 6 7 MR. JOHNSON: And hope that your 8 qualifications match up similarly to Dr. Kemper's, which I'm sure they do. 9 10 (Laughter). 11 MR. JOHNSON: We'll just go off of his 12 questions. 13 SPECIAL MASTER VOWELL: Dr. Rodier, would 14 you raise your right hand, please? 15 Whereupon, PATRICIA M. RODIER 16 having been duly sworn, was called as a 17 18 witness and was examined and testified as follows: 19 DIRECT EXAMINATION 20 BY MR. JOHNSON: 21 Q Dr. Rodier, could you please state your full 22 name for the record? 23 Α Patricia M. Rodier. 24 And Dr. Rodier, where do you currently work? Q 25 I'm at the University of Rochester Medical Α Heritage Reporting Corporation (202) 628-4888

1 Center in Rochester, New York. 2 And what position do you hold at the 0 3 University of Rochester? I'm a Professor of ObGYN. Α 4 Could you briefly describe your educational 5 0 background and employment history leading up to your 6 current position starting with your college degree? 7 8 Α I got my AB from Sweet Briar College in Virginia, where I'm from; then went to the University 9 10 of Virginia for my PhD in Experimental Psychology. 11 After that I did a post-doc in the medical school working in the field of embryology and 12 13 teratology. What did you do after that? 14 0 15 Α After that I stayed on the faculty of the medical school for about ten years. 16 You mentioned teratology, and we've heard 17 0 18 some testimony about that earlier in the trial. I was 19 wondering if you could, explain what teratology is. 20 Α I think everyone's heard of Sure. toxicology and you've had a number of toxicologists 21 22 testify. 23 Teratologists are toxicologists who 24 specialize in the developing animal or human. In fact 25 in just the last few years we've changed the name of Heritage Reporting Corporation

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DR. RODIER, MD - DIRECT

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the journal Teratology to Birth Defects Research. So
 they're experts on birth defects.

3 Q That's closely tied to development, is that 4 true?

A Yes.

5

6 Q What responsibilities do you have in your 7 current position?

A For over 20 years I've been about 100 9 percent funded by NIH, so I don't have much in the way 10 of teaching duties or administrative duties. I'm just 11 mainly full time research.

12 Q What have some of your research interests13 been over the years?

A Always I've been interested in the development of the nervous system and how anything that interferes with it might alter it so that you get aberrant behavior. Since my PhD was in psychology, of course I have an interest in behavior.

19 Initially I was interested in things like 20 learning disabilities and was interested in very basic 21 approaches to trying to figure out how the nervous 22 system changed over time and how that influenced the 23 outcome of injuries. So I worked with some sort of 24 classic teratogens like fibasticytodine and 25 These are actually things that at fifluouroeuracil. Heritage Reporting Corporation

DR. RODIER, MD - DIRECT 2913 1 the time were being thought of as possible 2 chemotherapy agents. And they're things that are 3 known to interfere with development very directly. 4 By doing that I developed a sense of, and a lot of papers, on what difference it makes when an 5 6 injury occurs at one time versus another in development. 7 8 0 Have you published any articles or texts on your research? 9 10 Α Yes. 11 Do you have an idea as to approximately how Q many publications you have? 12 13 Α I'm not really sure. I would say it's probably like 60 or 70. 14 Are you a member of any professional 15 0 organizations? 16 Α IMFAR and the Teratology Society, the 17 18 NeuroTeratology Society are organizations I've been a member of. 19 20 Are you Board Certified in any areas? 0 21 Α No, I'm not a physician. 22 So Board certification is not required. Q 23 Α Right. 24 Are you a reviewer for any journals? Q 25 Lots. Α Heritage Reporting Corporation

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1 0 Can you name a few? 2 Α NeuroTeratology and Toxicology, I'm on the 3 Editorial Board, and I've served as the President of the Editorial Board of Teratology. But I also review 4 for Autism and Developmental Disabilities, 5 Developmental Psychobiology, Medical Genetics, 6 Biological Psychiatry, many different journals. 7 8 0 Do you in your research and the work that you've done, have you had any, I don't want to say 9 10 exposure to mercury, but any experience working with 11 mercury? 12 When I moved to the University of Α Yes. 13 Rochester in 1980 which is a big center for studies of mercury in the Toxicology Department, I became 14 interested in why the unborn child was so much more 15 sensitive to methyl mercury ingested by the mother 16 than the mother herself. 17 This was well known from the 18 accidents in Iraq. 19 So I set out with a graduate student to try to figure out why that was. And we immediately 20 discovered something. 21 22 What did you discover? Q 23 Α That methyl mercury causes arrest of cells 24 in mitosis. When cells are dividing they sort of

25 round up and they almost look like they have a star in

1 them, that's called starry metaphase. In the next 2 part of the process, those starry lines, which are 3 chromosomes, start to pull apart. You see something that looks like this. Then you see the two cells 4 5 split in between. There are drugs that do that. Colchicine is 6 7 the maine one. We were amazed to see that was what 8 was happening in our developing brains. We could see starry metaphases everywhere. Then when we held the 9 animals longer and counted the number of neurons, we 10 11 had reductions in the number of neurons. 12 That process isn't going on to any great 13 extent in the brain of adults, so that explains the 14 greater sensitivity. Are those findings, have they bene 15 0 published? 16 17 Α Oh, yes. 18 SPECIAL MASTER VOWELL: Doctor, I'm going to 19 stop you. You were holding your hands with the 20 fingers pointing towards one another, sort in a cupped or circular fashion, then you started pulling them 21 22 apart when you were saying "It looks like this," is 23 that correct? 24 THE WITNESS: That is how it looks. 25 If I had a pen I could draw you a picture. Heritage Reporting Corporation (202) 628-4888

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1 SPECIAL MASTER VOWELL: Maybe we'll give you 2 one tomorrow. 3 BY MR. JOHNSON:

4 Q Dr. Rodier, you also have experience in the 5 field of autism as well, correct?

A That's right.

6

7 Q How did you become interested in the field8 of autism?

Because of my work on brain damage I've 9 Α 10 often been invited to speak for groups like the 11 Learning Disabilities Association. And I was giving a 12 talk at a meeting a number of years ago and a parent 13 came up to me, the parent of an autistic child, and said I think that those of you who work on development 14 really have something to offer to this field because 15 no one from development has ever looked at autism. 16 At first I thought well that can't be true. 17 Then I 18 thought no, I think I know everybody in development of 19 the nervous system and they haven't. So I offered to go home and do some reading for him. 20

I went home and read about 200 papers in a couple of weeks. He was right. No one who works on development had ever worked in autism.

24So I definitely became interested. But in25my review of the literature I couldn't see enough

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1 biological information that someone with my skills 2 could think of a way to introduce those to the field. 3 It was only later that year that I heard of the 4 discovery of the Thalidomide cases with autism, and that made me realize how a teratologist could in fact 5 work on autism. 6 Do you remember approximately what year that 7 0 8 was? 9 It was '83 or '84. Α 10 Q And since that time have you had an interest 11 in autism? 12 Α Definitely. 13 Ο Have you published specifically on the issue of autism? 14 15 Α Yes. Can you describe some of those publications? 16 Ο One Dr. Kemper mentioned, I'm interested in 17 Α 18 neuro anatomy, of course. 19 Q If you're going to discuss any of these tomorrow you don't have to describe them in detail. 20 We've also looked at developing an animal 21 22 model of autism. Some of the discussion here has gone 23 into the fact that some of the animal model work has 24 not used behaviors in animals that can be shown to 25 have anything to do with the behaviors in autism. But Heritage Reporting Corporation (202) 628-4888

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1 in fact we've worked at developing some behaviors 2 showing that they're different in human cases and then 3 taking them into animals that are behaviors that can be done exactly the same in different species. One of 4 those is eyeblink conditioning. 5 So there are some behaviors. They're not 6 the kinds of behaviors that are used in diagnosis. 7 8 They're more neuro physiological measures. But we've been trying to look for ones that might be good 9 10 parallels between the species. 11 You mentioned eyeblink conditioning. Could Q you explain what that is? 12 13 Α It's Pavlovian conditioning. You remember that Pavlov would ring a bell and give his dogs meat 14 15 powder. After he rang the bell and gave them the meat powder over and over, he would ring the bell and they 16 would salivate without the meat powder. 17 18 In eyeblink conditioning what you're doing 19 is delivering a little puff of air to the eye after a 20 tone comes on. The child or the adult, whoever, blinks in response to the puff of air. After many 21 22 repetitions, they come to blink before the puff of 23 air, so that they protect the eye. 24 It's a wonderful measure because it's an 25 unconscious process. It requires no instructions. Heritage Reporting Corporation (202) 628-4888

1	With adults, you usually do it while they're reading a
2	book or a magazine. With our kids we'd do it while
3	they watched Shrek. So they're paying total attention
4	to the movie. They're not conscious of the air puffs
5	or the tones. But they quickly become conditioned, we
6	call it, they learn to blink following the tone.
7	Q This is a study that's currently ongoing in
8	your clinic?
9	A We've had studies going on actually in two
10	sites with this. Human ones at our place and animal
11	ones in Delaware.
12	Q And the goal of this study is to come up
13	with an animal model for what purpose?
14	A We've developed the animal model and we've
15	already done its neuro anatomy. But what we've done
16	now is we have been able to show that these animals do
17	have this oddity of eyeblink that we see in human
18	cases. so that's a parallelism that suggests that it
19	really is a good model.
20	So now what we'd like to do is take that
21	knowledge and look at some of the other animal models
22	to see if they are parallel to the human condition.
23	MR. JOHNSON: Special Master, I think that's
24	about
25	SPECIAL MASTER VOWELL: About as far as
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1	you're going to go today?
2	MR. JOHNSON: Right.
3	SPECIAL MASTER VOWELL: All right. Fair
4	enough.
5	I think we'll go ahead and excuse you for
6	the day, Dr. Rodier. We'll go off the record. We're
7	in recess until tomorrow morning at 9:00 o'clock.
8	(Whereupon at 3:43 p.m. the hearing was
9	recessed, to reconvene at 9:00 a.m. on Friday, May 23,
10	2008.)
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REPORTER'S CERTIFICATE

DOCKET NO.: 03-584V; 03-215V

CASE TITLE: In Re: Claims for Vaccine Injuries Resulting in Autism Spectrum Disorder or a Similar Neurodevelopmental Disorder;

HEARING DATE: May 22, 2008

LOCATION: Washington, D.C.

I hereby certify that the proceedings and evidence are contained fully and accurately on the tapes and notes reported by me at the hearing in the above case before the United States Court of Federal Claims.

Date: 5/22/08

Christina Chesley Official Reporter Heritage Reporting Corporation Suite 600 1220 L Street, N.W. Washington, D.C. 20005-4018